



Cell-free massive MIMO Networks

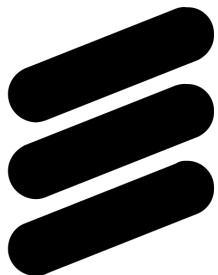
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Gilvan S. Borges



XLIII Simpósio Brasileiro de Telecomunicações e
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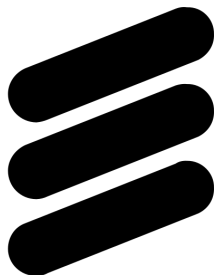
Combating pilot Contamination Using NOMA-OMA Hybrid Operation



Important concepts of wireless channels



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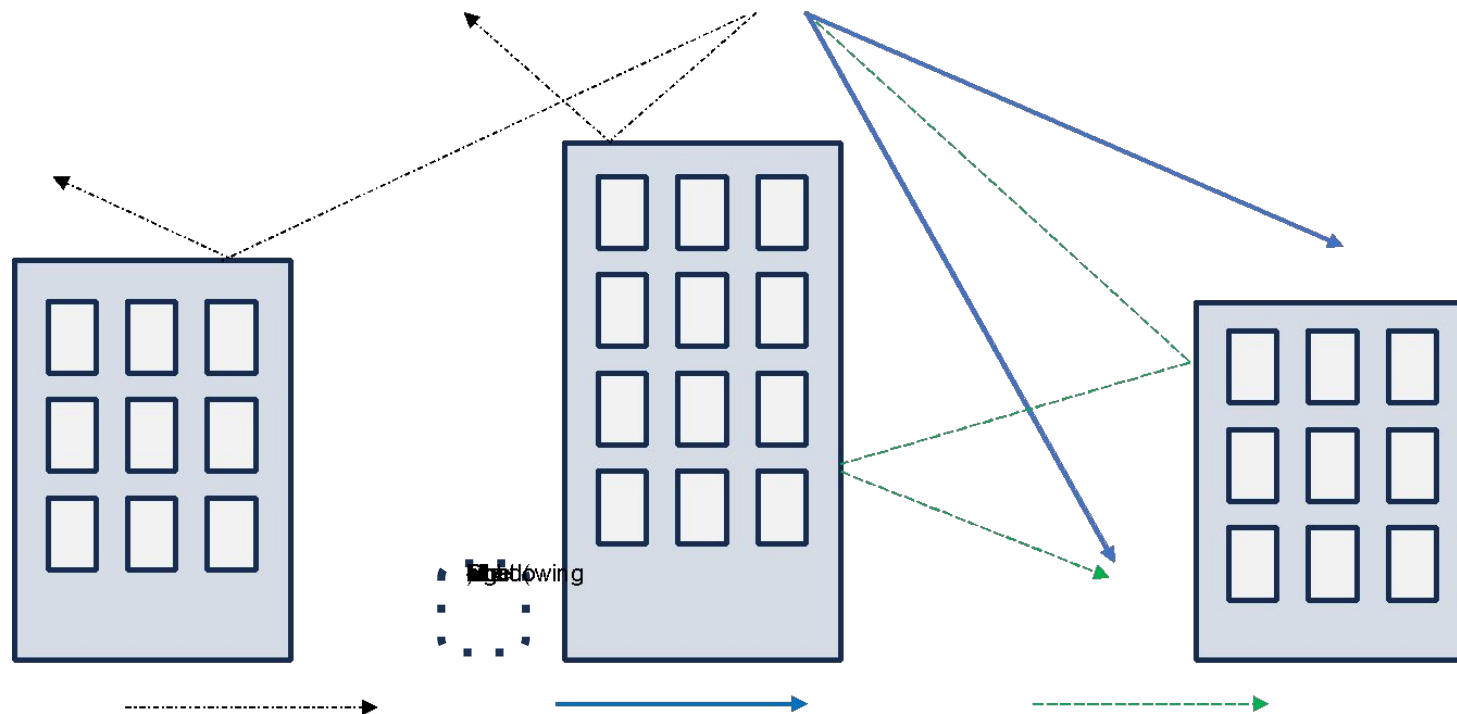
Channel propagation



Line-of-sight (LoS) propagation

Non-Line-of-Sight (NLoS) propagation (multipath)

Shadowing

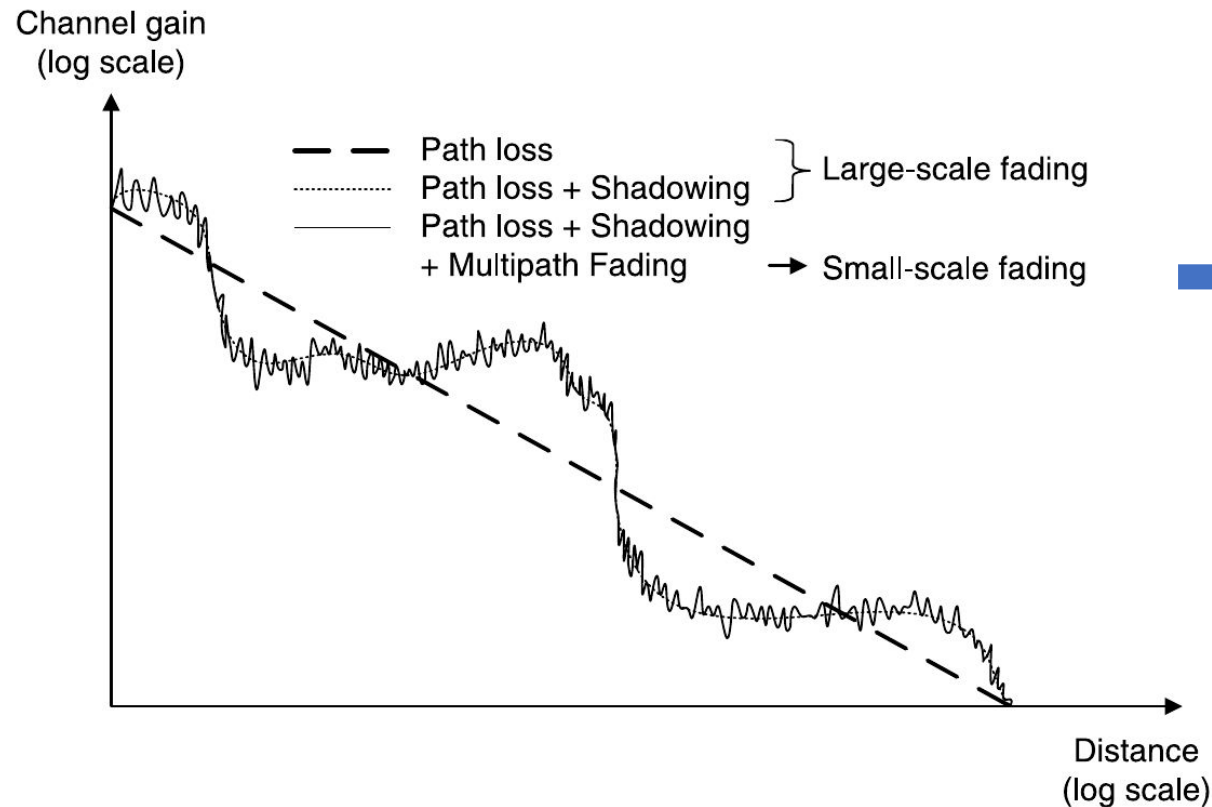


Channel fading



Fading

- Small-Scale Fading: rapid fluctuations in signal strength over short distances and short periods of time
- Large-Scale Fading: variations in signal strength over longer distances and time periods



A typical model

Rayleigh fading:
I.I.D random variables

$$\mathbf{h}_{lk}^j \sim \mathcal{N}_{\mathbb{C}} \left(\mathbf{0}_{M_j}, \mathbf{R}_{lk}^j \right)$$

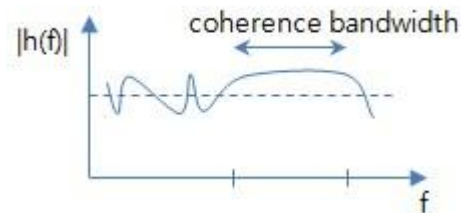
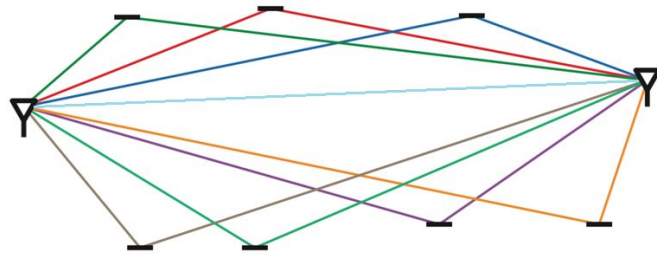
Coherence Time and Bandwidth



Coherence Block

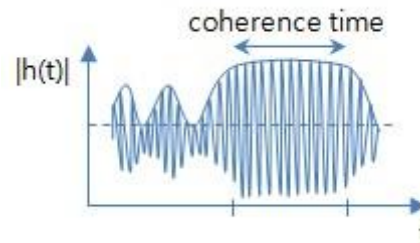
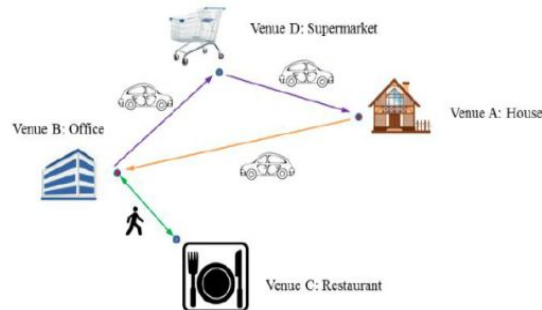
- Coherence bandwidth

Multipath Delays → **phase shifts in frequency** → **channel changes in frequency**
(→ **coherence bandwidth**)

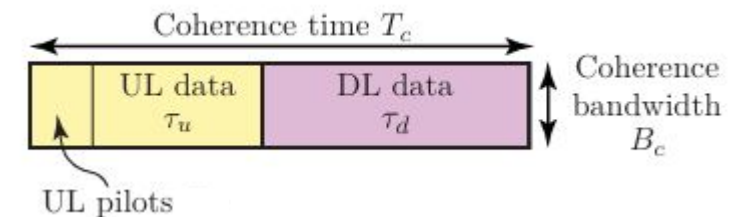
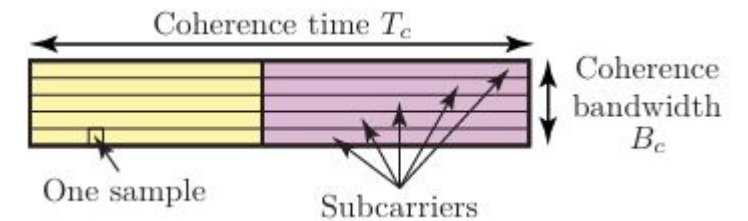


- Coherence time

Doppler shift → **phase shift in time** → **channel changes in time** (→ **coherence time**)



- Coherence block

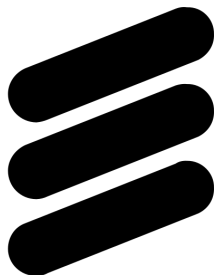




Cellular networks, MIMO and co-located massive MIMO

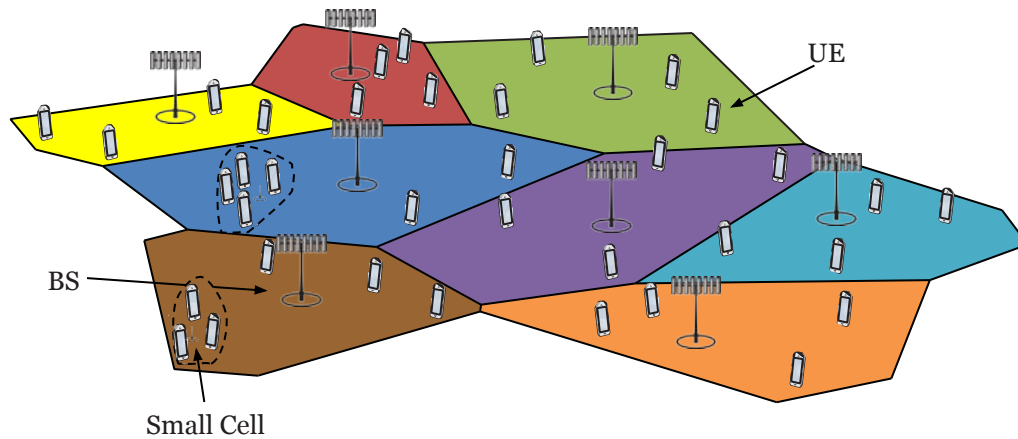


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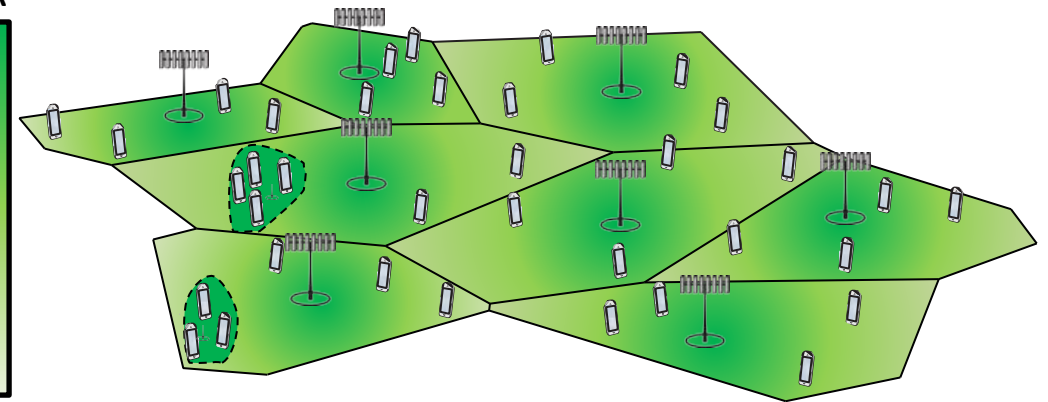


Definition

- The coverage area is called cell
- A base station (BS) serves a set of user equipment (UE)



SNR



Main tiers

- Coverage tier: outdoor
- Hotspot tier: indoor and limited area outdoor service

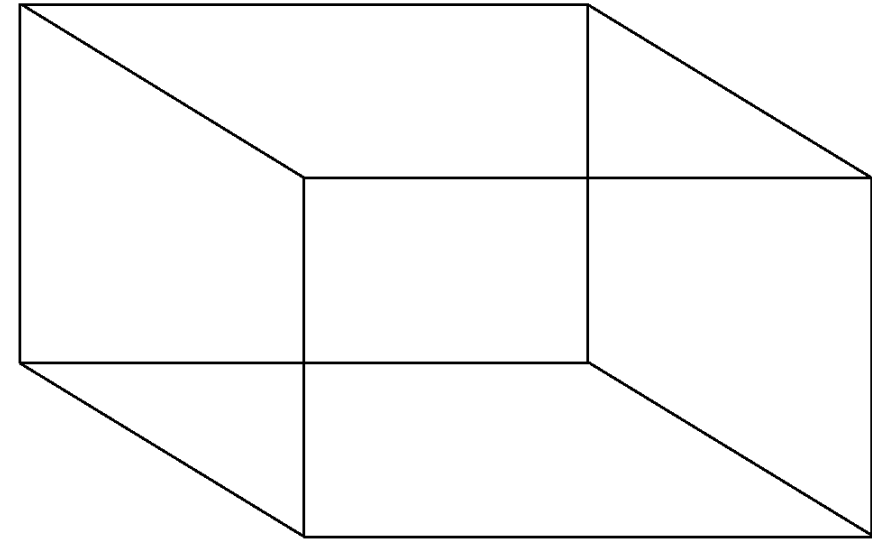
The concept of area throughput

- Modeled as the volume of a rectangular box
- Measured in bit/s/Km^2

A question arises

- What should we improve? CD, BW or SE?
- What are the impacts of improving CD, BW or SE?

SE = S / (A * T)



Increasing BW

- Impaired by license and spectrum sharing
- Very hard to do for the coverage tier

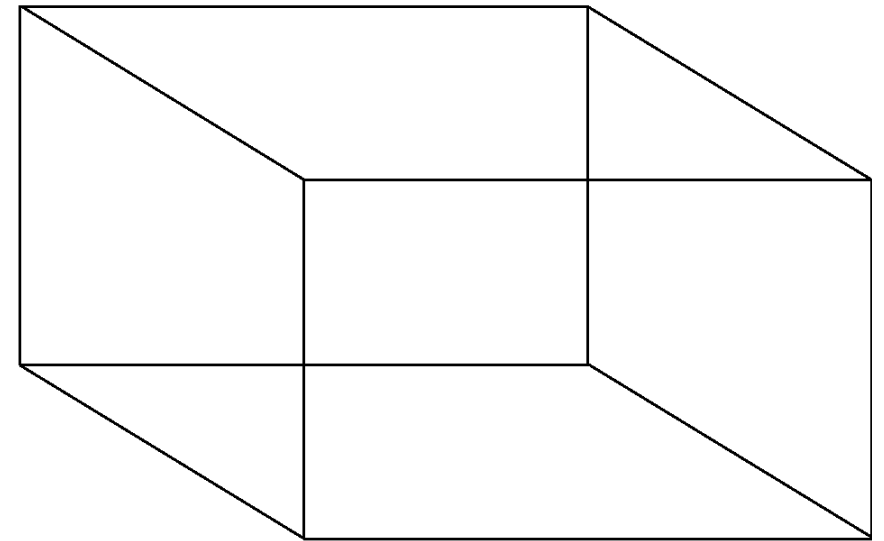
Increasing CD

- There are some challenges...

Increasing SE

- Allows to use the BSs and bandwidth more effectively

SE = BW / CD



Ways to improve the Spectral Efficiency (SE)



Increasing the transmit power

- Pushes the network into an interference-limited regime
- Example, a Line-of-Sight (LOS) case. Two cells and two UEs

$$SE_0^{LoS} = \log_2 \left(1 + \frac{1}{\bar{\beta} + \frac{1}{SNR_0}} \right),$$

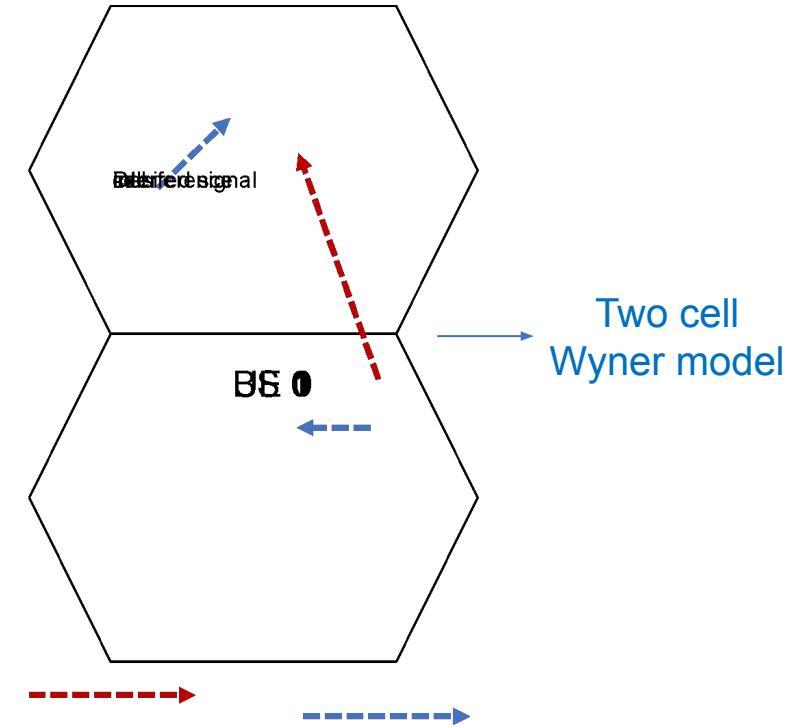
where $\bar{\beta} = \frac{\beta_1^0}{\beta_0^0}$

----- Channel gain of interfering UE 1

----- Channel gain of UE 0

$$\frac{1}{\bar{\beta} + \frac{1}{SNR_0}} = \frac{\overbrace{p\beta_0^0}^{\text{Signal power}}}{\underbrace{p\beta_1^0}_{\text{Interference power}} + \underbrace{\sigma^2}_{\text{Noise power}}}$$

$$SE_0^{LoS} \rightarrow \log_2 \left(1 + \frac{1}{\bar{\beta}} \right) \text{ as } p \rightarrow \infty$$



Ways to improve the Spectral Efficiency (SE)



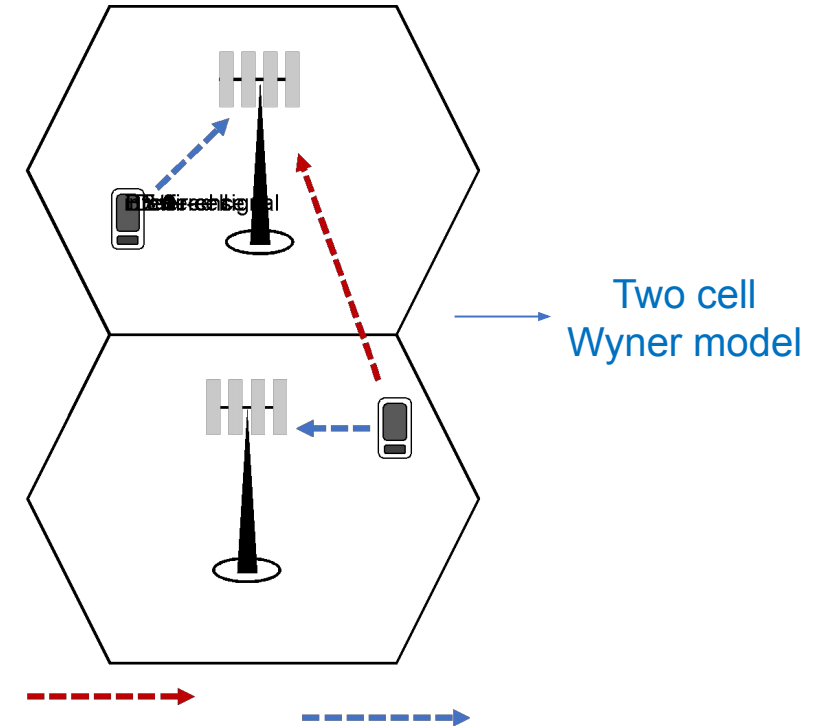
Array gain

- Increase the SE by using multiple transmitting antennas (M)
- Example: Non-Line-Of-Sight (NLOS) case. Two cells and two UEs

$$SE_0^{NLoS} \geq \log_2 \left(1 + \frac{\overbrace{M-1}^{\text{Array gain (M)}}}{\bar{\beta} + \frac{1}{SNR_0}} \right),$$

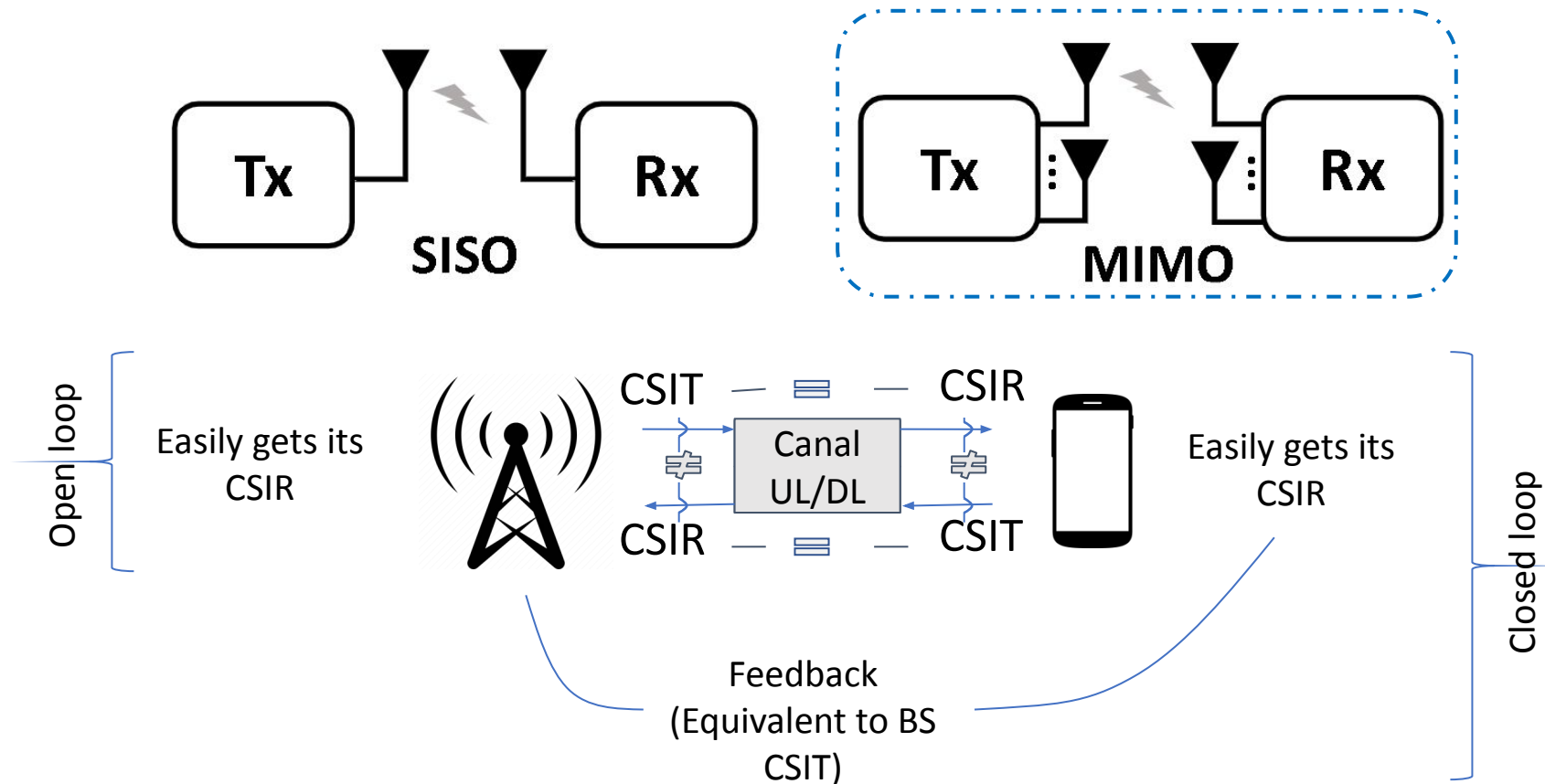
where $\bar{\beta} = \frac{\beta_1^0}{\beta_0^0}$

----- Channel gain of interfering UE 1
 ----- Channel gain of UE 0



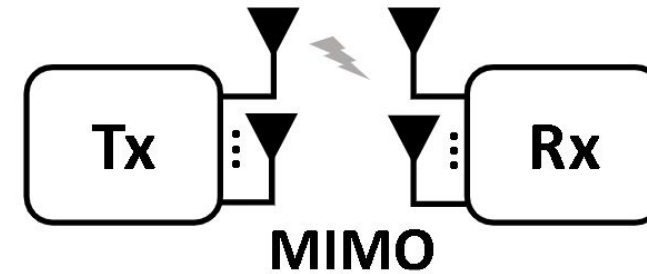
Basics of multi antenna communication

- Increase the SE by exploiting the array gain



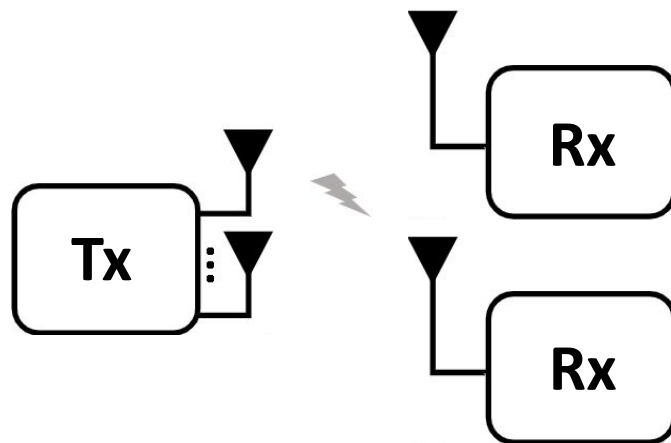
Single-user (SU) MIMO

- There is only one UE and one BS (or AP)
- Both are equipped with multiple antennas

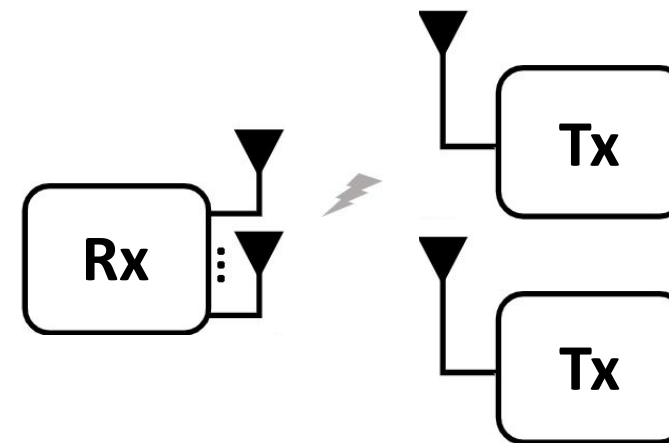


Multi-user (MU) MIMO

- BSs are equipped with multiple antennas and serve multiple UEs (single or multi antenna)

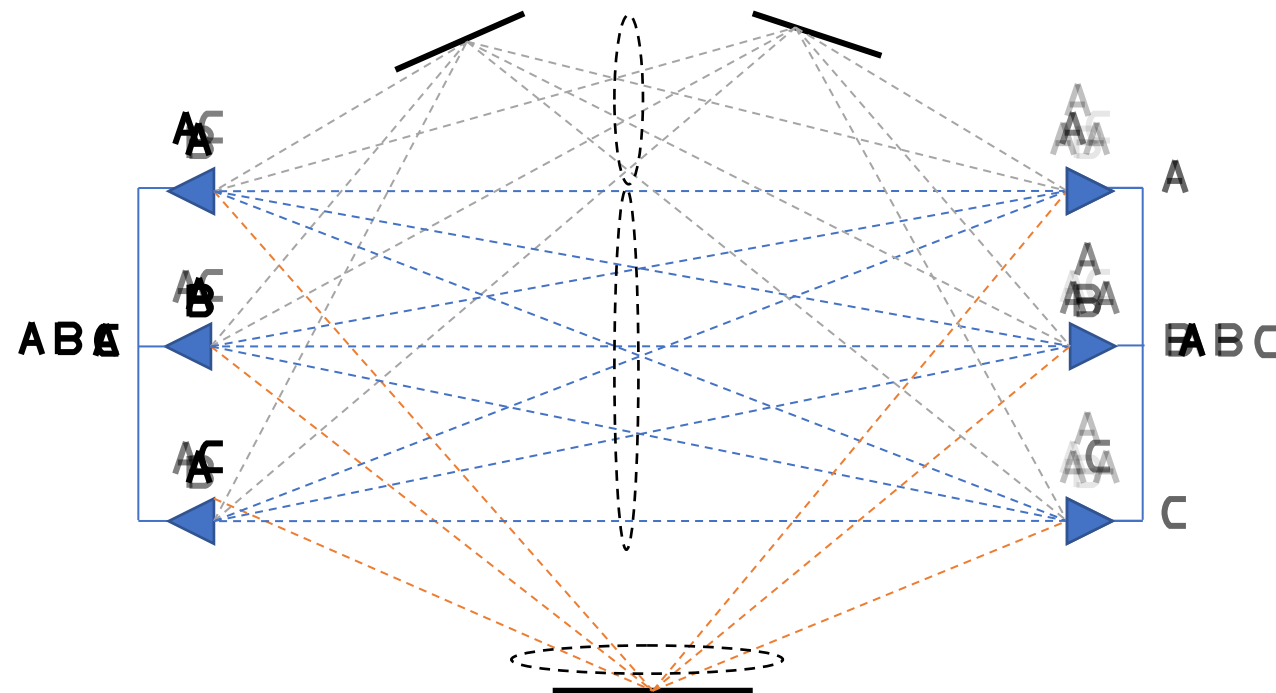


Downlink

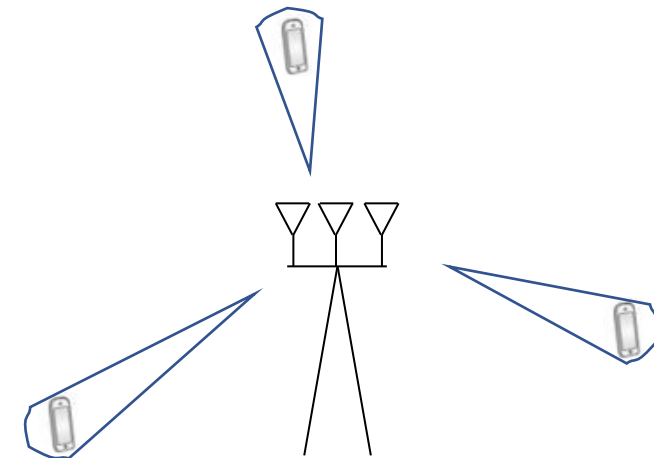


Uplink

MIMO operation types

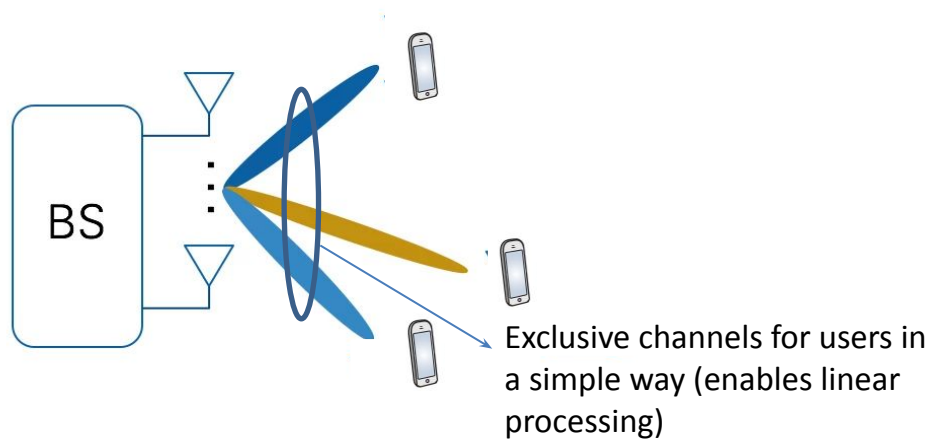


- Diversity
 - Combiner
 - Space time code
- Multiplexing
 - Precoder
 - Combiner
- Multiple Access
 - Precoder
 - Combiner



Basics of massive MIMO

- Base stations (BSs) equipped with several antennas (64, 128 or more)
- Number of users (K) smaller than M



Favorable propagation

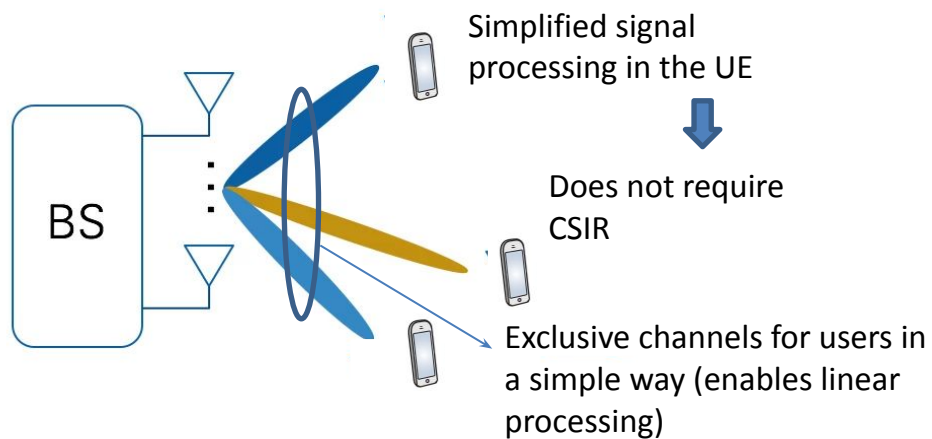
- The channel directions become asymptotically orthogonal as $M \rightarrow \infty$ □

$$\frac{(\mathbf{h}_i^0)^H \mathbf{h}_k^0}{\sqrt{\mathbb{E}\{\|\mathbf{h}_i^0\|^2\} \mathbb{E}\{\|\mathbf{h}_k^0\|^2\}}} \rightarrow 0 \quad \text{as } M \rightarrow \infty$$

Channel directions $\rightarrow \mathbf{h}_i^0 / \sqrt{\mathbb{E}\{\|\mathbf{h}_i^0\|^2\}}$ and $\mathbf{h}_k^0 / \sqrt{\mathbb{E}\{\|\mathbf{h}_k^0\|^2\}}$

Basics of massive MIMO

- Base stations (BSs) equipped with several antennas (64, 128 or more)
- Number of users (K) smaller than M



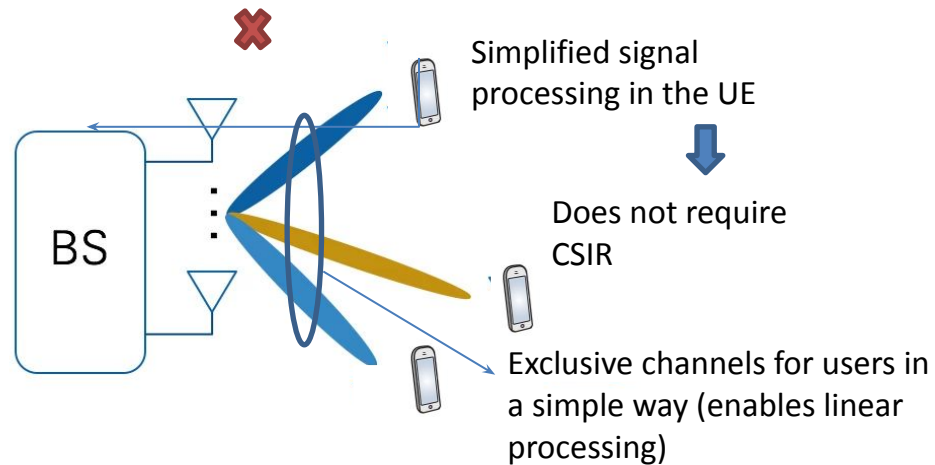
Channel Hardening

- A fast fading channel behaves almost deterministically due to spatial diversity

$$\frac{\|\mathbf{h}_i^0\|^2}{\mathbb{E}\{\|\mathbf{h}_i^0\|^2\}} = \frac{\|\mathbf{h}_i^0\|^2}{M\beta_i^0} \rightarrow 1 \quad \text{for } M \rightarrow \infty$$

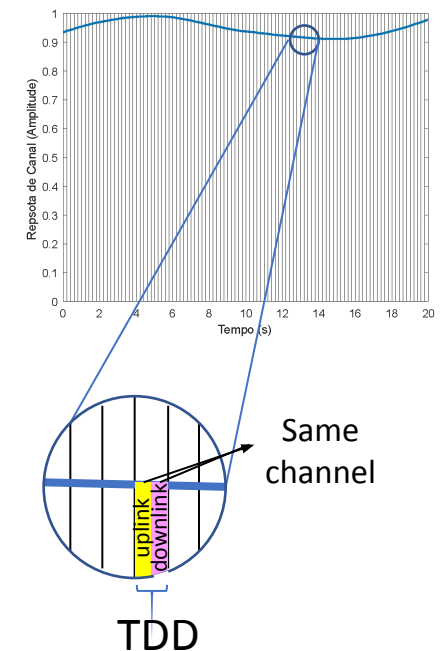
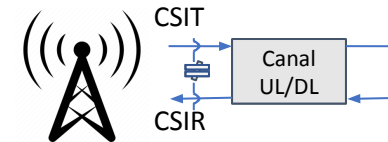
Basics of massive MIMO

- Base stations (BSs) equipped with several antennas (64, 128 or more)
- Number of users (K) smaller than M



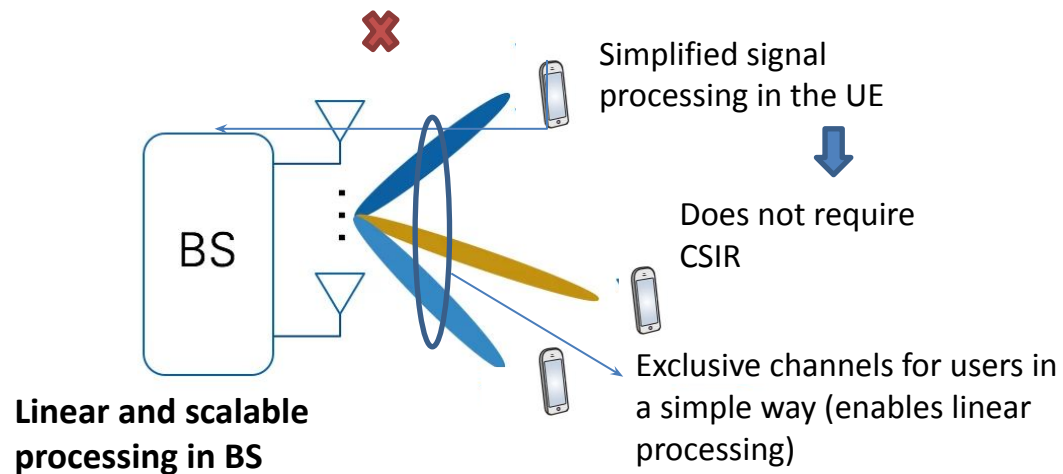
TDD coherent operation

- Reciprocal channels

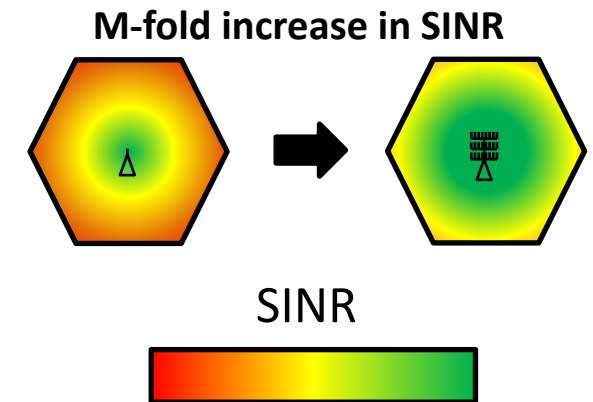
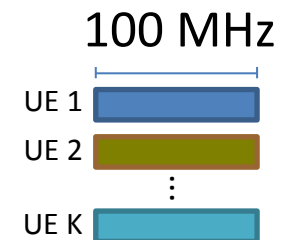


Basics of massive MIMO

- Base stations (BSs) equipped with several antennas (64, 128 or more)
- Number of users (K) smaller than M



**K-fold
increase in
aggregate
capacity**

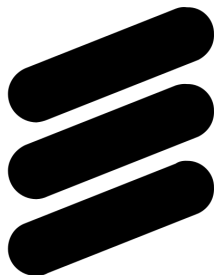




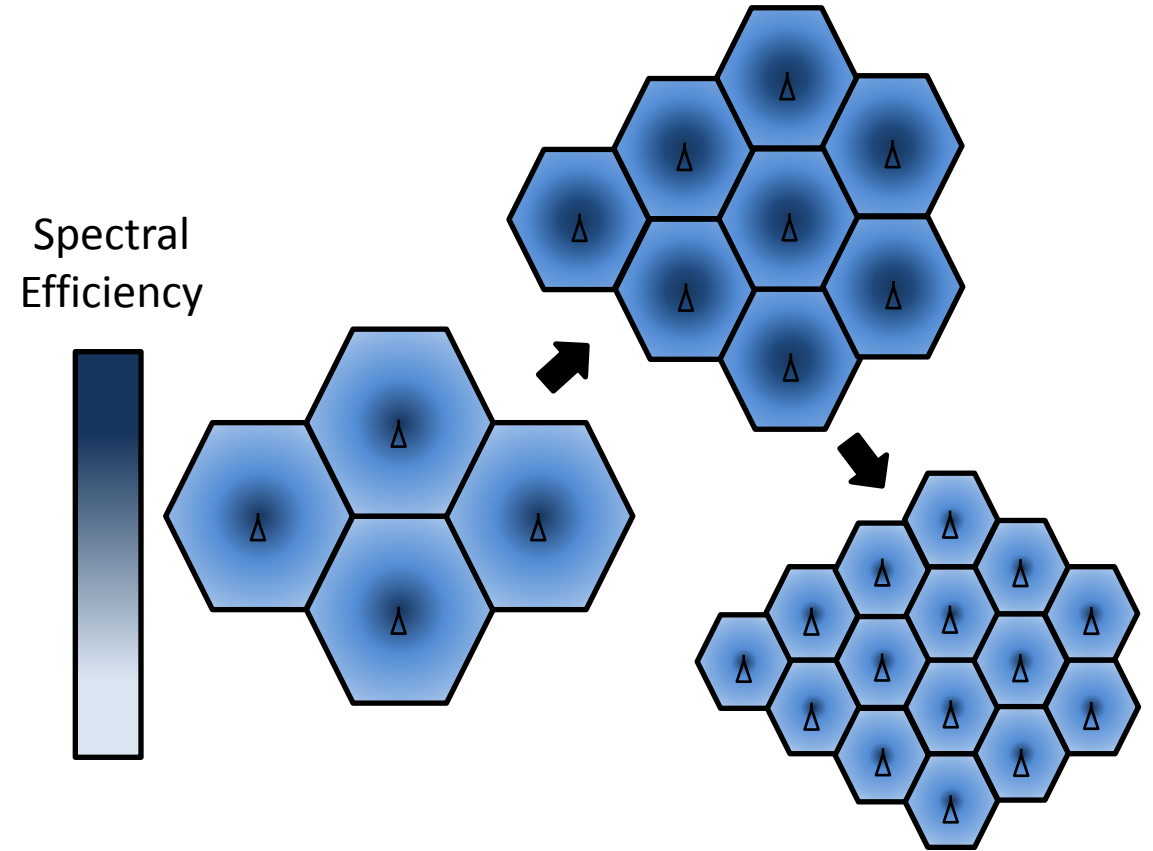
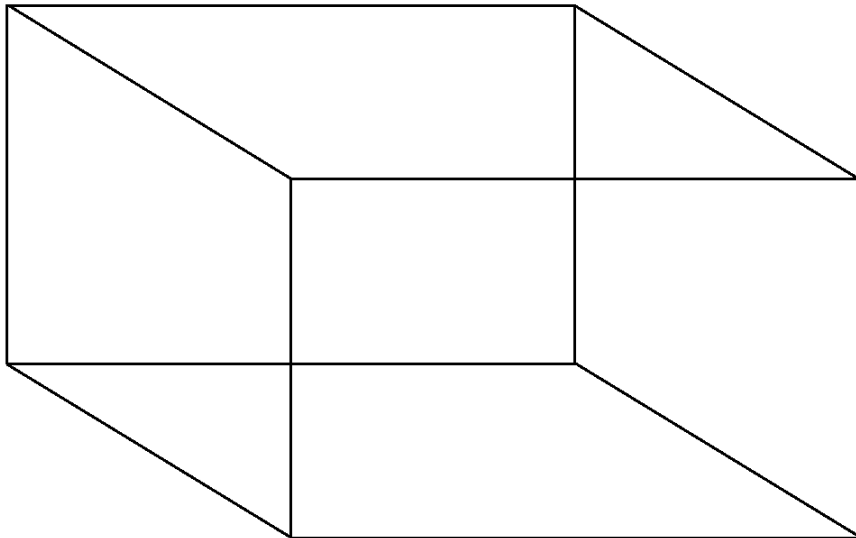
Distributed MIMO and Cell-free massive MIMO networks



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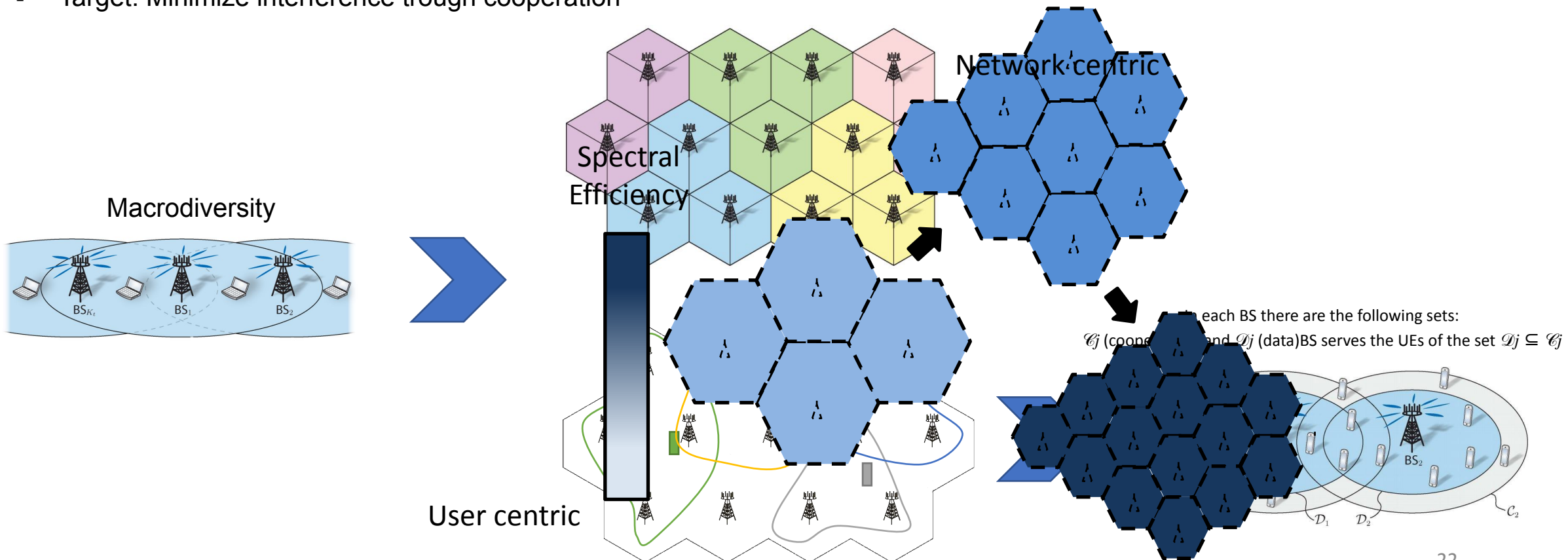
Densification effects of the cellular paradigm



How to increase density without increasing interference

Distributed MIMO systems, network MIMO

- A user is connected to more than one BS at the same time
- Target: Minimize interference through cooperation



Why is distributed MIMO not implemented yet



MIMO distribuído vs massive MIMO

Distributed MIMO

VS

Massive MIMO

- Formal definition (Standardization)
- TDD
- Signal processing definition

Cell-Free

Cell-Free Massive MIMO Networks

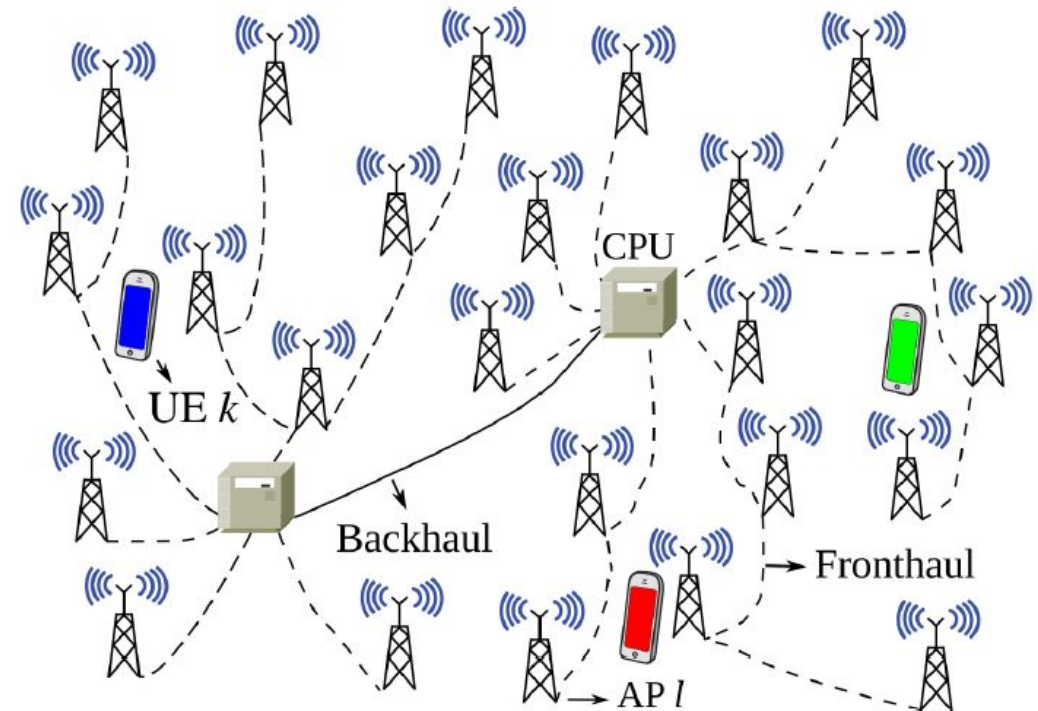


A particular case of distributed MIMO

- The antennas are spread out in the coverage area in terminals called access points (APs)
- Benefits of massive MIMO and distributed MIMO
- Better coverage probability

Canonical cell-free

- All APs serve all UEs
- Infeasible in terms of processing and data sharing





Evolution of Cell-Free Massive MIMO Networks

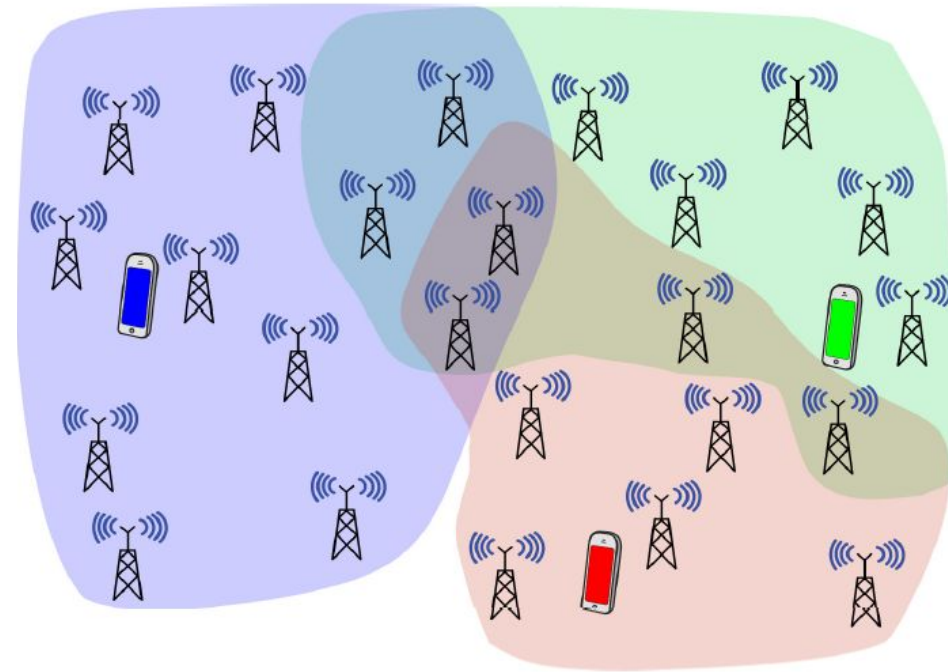
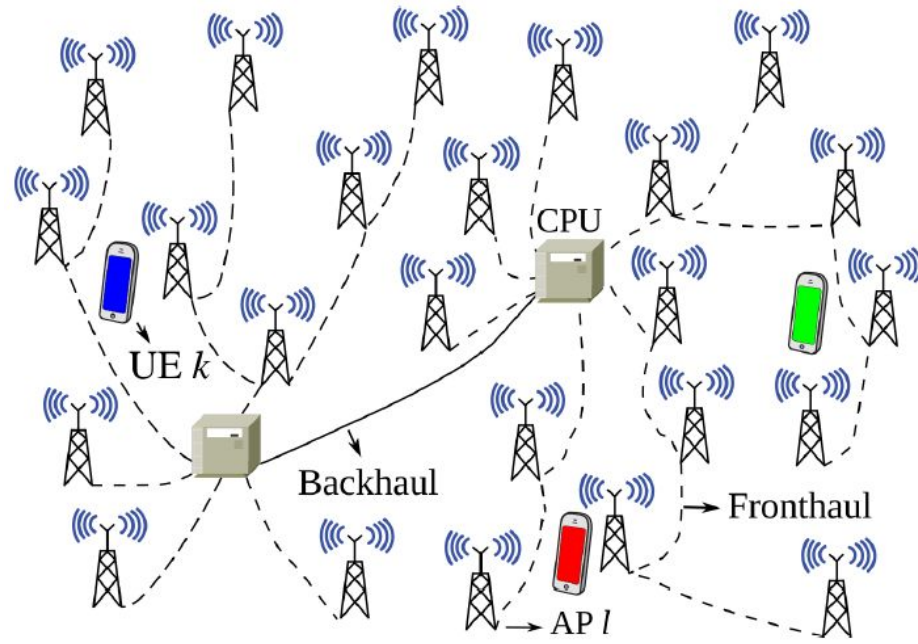


Timeline

- Distributed MIMO
- Canonical cell-free massive MIMO
- User-centric cell-free massive MIMO ←
- Scalable user-centric cell free massive MIMO

User centric approach

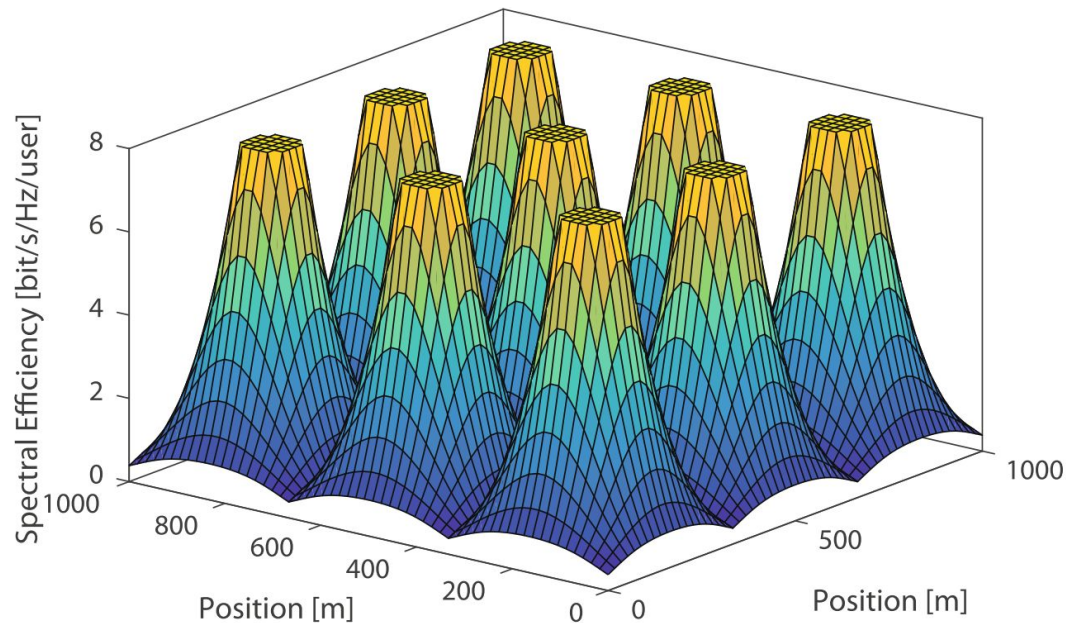
- The UEs connect to a subset of APs called AP cluster
- Reduction of processing demands and data sharing



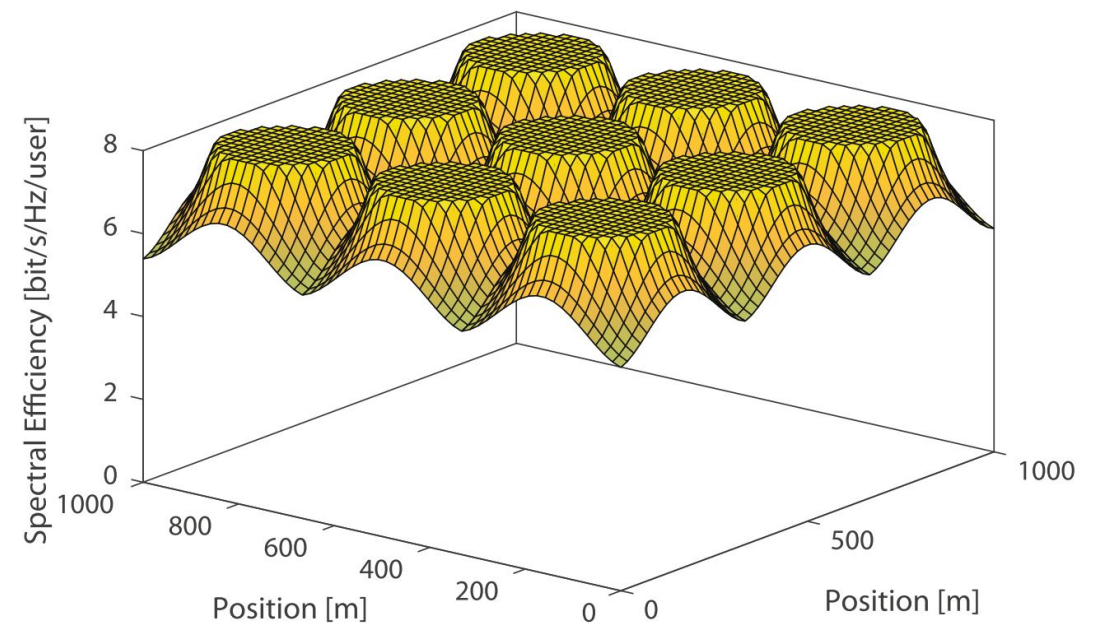
More uniform coverage than cell-based systems

- The SE of the 95% likely UEs is increased in user-centric cell-free massive MIMO systems
- Parameters setting: nine APs are deployed on a regular grid; UEs at different locations

Cellular network



User-centric cell-free massive MIMO





Evolution of Cell-Free Massive MIMO Networks



Timeline

- Distributed MIMO
- Canonical cell-free massive MIMO
- User-centric cell-free massive MIMO
 - Also known as **User-centric distributed massive MIMO**
- Scalable user-centric cell free massive MIMO ←



Scalable user-centric cell free massive MIMO



Scalability

- User-centric cell-free massive MIMO systems are not scalable
- To determine whether a system is scalable or not, let $K \rightarrow \infty$ and check which of the following points are implementable:
 - 1) Signal processing for channel estimation
 - 2) Signal processing for data reception and transmission
 - 3) Fronthaul signaling for data and CSI sharing
 - 4) Power control optimization

Definition

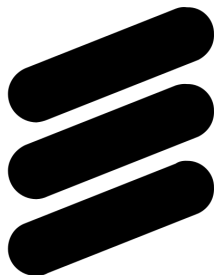
- A cell-free massive MIMO systems is scalable if the four above-listed points have finite complexity and resource requirements for each AP as $K \rightarrow \infty$
- Necessary condition: limit the number of UEs that each AP can serve



Modelling a cell-free massive MIMO network

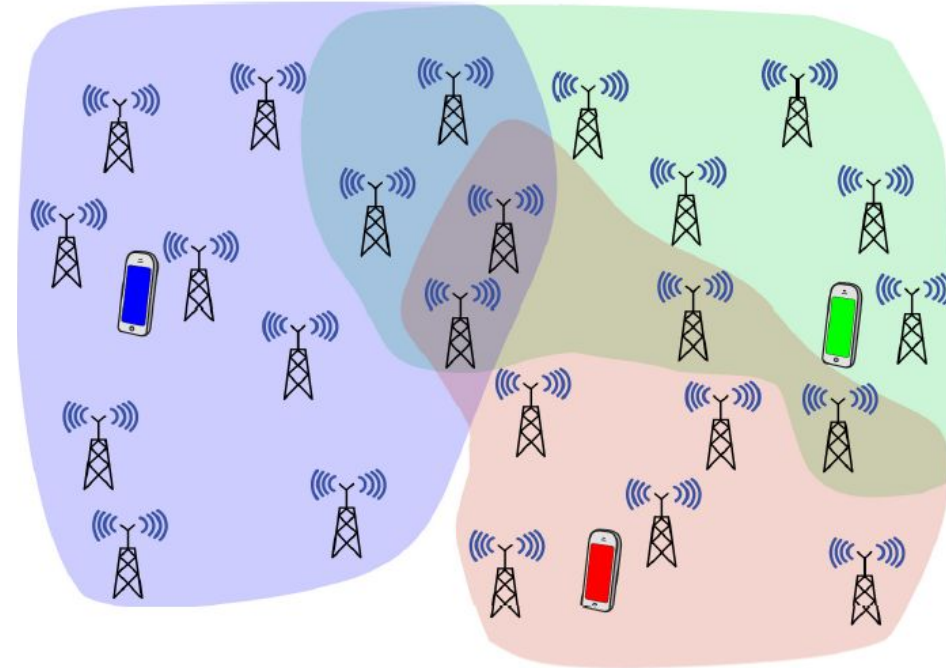
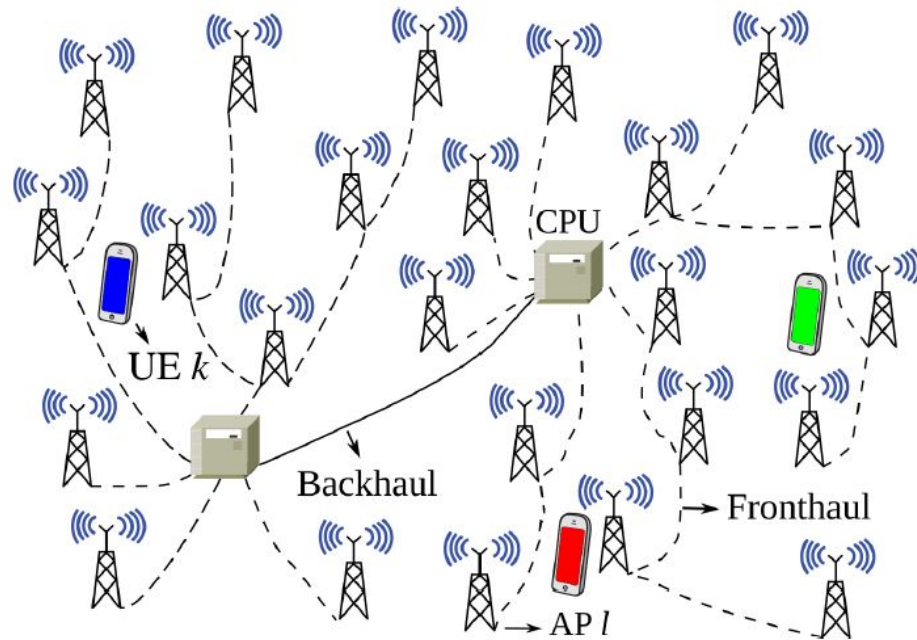


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System model

- It is considered a cell-free massive MIMO network composed of L APs and K UEs
- Each AP is equipped with N antennas
- The total number of antennas in the network is $M = NL$

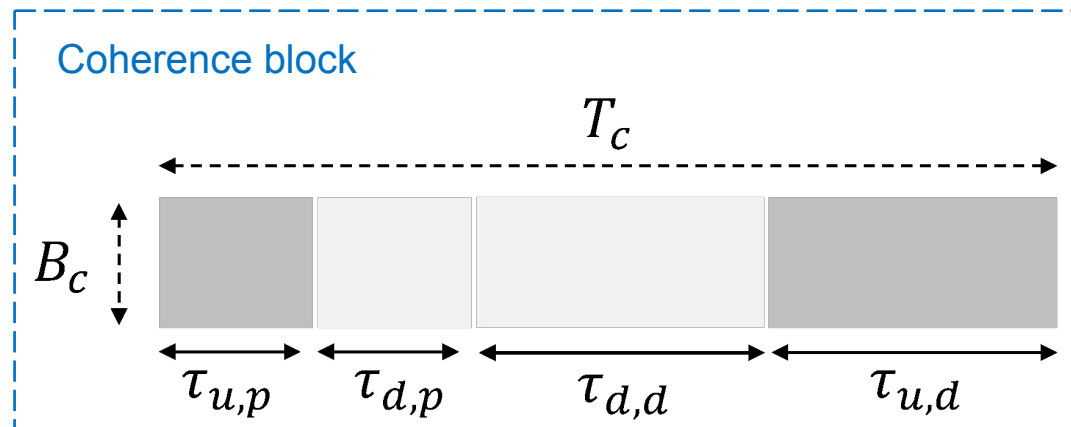


Time Division Duplexing (TDD) Protocol



Simplified frame structure and important concepts

- Coherence time (T_c) : the frequency response of a fading channel becomes flat (constant)
- Coherence bandwidth (B_c) : the bandwidth associated with the coherence time
- Coherence block (τ_c): number of complex samples available for signal transmission



Number of samples per coherence block:

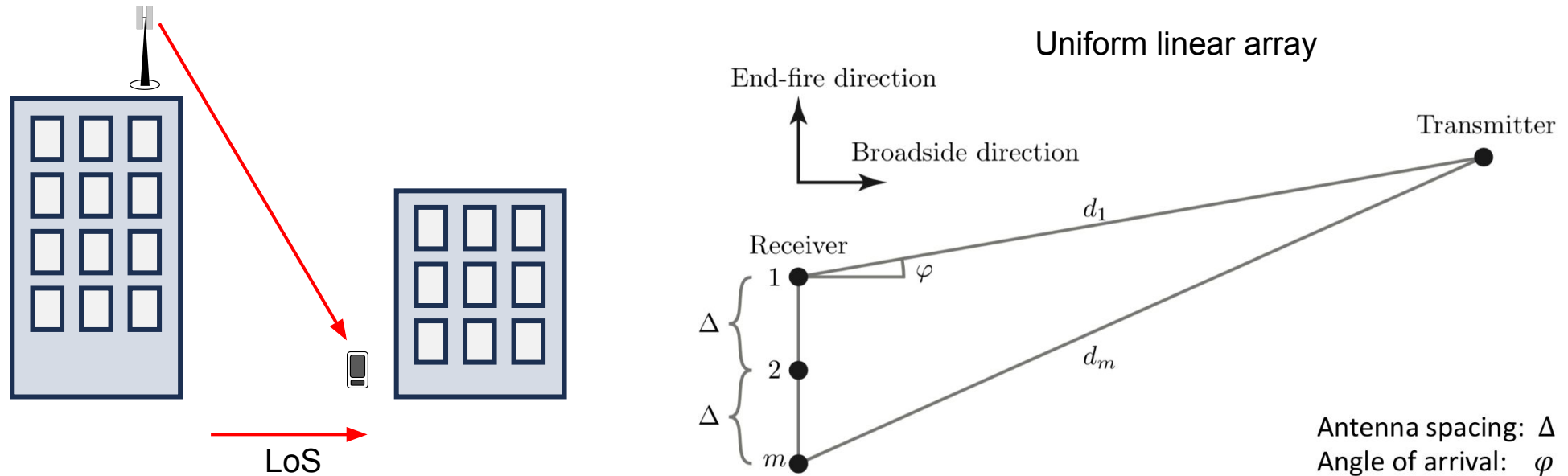
$$\tau = B_c T_c \rightarrow \left[T_c = \frac{\lambda}{4v} \right] \left[B_c = \frac{1}{2T_D} \right]$$
$$\tau = \tau_{u,p} + \tau_{d,p} + \tau_{d,d} + \tau_{u,d}$$

TDD can exploit reciprocity

User-centric cell-free massive MIMO network

System model: LoS component

- The channel vector between the AP l and UE k is denoted as $\mathbf{h}_{kl} \in \mathbb{C}^{N \times 1}$ and it can be calculated as



$$\mathbf{h}_{kl}^{\text{LoS}} = \sqrt{\beta_{kl}} \left[1, e^{-j\pi \sin(\varphi_{kl}) \cos(\theta_{kl})}, \dots, e^{-j(N-1)\pi \sin(\varphi_{kl}) \cos(\theta_{kl})} \right]^T$$

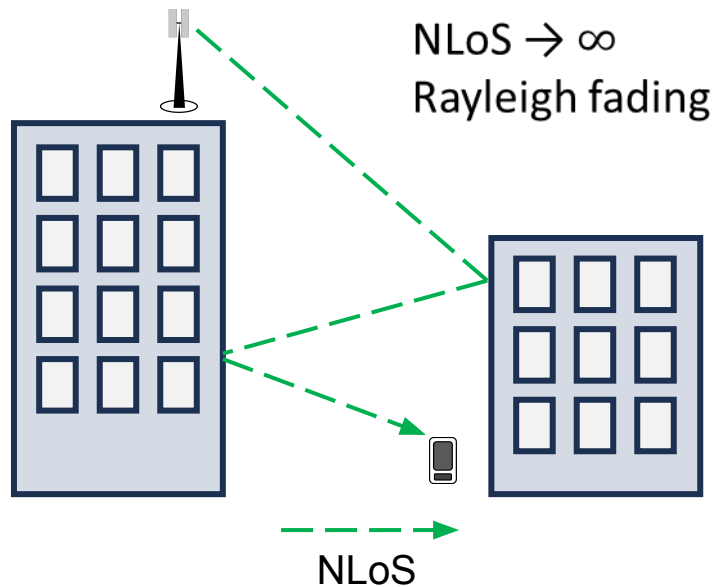
Large-scale gain

Azimuth angle

Elevation angle

System model: NLoS component

- The channel vector between the AP l and UE k is denoted as $\mathbf{h}_{kl} \in \mathbb{C}^{N \times 1}$ and it can be calculated as



Statistical covariance matrix

$$\mathbf{R}_{kl} \in \mathbb{C}^{N \times N}$$

Composed of elements that are i.i.d complex Gaussian RVs, i.e., $\mathcal{N}_{\mathbb{C}}(0, 1)$

$$\mathbf{h}_{kl} = \sqrt{\mathbf{R}_{kl}} \mathbf{g}_{kl} \quad \mathbf{g}_{kl} \in \mathbb{C}^{N \times 1}$$

- For all APs in the network, we have

$$\mathbf{h}_k = [\mathbf{h}_{k1}^T, \dots, \mathbf{h}_{kL}^T]^T \in \mathbb{C}^{M \times 1}$$

Collective vector

$$\mathbf{R}_k = \text{diag}(\mathbf{R}_{k1}, \dots, \mathbf{R}_{kL}) \in \mathbb{C}^{M \times M}$$

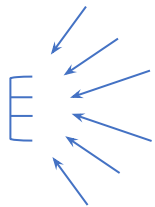
System model: Correlation matrix

- Matrix describing the macroscopic effects of propagation, including antenna gains and radiation patterns at the transmitter and receiver.

$$\mathbf{R}_{kl} = \begin{bmatrix} \text{Large-scale gain ant. 1} & \text{Corr. ant. 1 \& 2} & \text{Corr. ant. 1 \& 3} \\ \text{Corr. ant. 2 \& 1} & \text{Large-scale gain ant. 2} & \text{Corr. ant. 2 \& 3} \\ \text{Corr. ant. 3 \& 1} & \text{Corr. ant. 3 \& 2} & \text{Large-scale gain ant. 3} \end{bmatrix}$$

For N=3

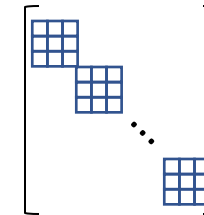
$$\mathbf{R}_k = \text{diag}(\mathbf{R}_{k1}, \dots, \mathbf{R}_{kL}) \in \mathbb{C}^{M \times M}$$



Uncorrelated channel

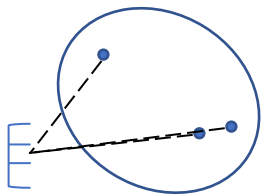


Correlated channel



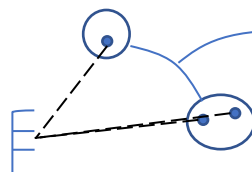
- The presence of correlation in the channel can favor or hinder interference cancellation

Absence of correlation



All users have uniformly different channels

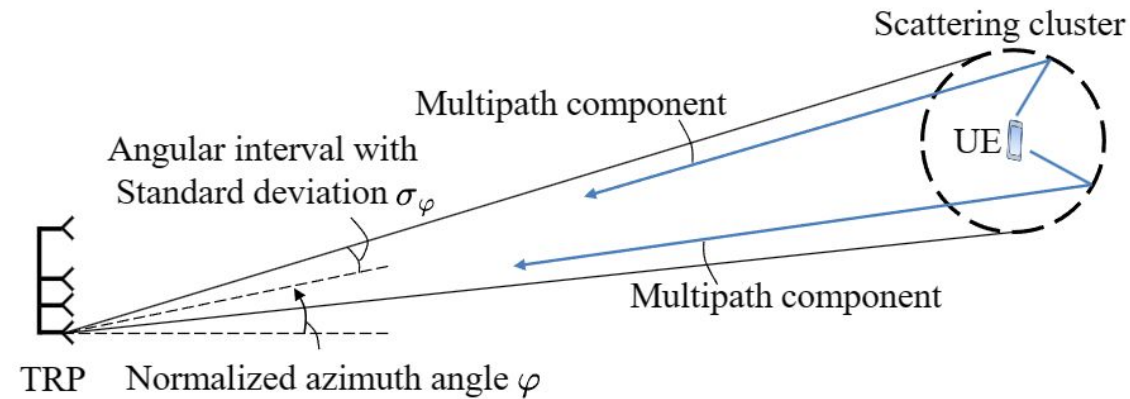
Existence of correlation



Very similar channels due to similar direction, strong interference

Very different channels due to very different directions, weak interference

System model: Correlation matrix



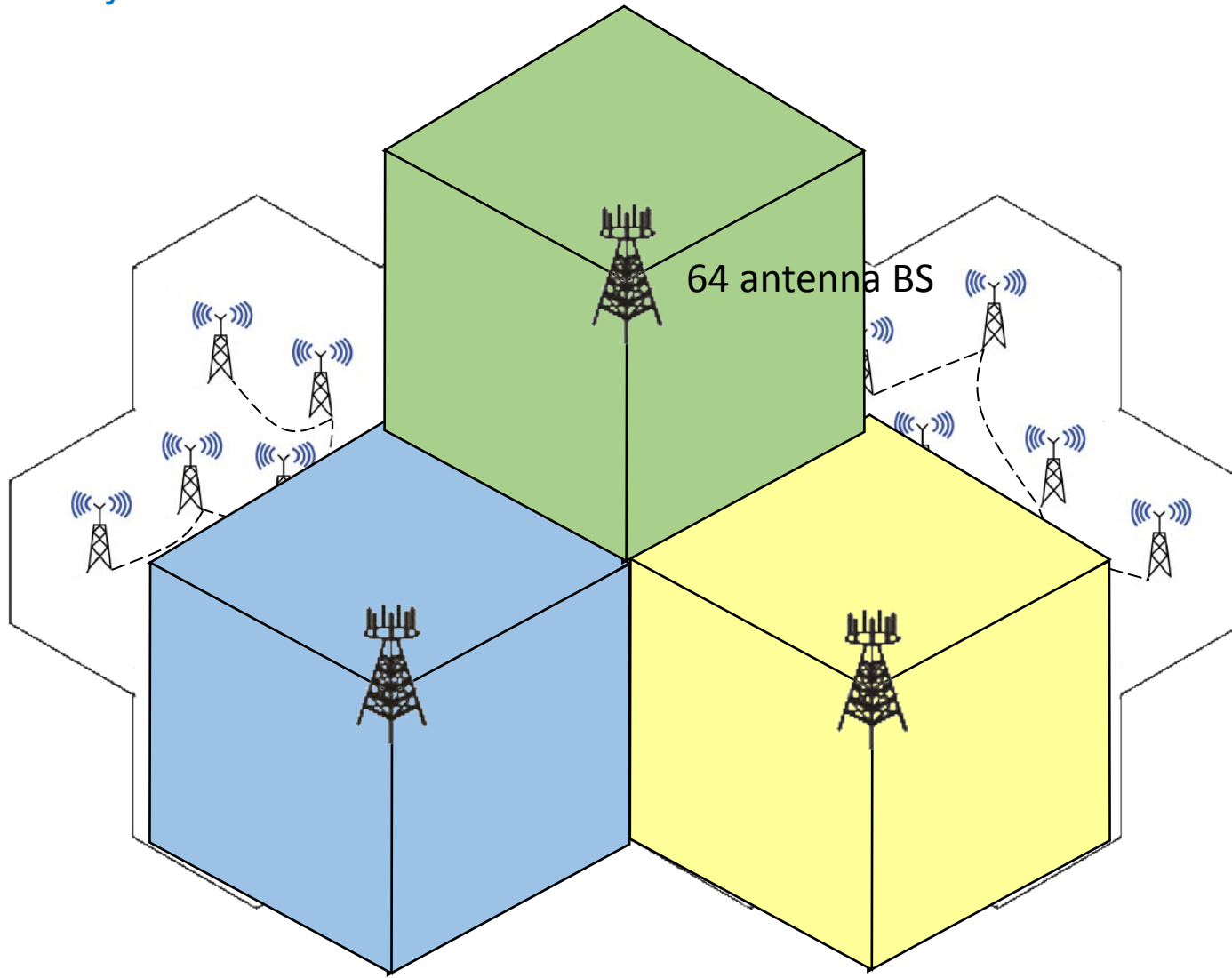
$$[\mathbf{R}]_{l,m} = \beta \int e^{2\pi j d_H(l-m) \sin(\bar{\varphi})} f(\bar{\varphi}) d\bar{\varphi} \quad \bar{\varphi} = \varphi + \delta$$

$$[\mathbf{R}]_{m\ell} = \beta \int \int e^{2\pi j d_H(m-\ell) \sin(\varphi) \cos(\theta)} f(\varphi, \theta) d\varphi d\theta \quad f(\bar{\varphi}, \bar{\theta}) = \frac{1}{2\pi\sigma_\varphi^2} e^{-\frac{(\bar{\varphi}-\varphi)^2}{2\sigma_\varphi^2}} e^{-\frac{(\bar{\theta}-\theta)^2}{2\sigma_\theta^2}}$$

$$\delta \sim \mathcal{N}(0, \sigma_\varphi^2) \quad \delta \sim U[-\sqrt{3}\sigma_\varphi, \sqrt{3}\sigma_\varphi] \quad \delta \sim \text{Lap}(0, \sigma_\varphi/\sqrt{2})$$

User-centric cell-free massive MIMO network

System model: Channel Model



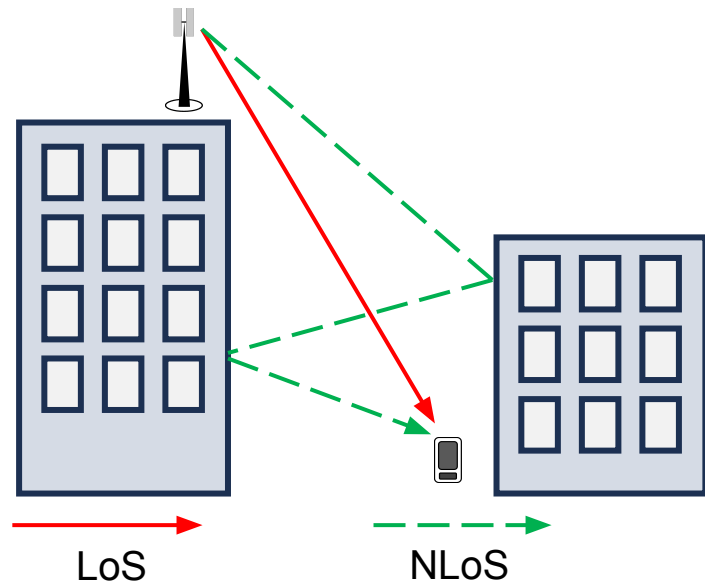
Low LOS probability



High LOS probability

System model: Channel Model

- The channel vector between the AP l and UE k is denoted as $\mathbf{h}_{kl} \in \mathbb{C}^{N \times 1}$ and it can be calculated as



$$\mathbf{h}_{kl} = \underbrace{\sqrt{\frac{\kappa_{kl}}{1 + \kappa_{kl}}} \mathbf{h}_{kl}^{\text{LOS}} e^{j\theta_{kl}}}_{\bar{\mathbf{h}}_{kl} e^{j\theta_{kl}}} + \underbrace{\sqrt{\frac{1}{1 + \kappa_{kl}}} \mathbf{h}_{kl}^{\text{NLOS}}}_{\tilde{\mathbf{h}}_{kl}},$$

Rician factor Random phase shifts: $\theta_{kl} \sim \mathcal{U}[0, 2\pi)$

→ LoS component → NLoS component

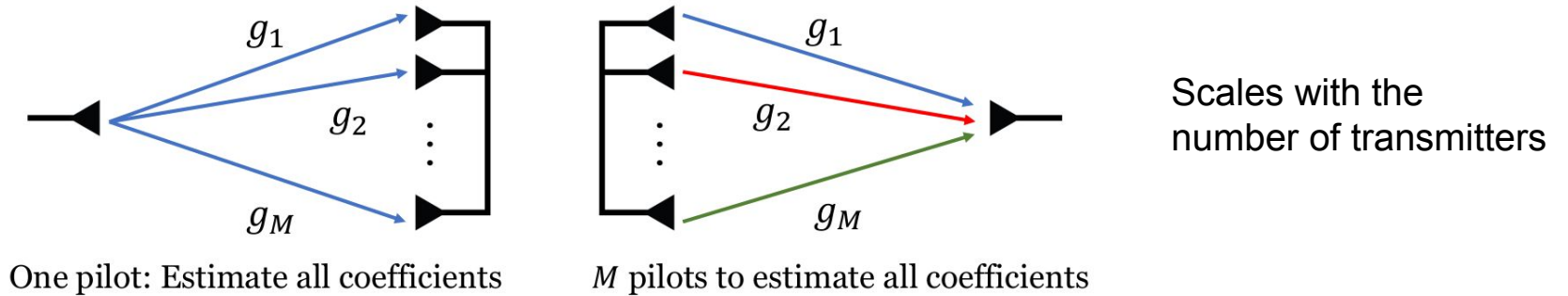
- Rician factor is the power ratio between LoS and NLoS component

$$\kappa_{kl} = 10^{13 - 0.03 d_{lk}} \rightarrow \text{Distance}$$

$$\kappa_{kl} = \frac{p_{\text{LoS}}(d_{lk})}{1 - p_{\text{LoS}}(d_{lk})} \rightarrow \text{LoS probability}$$

System model: channel estimation

- The channel vector is estimated by transmitting pilots



- Pilots are known signals designed to be mutually orthogonal

$$\phi_{t_1}^H \phi_{t_2} = \begin{cases} \sqrt{\tau_p}, & \text{if } t_1 = t_2 \\ 0, & \text{if } t_1 \neq t_2. \end{cases}$$

- Example: $[0 \ 0 \ 0 \ 1]$, $[0 \ 0 \ 1 \ 0]$, $[0 \ 1 \ 0 \ 0]$, $[1 \ 0 \ 0 \ 0]$

n samples = n orthogonal pilots!

System model: channel estimation

- AP / receives the signal of all users

$$\mathbf{y}_l^{\text{pilot}} = \sum_{k=1}^K \sqrt{\eta_k} \mathbf{h}_{kl} \phi_{t_k} + \mathbf{n}_l$$

Power
Noise

- To estimate the channel of UE k , AP / correlates with its pilot

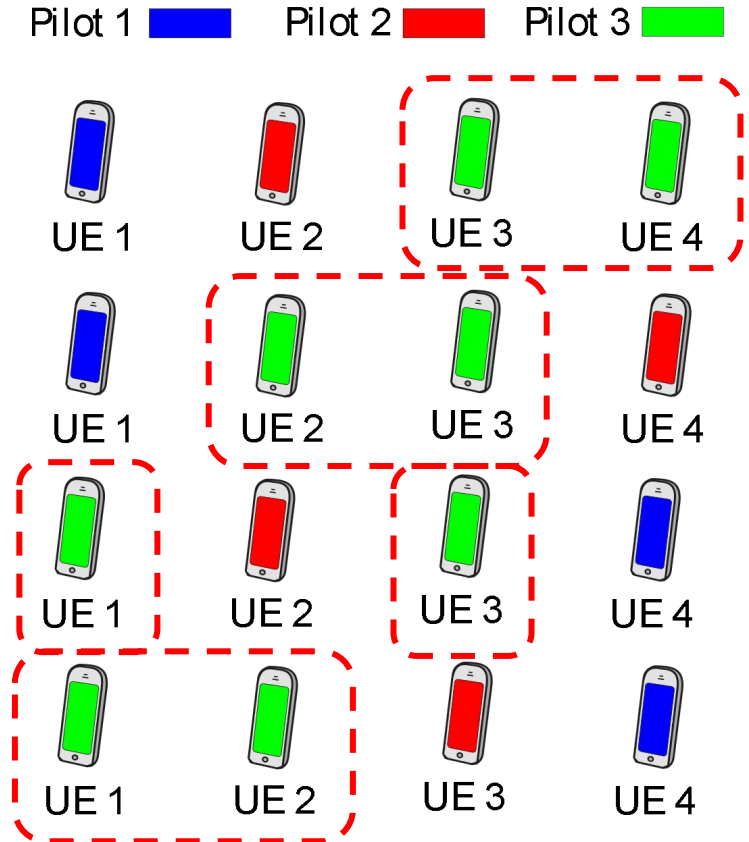
$$\mathbf{y}_{t_k l}^{\text{pilot}} = \phi_{t_k}^H \mathbf{y}_l^{\text{pilot}} = \sum_{i \in \mathcal{P}_k} \sqrt{\tau_p \eta_i} \mathbf{h}_{il} + \mathbf{n}_{t_k l},$$

All UEs with pilot index t_k

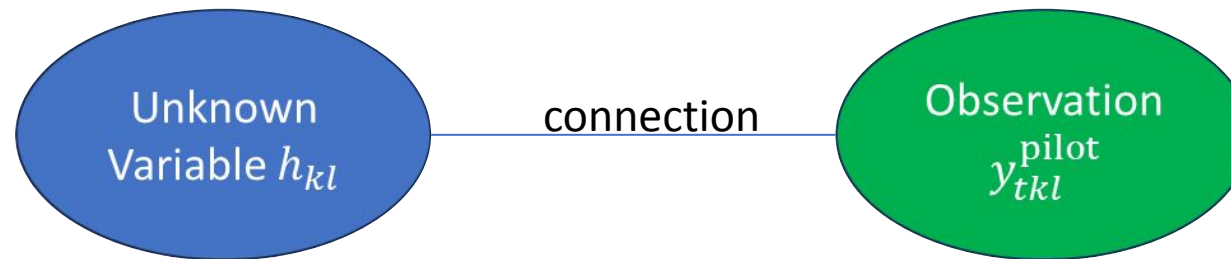
- Pilot interference happens among users with the same pilot

$$\mathbb{E} \left\{ \hat{\mathbf{h}}_{kl} \hat{\mathbf{h}}_{il}^H \right\} = \sqrt{\eta_k \eta_i \tau_p} \mathbf{R}_{kl} \boldsymbol{\Psi}_{t_k l}^{-1} \mathbf{R}_{il}, \quad i \in \mathcal{P}_k.$$

$$\mathbf{R}_{kl} = \mathbb{E} \{ \mathbf{h}_{kl} \mathbf{h}_{kl}^H \} = (\bar{\mathbf{h}}_{kl} \bar{\mathbf{h}}_{kl}^H + \tilde{\mathbf{R}}_{kl}) \quad \boldsymbol{\Psi}_{t_k l} = \mathbb{E} \{ (\mathbf{y}_{t_k l}^{\text{pilot}}) (\mathbf{y}_{t_k l}^{\text{pilot}})^H \} = \sum_{i \in \mathcal{P}_k} \eta_i \tau_{up} \mathbf{R}_{il} + \sigma_{ul}^2 \mathbf{I}_N$$



System model: channel estimation



- Least-square (LS) estimation

$$\hat{\mathbf{h}}_{kl} = \frac{1}{\sqrt{\eta_k \tau_p}} \mathbf{y}_{tkl}^{\text{pilot}}$$

Minimizes $\left\| \mathbf{y}_{tkl}^{\text{pilot}} - \sqrt{p_k \tau_p} \mathbf{h}_{kl} \right\|^2$

No need for channel statistics

- Minimum mean square estimation (MMSE)

$$\hat{\mathbf{h}}_{kl} = \sqrt{\tau_p \eta_k} \mathbf{R}_{kl} \mathbf{\Psi}_{tl}^{-1} \mathbf{y}_{tl}^{\text{pilot}}$$

Minimizes $\mathbb{E}\{\|\mathbf{h}_{kl} - \hat{\mathbf{h}}_{kl}\|^2\}$

Needs channel statistics

Known channel and noise distribution

AP cluster matrix

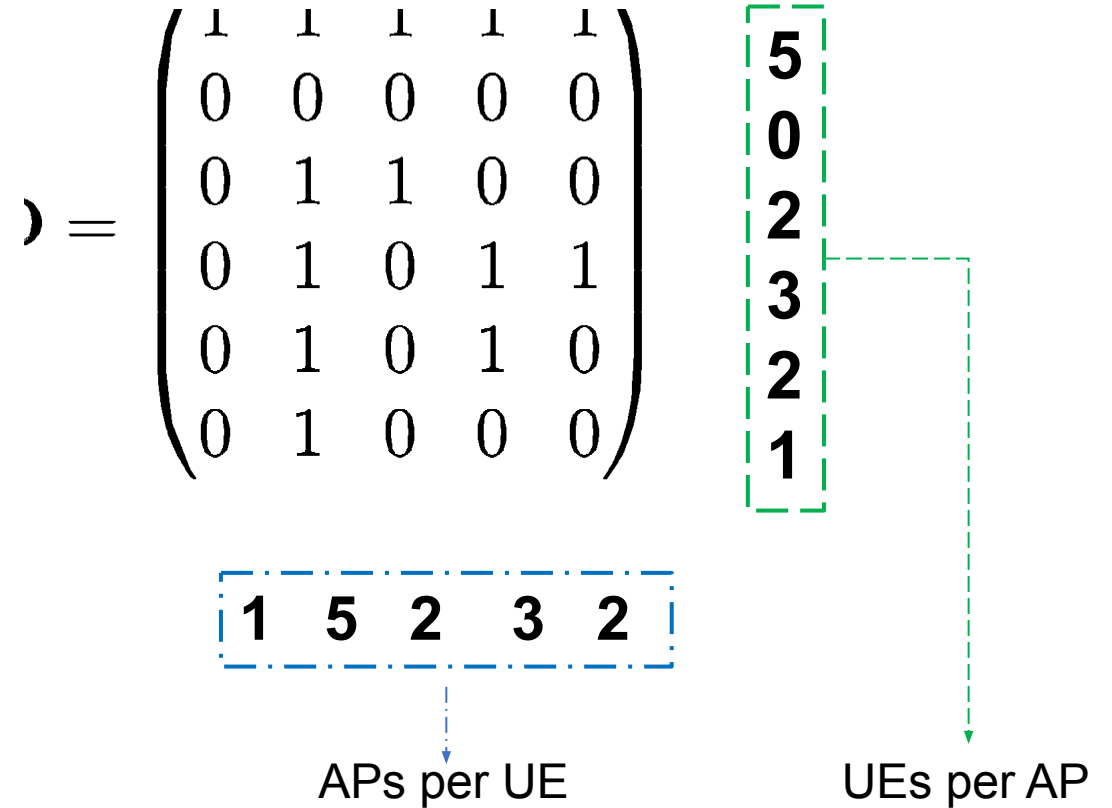


Binary matrix indicating the APs serving the UE

- Dimension: $L \times K$.
- In this example, $L = 6$ and $K = 5$

The matrix **D** also indicates the subset of UEs that each AP serves

- The number of UEs that each AP serves is limited in a scalable system



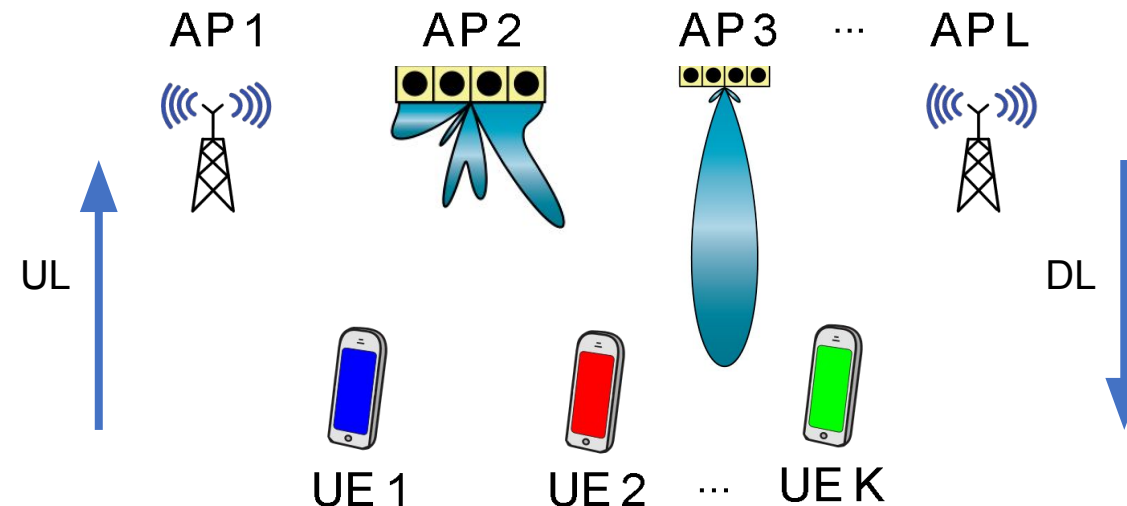
System model: data transmission

- UL phase:
 - UEs send data to the APs
 - Combining vectors are computed based on channel estimates
- DL phase:
 - APs send data to the UEs
 - Precoding vectors are computed based on combining vectors
- Combining and precoding are signal-processing techniques used to improve the desired signal and mitigate interference

$$\mathbf{w}_k = \sqrt{\rho_k} \frac{\mathbf{v}_k}{\sqrt{\mathbb{E} \{ \mathbf{v}_k^H \mathbf{D}_k \mathbf{v}_k \}}}$$

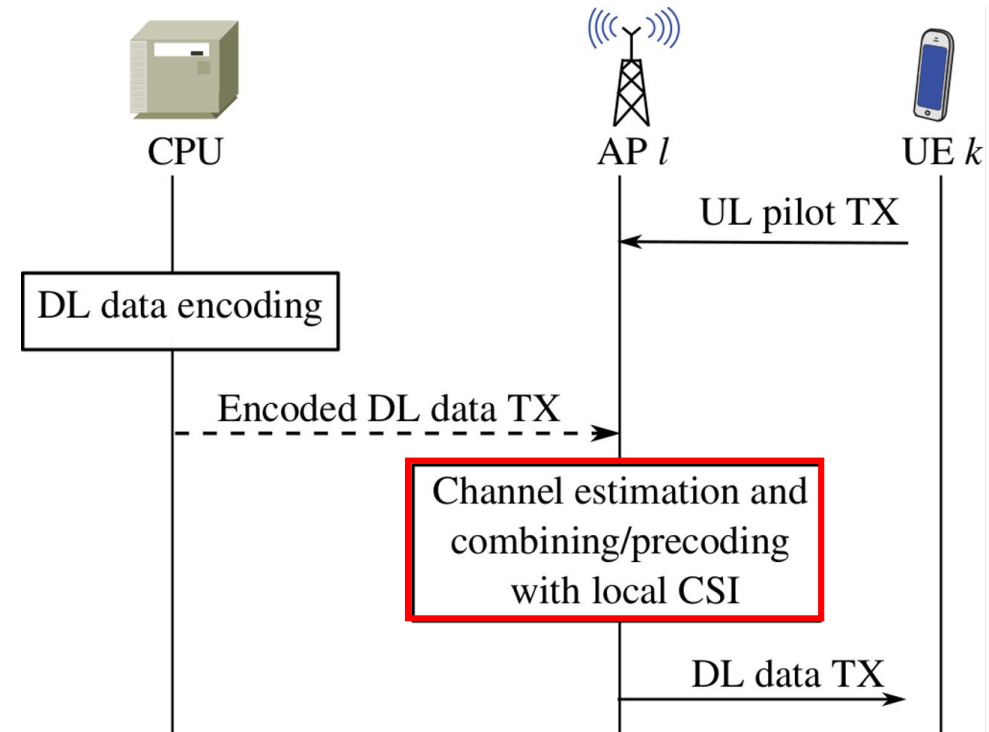
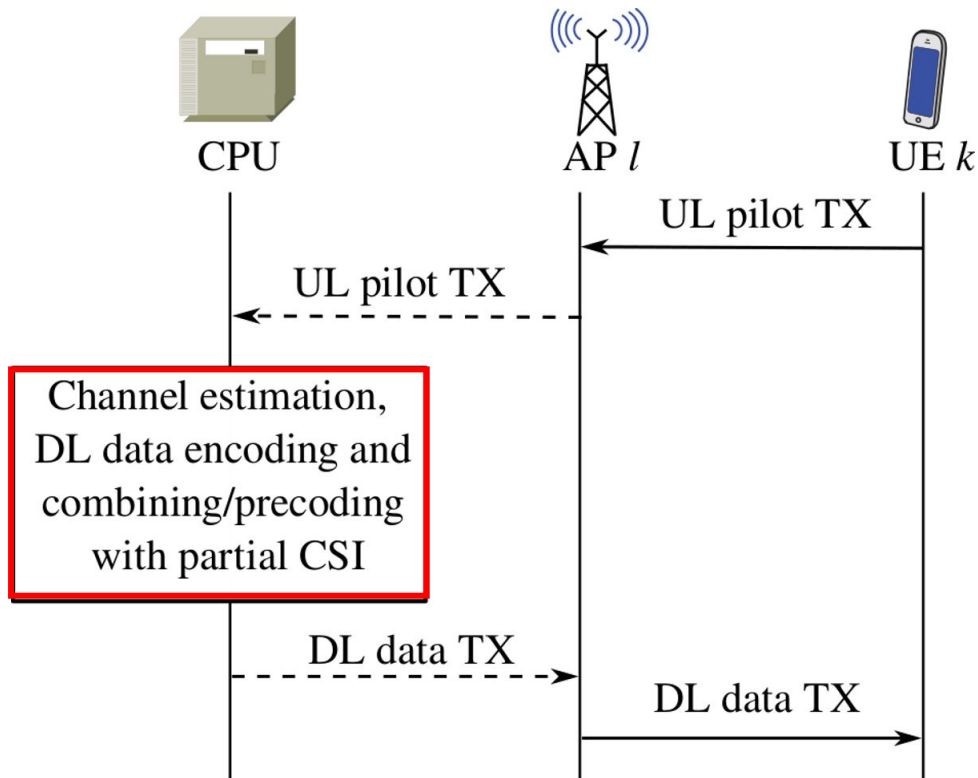
Labels for the equation:

- $\mathbf{w}_k$: Precoding vector
- $\sqrt{\rho_k}$: Power
- $\mathbf{v}_k$: Combining vector
- $\mathbf{D}_k$: APs that serve UE k



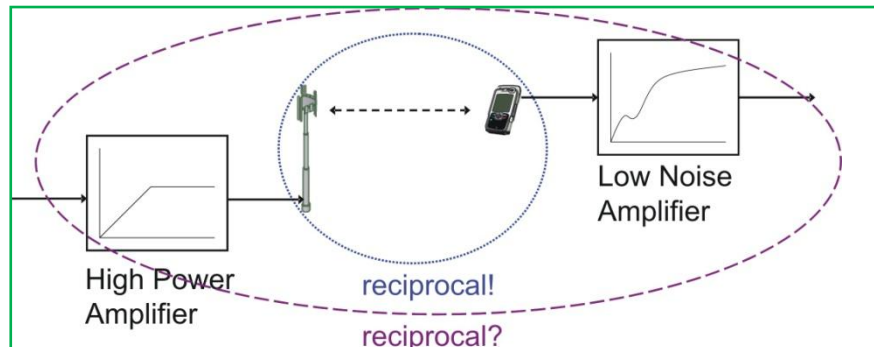
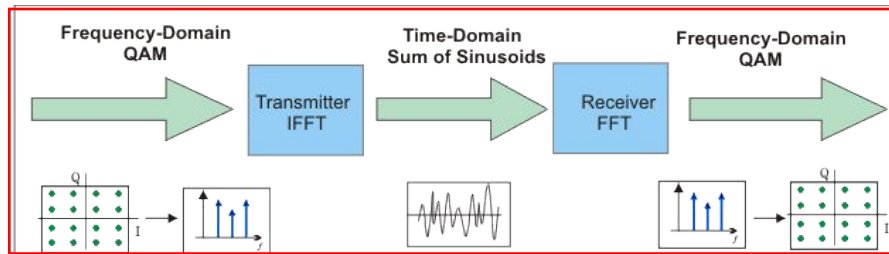
System model: data transmission

- Combining and precoding can be performed at CPUs or APs

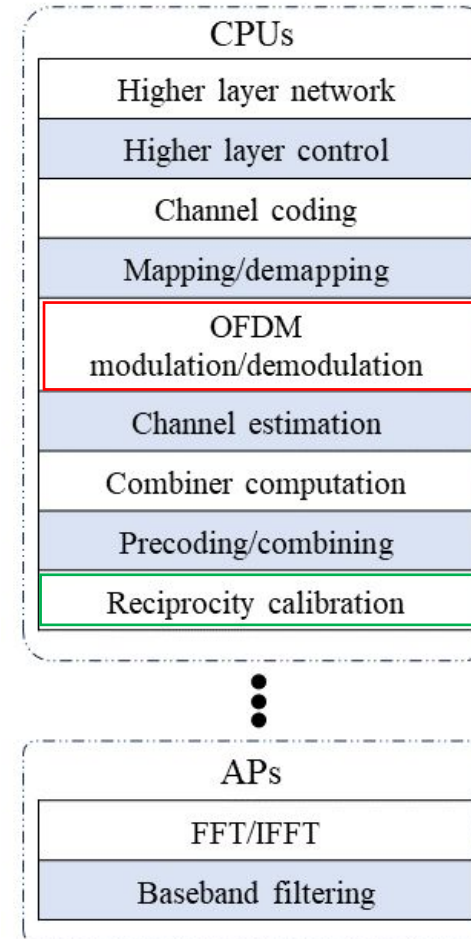


System model: network implementation

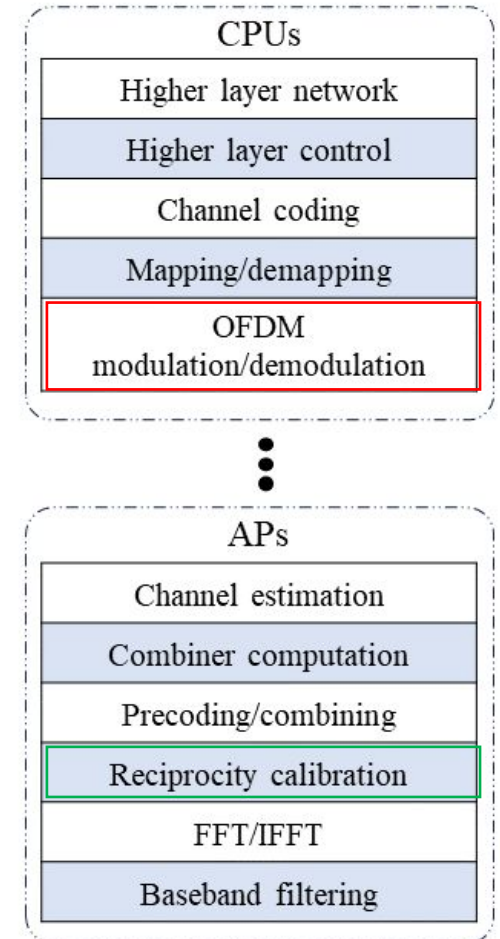
- Distribution of the processing functions in CPUs and RUs for centralized and distributed implementations
- Each task has an associated processing computational complexity and power consumption



Centralized implementation



Distributed implementation



System model: distortions due to fronthaul limitations

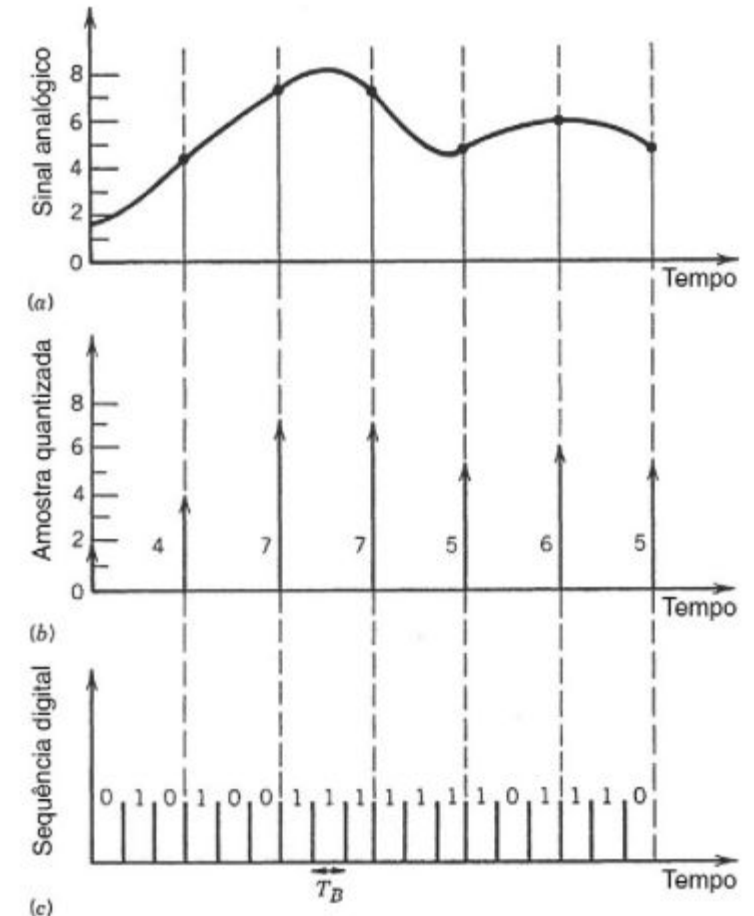
Busgang Decomposition

$$\hat{y} = Q_{\theta}(y) \approx \alpha_{\theta} y + \tilde{q}_{\theta},$$



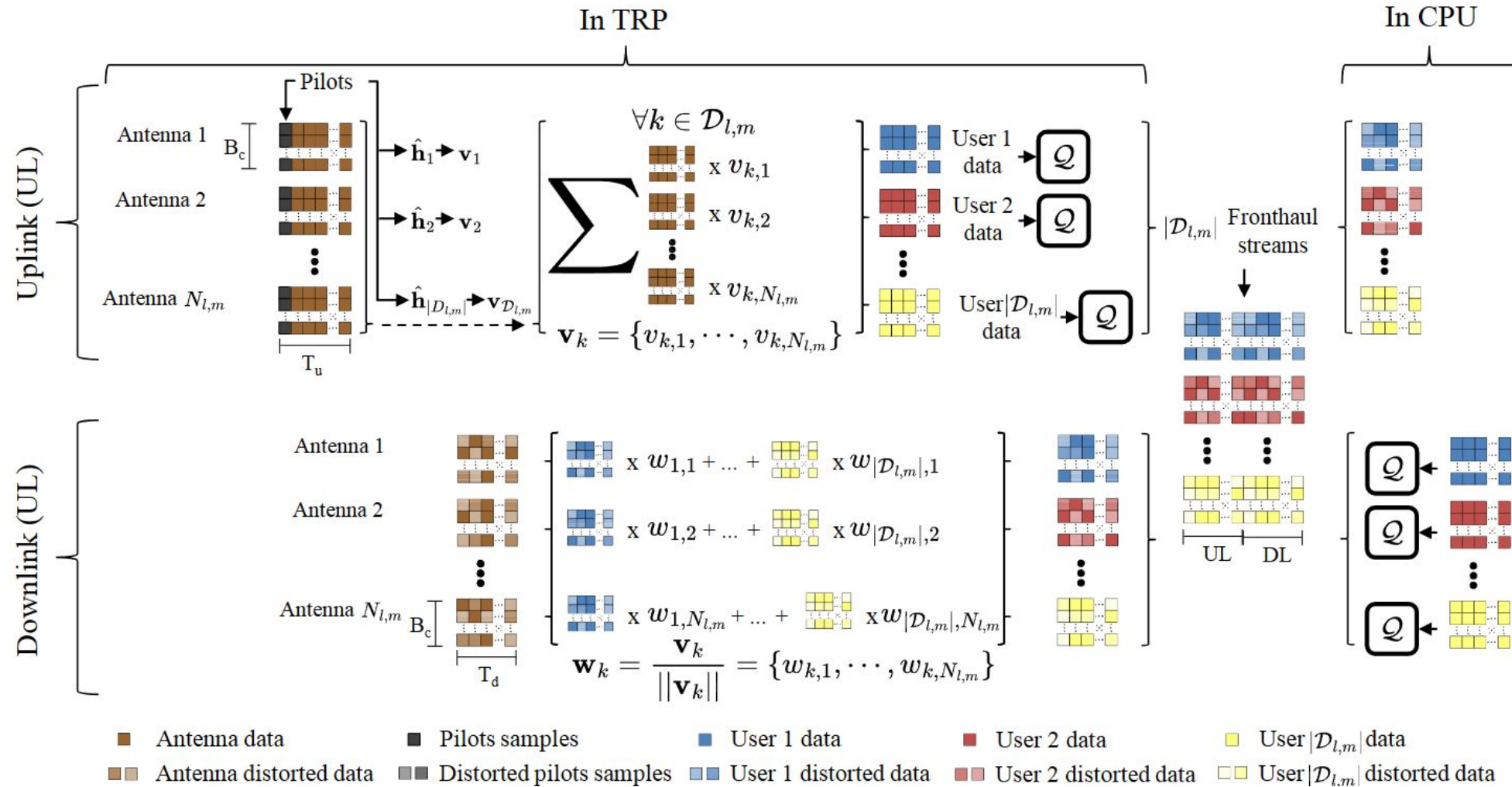
Gaussian

Bits per sample	Distortion rate	Correlation coefficient (α_{θ})
1	0.3634	0.6366
2	0.1175	0.8825
3	0.03454	0.96546
4	0.009497	0.990503
5	0.002499	0.997501
> 5	$1 - \alpha_{\theta}$	$1 - \frac{\pi\sqrt{3}}{2}2^{-2(\text{bits})}$



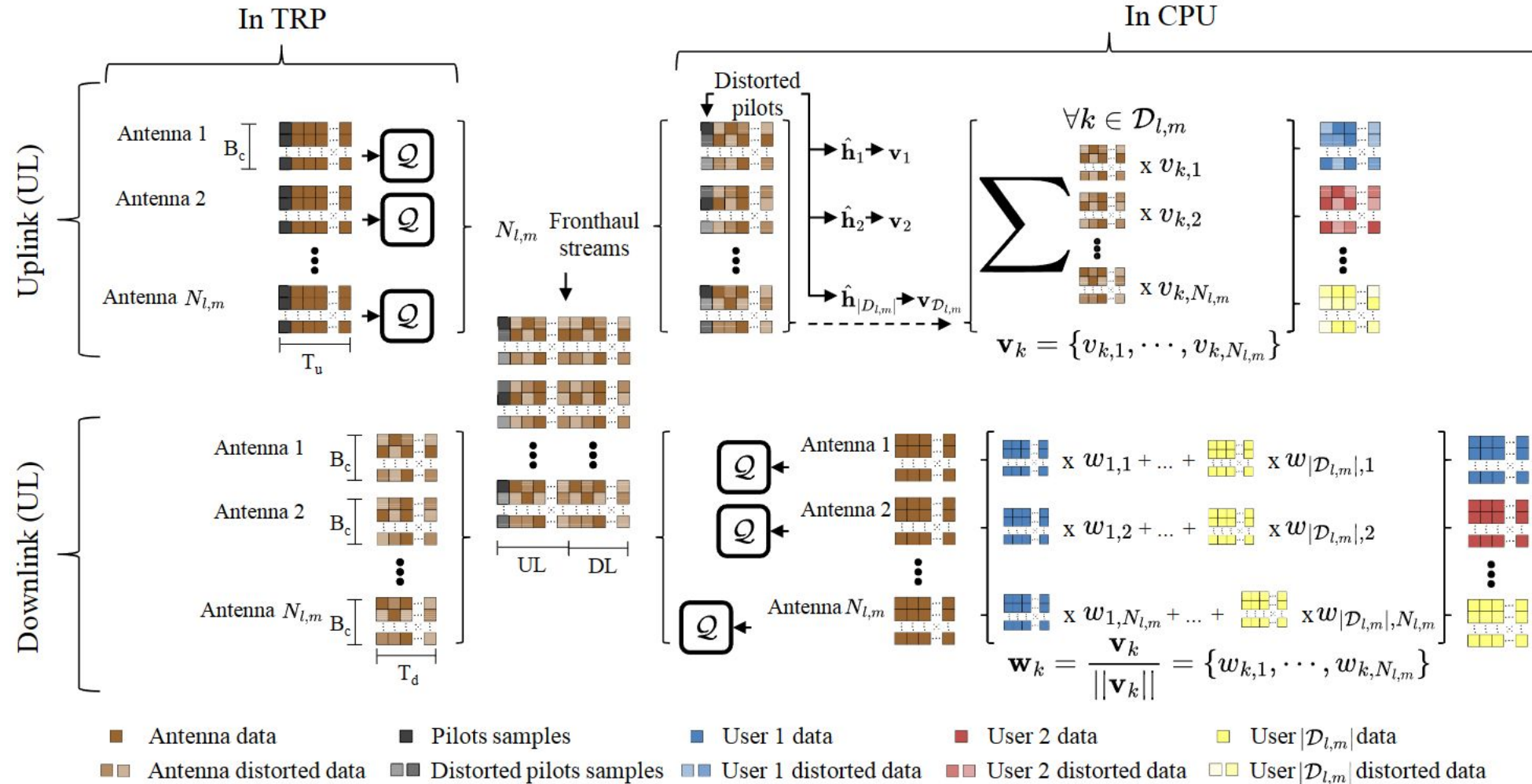
Modelando redes cell-free massive MIMO

System model: distortions due to fronthaul limitations (distributed processing)



Modelando redes cell-free massive MIMO

System model: distortions due to fronthaul limitations (centralized processing)



System model: fronthaul bitrate

$$F_{l,m,t} = \begin{cases} 2B \left(1 - \frac{\tau_p}{\tau_c}\right) \sum_k b_{l,k}^{\text{data}}, & \text{for BTRP} \\ 2N B \left[\left(1 - \frac{\tau_p}{\tau_c}\right) b_l^{\text{data}} + \frac{\tau_p}{\tau_c} b_l^{\text{pil}} \right], & \text{for BCPU} \end{cases}$$

Example for a maximum of 10 GB of capacity, 10 TRPs (4 antennas), 8 users and 20 pilots, B=100 MHz, and a coherence block with 200 samples:

$$10 \cdot 10^9 / (2 \cdot 100 \cdot 10^6 \cdot (1 - 20/200) \cdot 8) = b_{l,k}^{\text{data}}$$

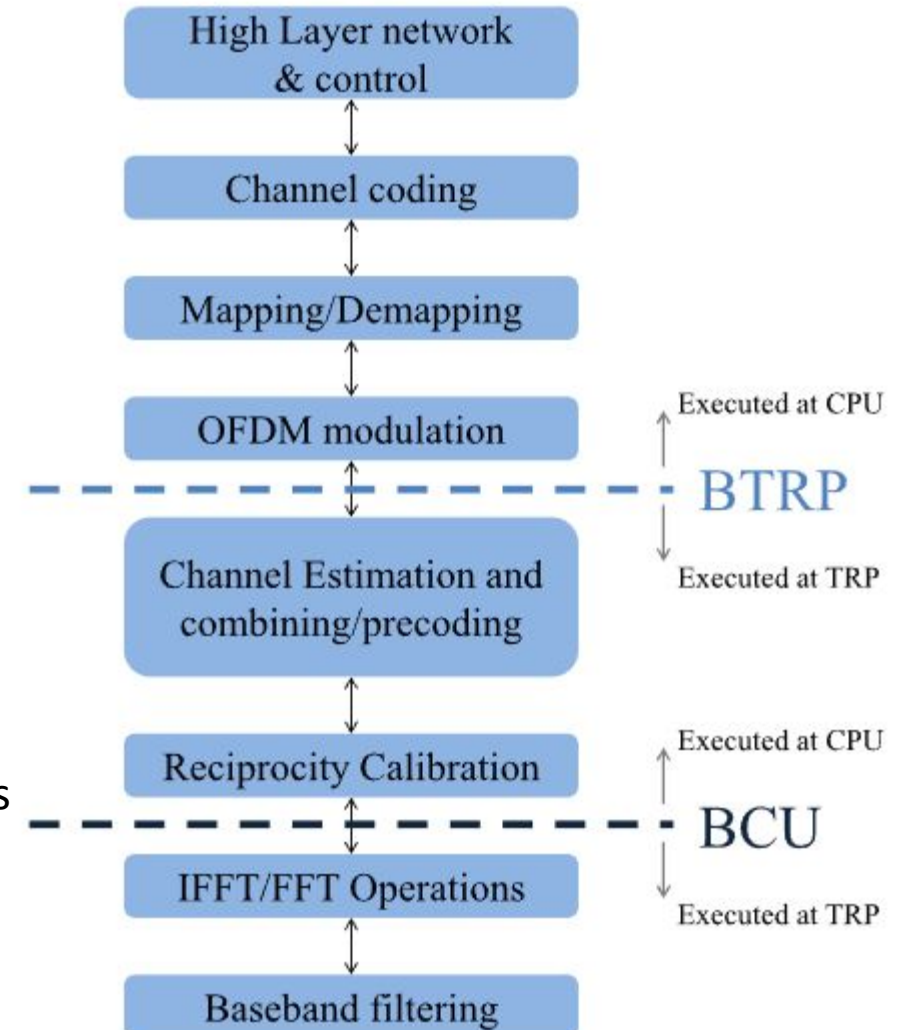
$$6,94 = b_{l,k}^{\text{data}}$$

7 users will have 7 bits

1 user will have 6 bits

$$10 \cdot 10^9 / (2 \cdot 4 \cdot 100 \cdot 10^6) = 12.5$$

$$b_l^{\text{pil}} = 17 \text{ bits} \quad b_l^{\text{data}} = 12 \text{ bits} \quad \leftarrow \quad 12.5 = \left(1 - \frac{\tau_p}{\tau_c}\right) b_l^{\text{data}} + \frac{\tau_p}{\tau_c} b_l^{\text{pil}}$$



System model: centralized combiners

- P-MMSE: finds the trade-off of maximizing the desired signal and mitigating interference

$$\mathbf{v}_k^{\text{P-MMSE}} = p_k \left(\sum_{i \in \mathcal{S}_k} p_i \mathbf{D}_k \hat{\mathbf{h}}_i \hat{\mathbf{h}}_i^H \mathbf{D}_k + \mathbf{Z}_{\mathcal{S}_k} + \sigma_{\text{ul}}^2 \mathbf{I}_{LN} \right)^{-1} \mathbf{D}_k \hat{\mathbf{h}}_k$$

UEs that most interfere with UE k $\swarrow$

$$\mathbf{Z}_{\mathcal{S}_k} = \sum_{i \in \mathcal{S}_k} p_i \mathbf{D}_k \mathbf{C}_i \mathbf{D}_k$$

$\searrow$ Correlation matrix of the estimation error

- P-RZF: interference between the UEs tends to zero

$$\mathbf{v}_k^{\text{P-RZF}} = \left[\mathbf{D}_k \hat{\mathbf{H}}_{\mathcal{S}_k} \left(\hat{\mathbf{H}}_{\mathcal{S}_k}^H \mathbf{D}_k \hat{\mathbf{H}}_{\mathcal{S}_k} + \sigma_{\text{ul}}^2 \mathbf{P}_{\mathcal{S}_k}^{-1} \right)^{-1} \right]_{:,1}$$

Neglects $\mathbf{Z}_{\mathcal{S}_k}$

Regularized
pseud-inverse

System model: distributed combiners

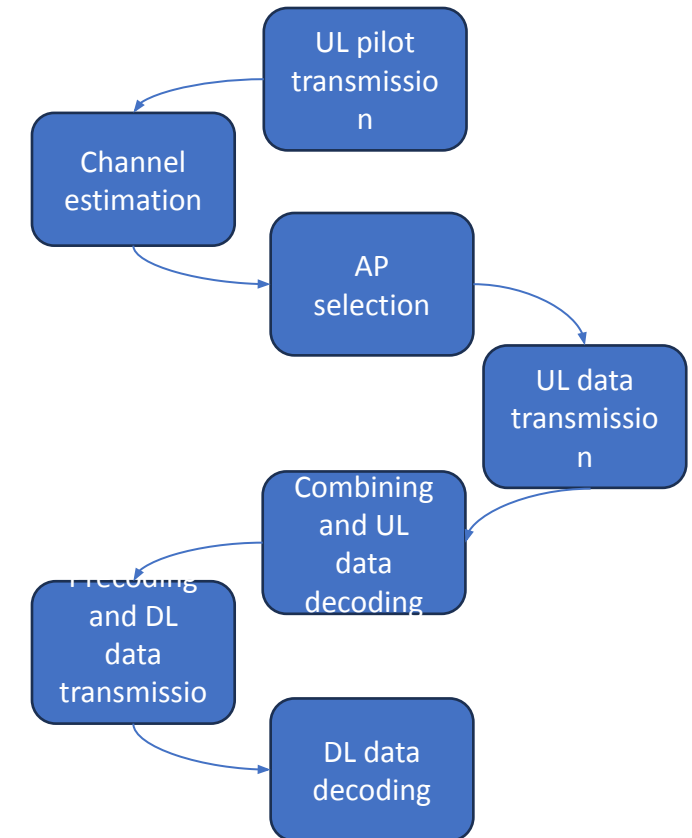
- LP-MMSE: same as P-MMSE, but uses only local channel estimates

$$\mathbf{v}_{kl}^{\text{LP-MMSE}} = p_k \left(\sum_{i \in \mathcal{D}_l} p_i \left(\hat{\mathbf{h}}_{il} \hat{\mathbf{h}}_{il}^H + \mathbf{C}_{il} \right) + \sigma_{\text{ul}}^2 \mathbf{I}_N \right)^{-1} \mathbf{D}_{kl} \hat{\mathbf{h}}_{kl}.$$

UEs served by AP l ←

- MR: maximizes the desired signal

$$\mathbf{v}_{kl}^{\text{MR}} = \hat{\mathbf{h}}_{kl}.$$



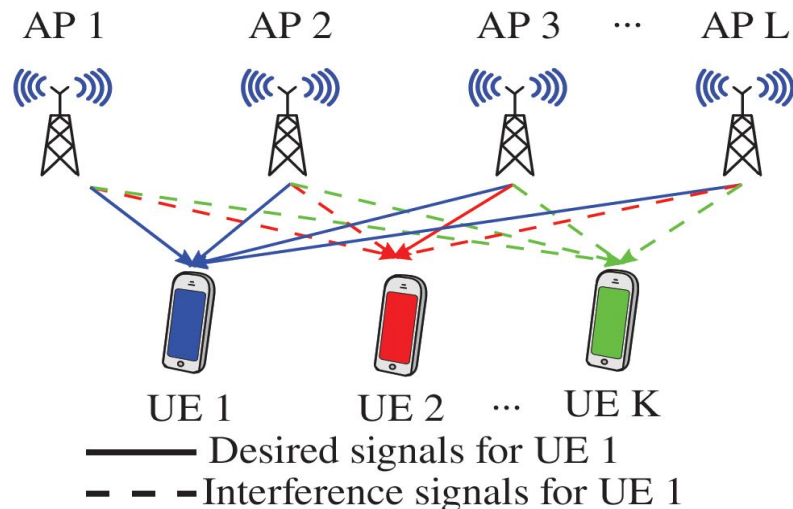
System model: DL data transmission

Transmitted signals

$$\mathbf{x}_l = \sum_{i=k}^K \alpha_{l,i}^{\text{dl}} \mathbf{D}_{l,i} \mathbf{w}_{l,i} s_k^{\text{dl}} + \mathbf{q}_l^{\text{dl}},$$

$$\mathbf{q}_l^{\text{dl}} \sim \begin{cases} \mathcal{CN}(0, \alpha_l^{\text{dl}} (\alpha_l^{\text{dl}} - 1) \sum_{k=1}^K \mathbb{E}\{\mathbf{w}_{l,k} \mathbf{w}_{l,k}^H\}), & \text{for BCPU} \\ \sum_{i=k}^K \mathbf{D}_{l,i} \mathbf{w}_{l,i} q_{l,i}^{\text{dl}}, & \text{for BTRP} \end{cases}$$

$$q_{l,i}^{\text{ul}} \sim \mathcal{CN}(0, \alpha_{l,i} (\alpha_{l,i} - 1))$$



Received signals

$$y_k^{\text{dl}} = \sum_{l=1}^L \sum_{m=1}^{M_l} (\mathbf{h}_{l,k})^H \mathbf{x}_l + n_k^{\text{dl}},$$

$$y_k^{\text{dl}} = \underbrace{\sum_{l=1}^L \alpha_{l,k}^{\text{dl}} \mathbf{h}_{l,k}^H \mathbf{D}_{l,k} \mathbf{w}_{l,k}^{\text{dl}} s_k^{\text{dl}}}_{\text{Desired Signal}} + \underbrace{\sum_{i=1, i \neq k}^K \sum_{l=1}^L \sum_{m=1}^{M_l} \alpha_{l,i}^{\text{ul}} \mathbf{h}_{l,k}^H \mathbf{D}_{l,i} \mathbf{w}_{l,i}^{\text{dl}} s_i^{\text{dl}}}_{\text{Inter-User Interference}} + \underbrace{n_k^{\text{dl}}}_{\text{Receiver Noise}} + \underbrace{\sum_{l=1}^L \mathbf{h}_{l,k}^H \mathbf{q}_l^{\text{dl}}}_{\text{Quantization Noise}}.$$

System model: DL spectral efficiency

- If UEs have only statistical CSI knowledge, i.e., $\mathbb{E}\{\alpha_k\}$

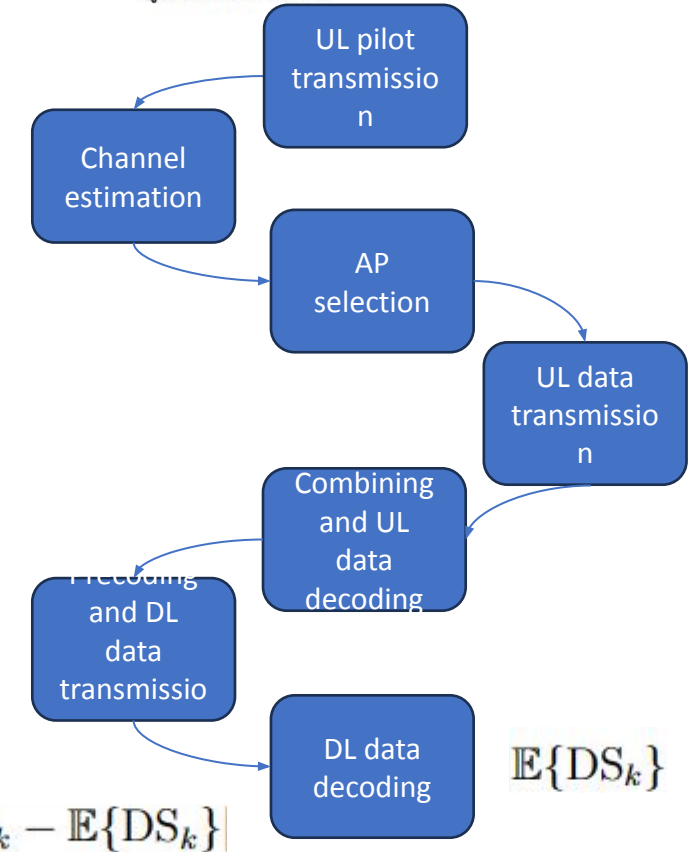
Pre-log factor

$$R_k^{\text{UatF}} = \phi B \log_2(1 + \text{SINR}_k), \quad \text{SE}_k = \phi \log_2(1 + \text{SINR}_k),$$

$$\text{SINR}_k = \frac{|\mathbb{E}\{\text{DS}_k\}|^2}{\mathbb{E}\{|\text{DS}_k - \mathbb{E}\{\text{DS}_k\}|^2\} + \mathbb{E}\{|\text{IS}_k|^2\} + \mathbb{E}\{|\text{QN}_k|^2\} + \underbrace{\sigma_{\text{ul|dl}}^2}_{\text{Noise power}}},$$

Known as
hardening bound

$$y_k^{\text{dl}} = \underbrace{\sum_{l=1}^L \alpha_{l,k}^{\text{dl}} \mathbf{h}_{l,k}^H \mathbf{D}_{l,k} \mathbf{w}_{l,k} s_k^{\text{dl}}}_{\text{Desired Signal}} + \underbrace{\sum_{i=1, i \neq k}^K \sum_{l=1}^L \sum_{m=1}^{M_l} \alpha_{l,i}^{\text{ul}} \mathbf{h}_{l,k}^H \mathbf{D}_{l,i} \mathbf{w}_{l,i} s_i^{\text{dl}}}_{\text{Inter-User Interference}} + \underbrace{n_k^{\text{dl}}}_{\text{Receiver Noise}} + \underbrace{\sum_{l=1}^L \mathbf{h}_{l,k}^H \mathbf{q}_l}_{\text{Quantization Noise}},$$



New interference

User-centric cell-free massive MIMO network

System model: Energy efficiency



Bandwidth

$$EE_{\text{tot}} = \frac{B \sum_{k=1}^K SE_k}{P_{\text{tot}}}$$

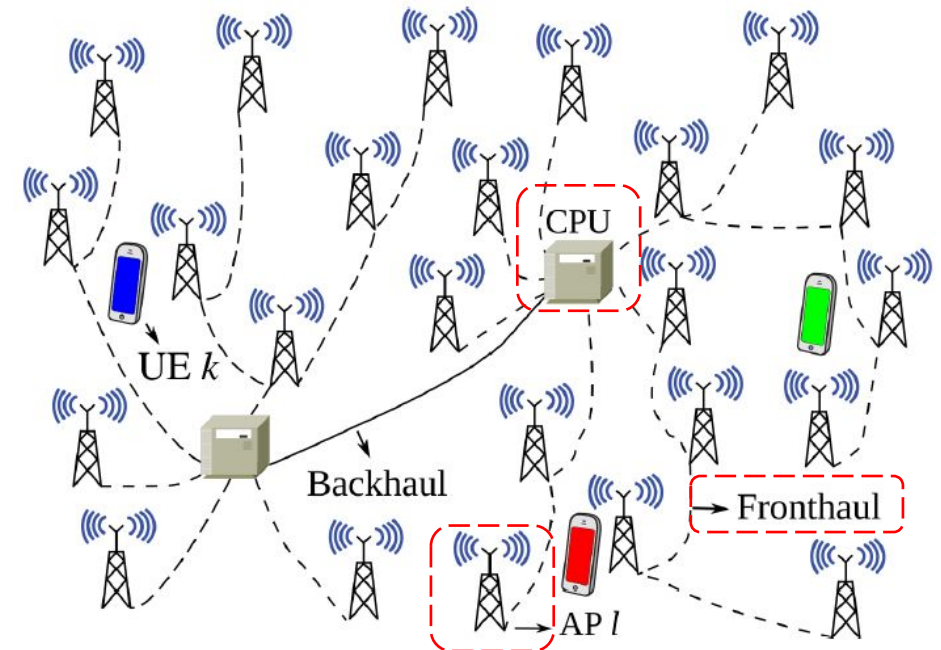


Power consumption of AP l

Power of CPU signal processing tasks

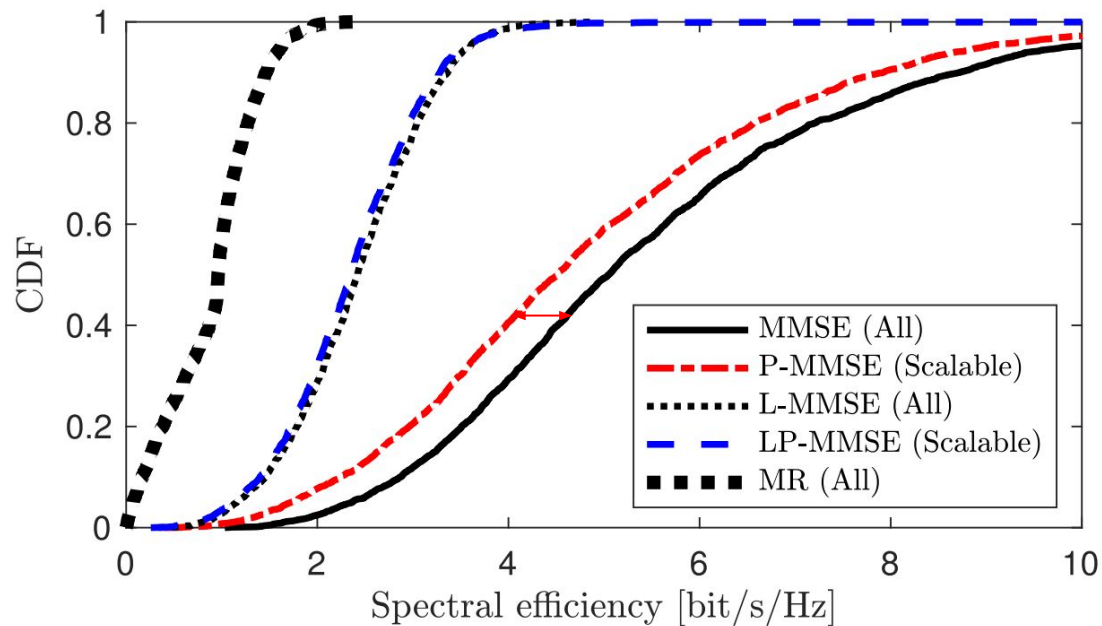
$$P_{\text{tot}} = \sum_{l=1}^L P_l + \sum_{l=1}^L P_{\text{fh},l} + P_{\text{CPU}}$$

Power of fronthaul links between AP l and CPU

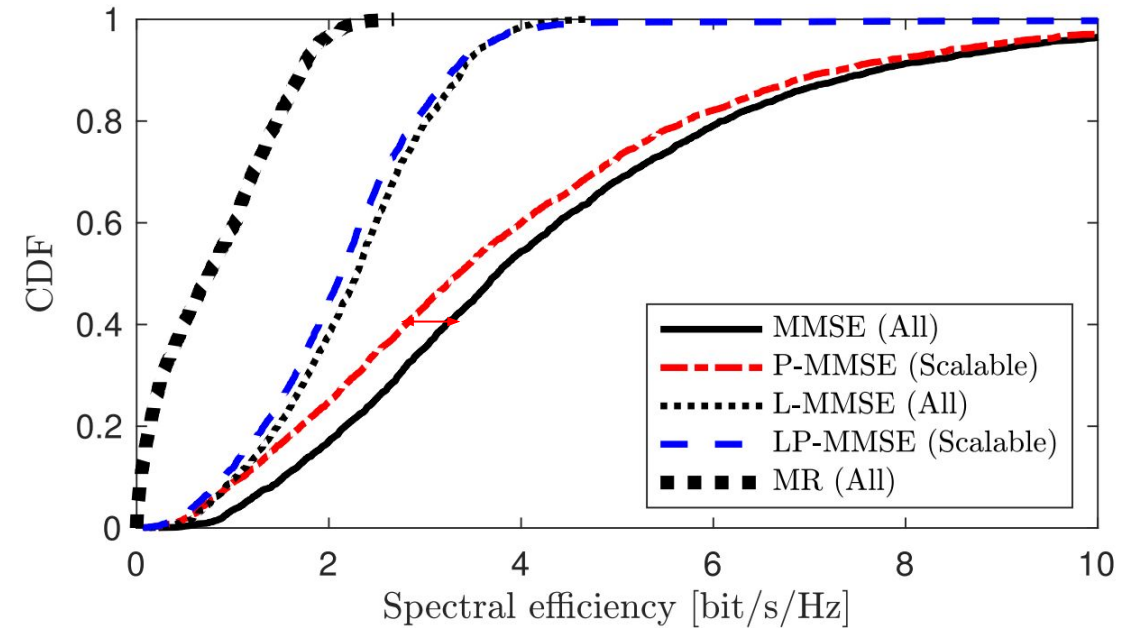


Scalable cell-free system vs canonical cell-free

- Negligible losses in SE
- The distributed implementation (LP-MMSE) is almost not affected



Parameters setting: L = 400 APs, K = 100 UEs, N = 1



Parameters setting: L = 100 APs, K = 100 UEs, N = 4

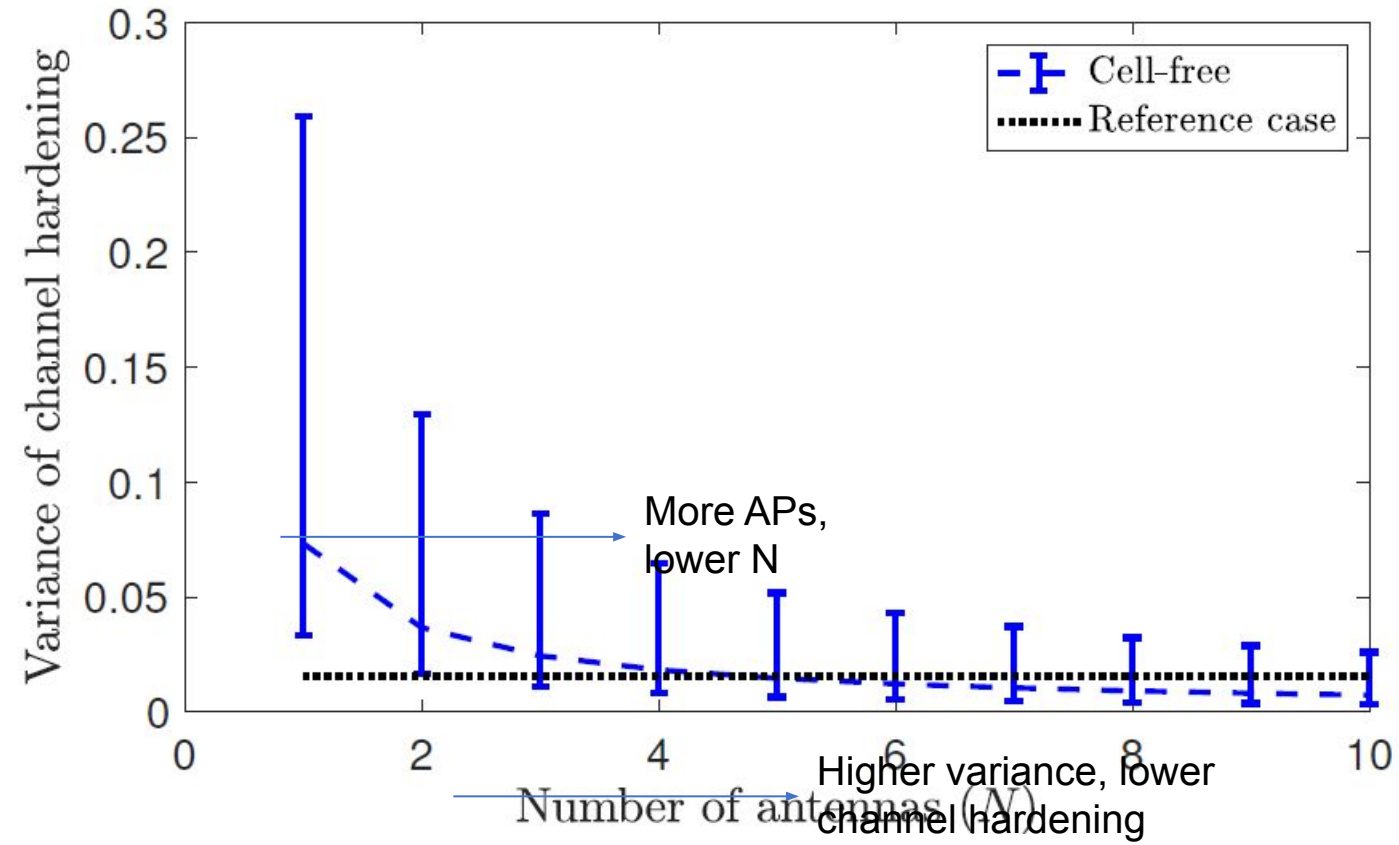
System model: channel hardening

UE effective
channel

$$CH_k = \frac{\text{VAR}(\eta_{k,k})}{(\mathbb{E}[\eta_{k,k}])^2}$$

$$\eta_{k,k'} = \sum_{l=1}^L \alpha_{l,k}^{DL} \mathbf{h}_{l,k}^H \mathbf{D}_{l,k'} \mathbf{w}_{l,k'}, \quad k \in \{1, 2, \dots, K\}$$

Reference case: cellular system, i.i.d. Rayleigh fading $\rightarrow 1/L$



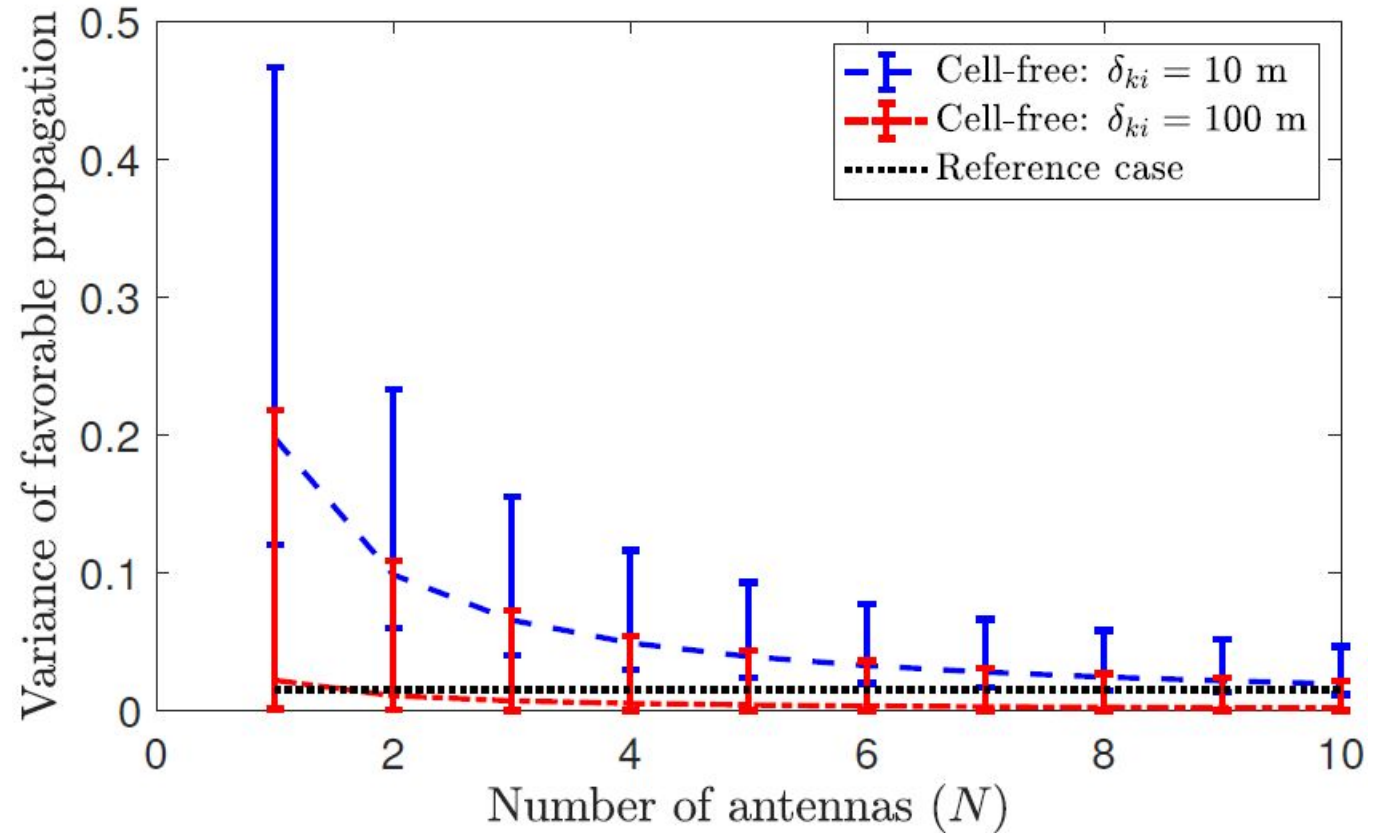
System model: favorable propagation

Interfering
effective channel

$$FP_k = \frac{\text{VAR}(\eta_{k,k'})}{(\mathbb{E}[\eta_{k,k}])^2}, \quad \forall k' \neq k$$

$$\eta_{k,k'} = \sum_{l=1}^L \alpha_{l,k}^{DL} \mathbf{h}_{l,k}^H \mathbf{D}_{l,k'} \mathbf{w}_{l,k'}, \quad k \in \{1, 2, \dots, K\}$$

Reference case: cellular system, i.i.d.
Rayleigh fading $\rightarrow 1/L$

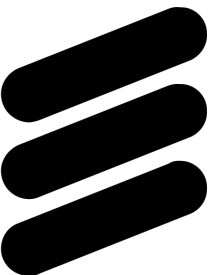




A comparison among AP selection strategies



XLIII Simpósio Brasileiro de Telecomunicações e
Processamento de Sinais

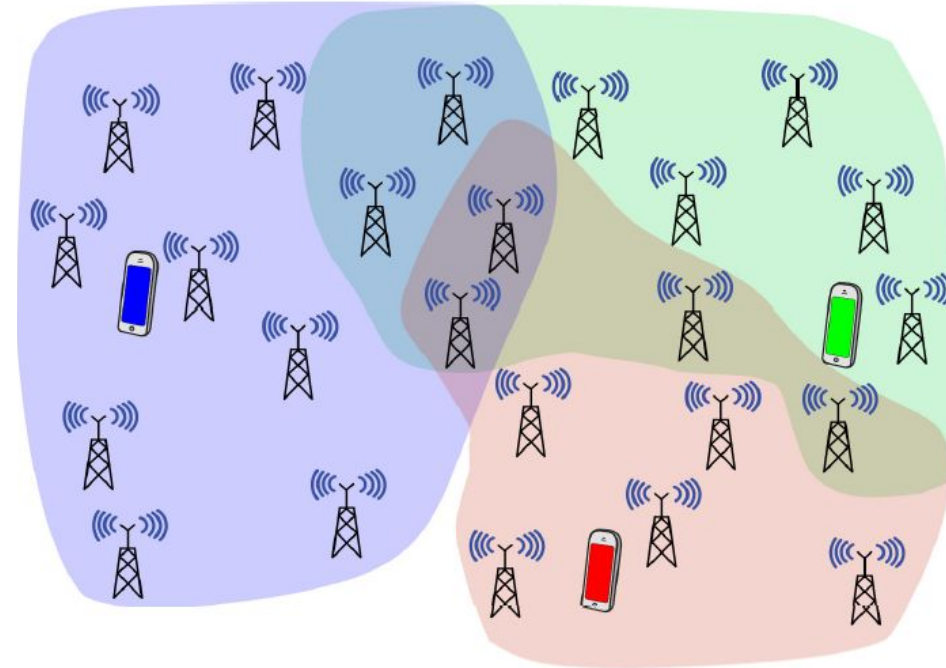
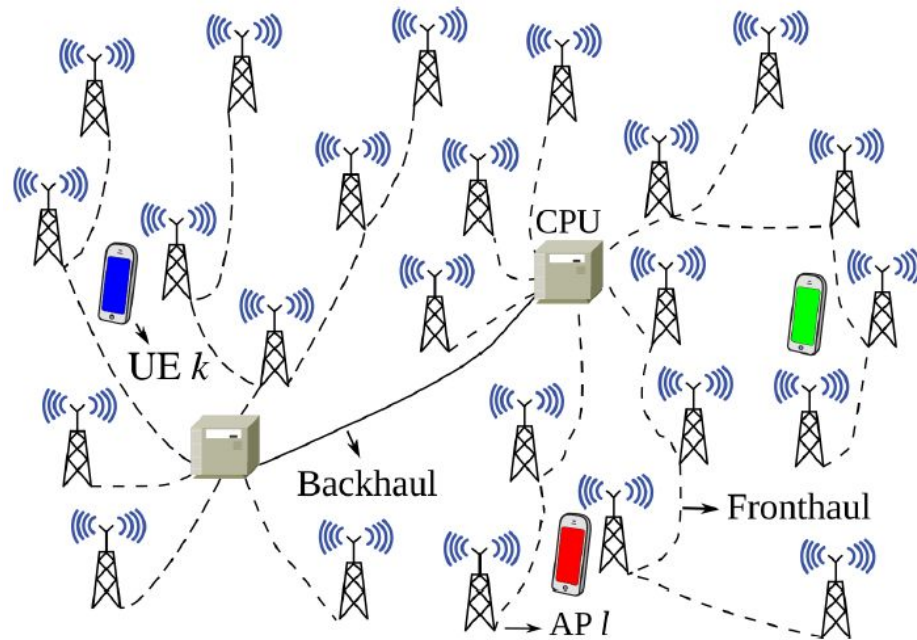


AP selection (AP clustering)



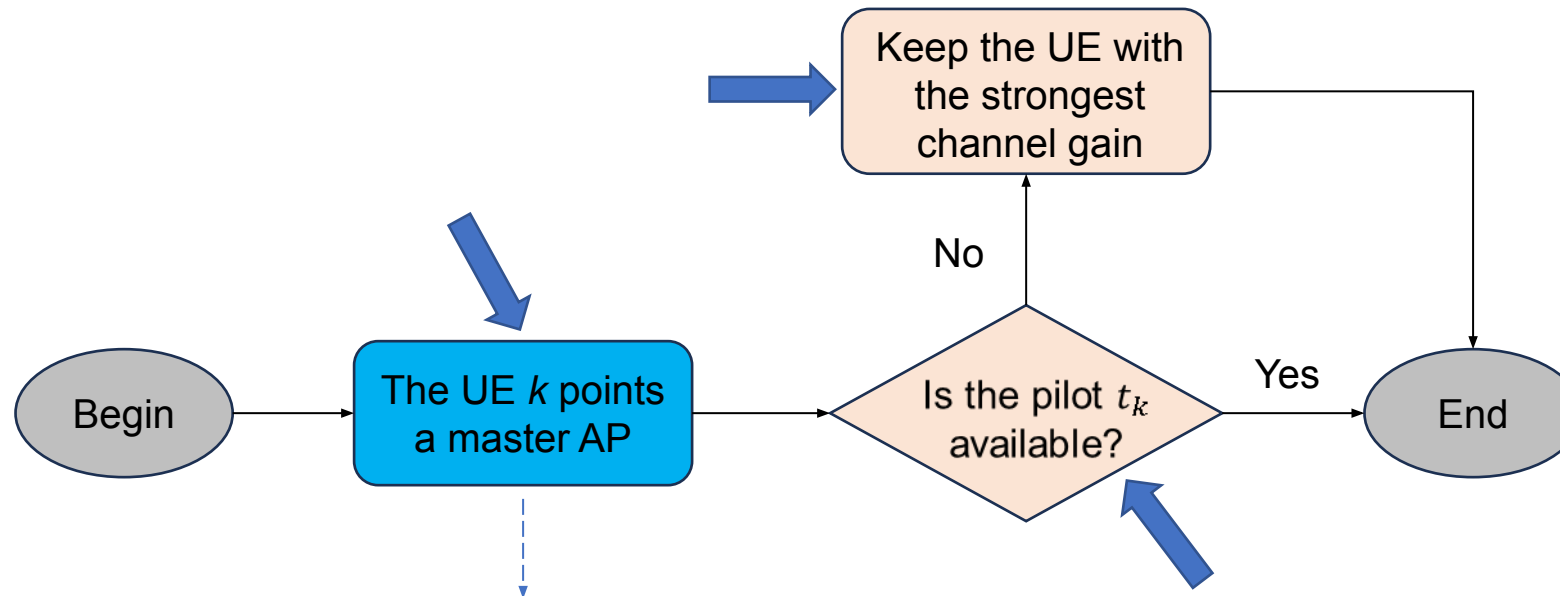
AP selection schemes (common approaches)

- Canonical cell-free (CF) massive MIMO
- User-centric clustering (UCC)
- Largest-large-scale-fading-based (LSFB)



Relates AP selection process to pilot assignment

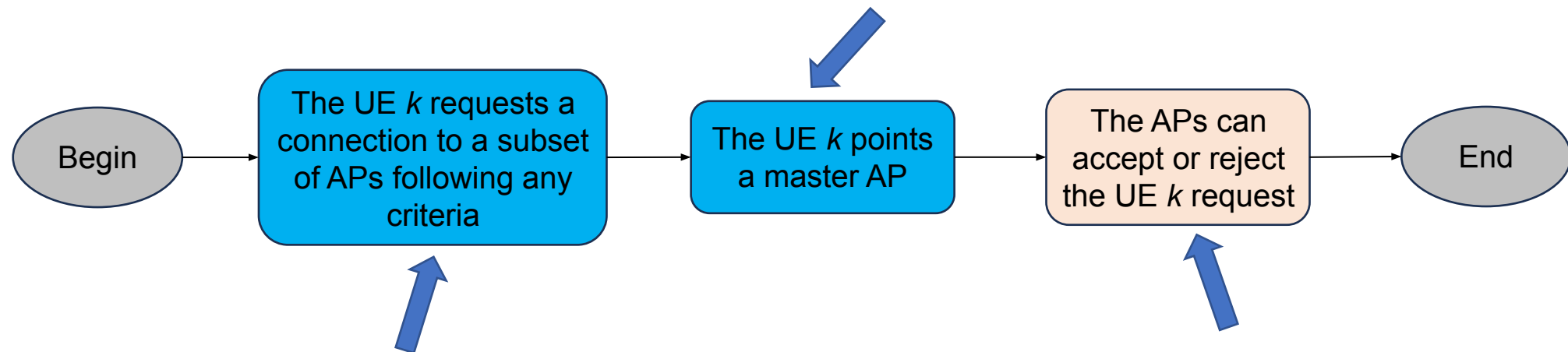
- In this scheme each AP can serve up to $\tau_{u,p}$ UEs



- Assigns a pilot t_k
- Informs the other APs that the UE k has entered the network

A general framework

- The AP selection considers the most suitable connections for both UEs and APs
- It can be adjusted according to different criteria

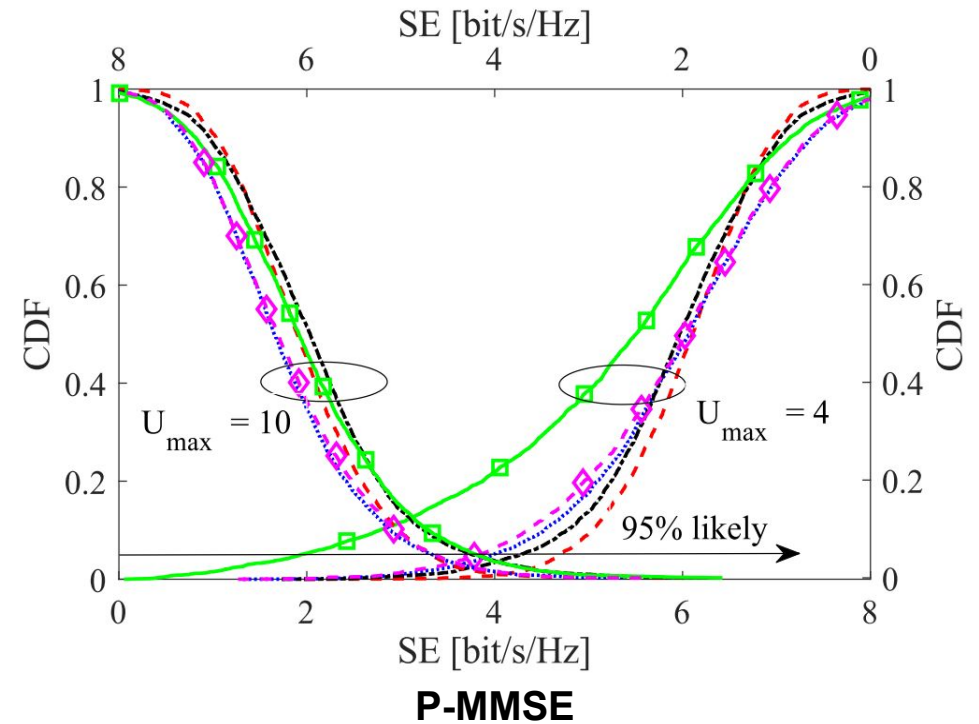
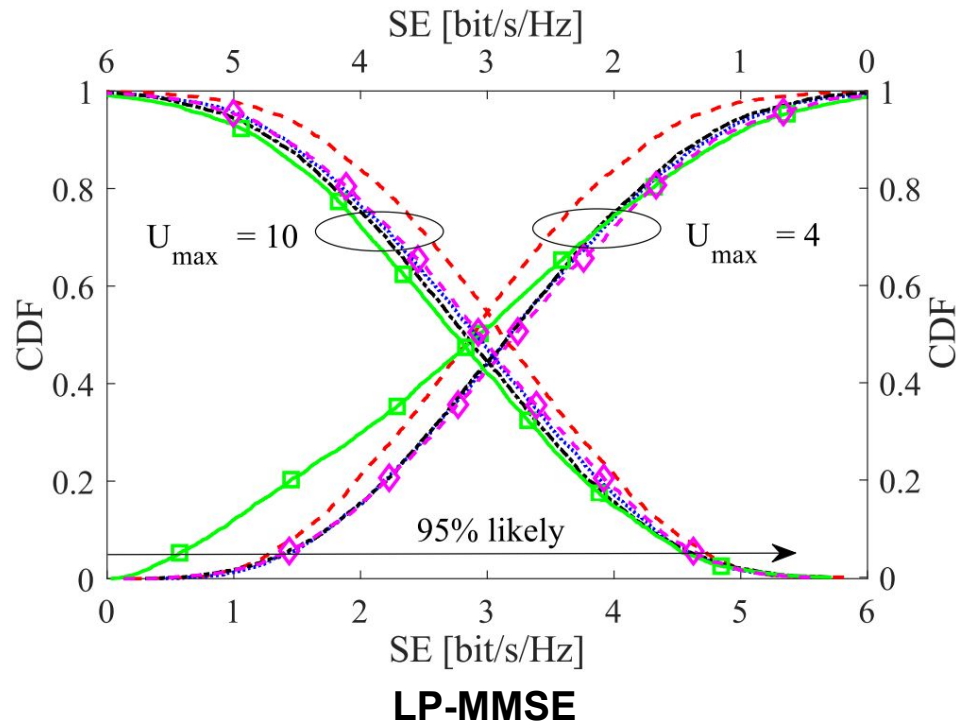


- It can behave like the previous AP selection schemes, but providing scalability and guaranteeing connection

AP selection (AP clustering)

A comparison, downlink (DL) spectral efficiency (SE)

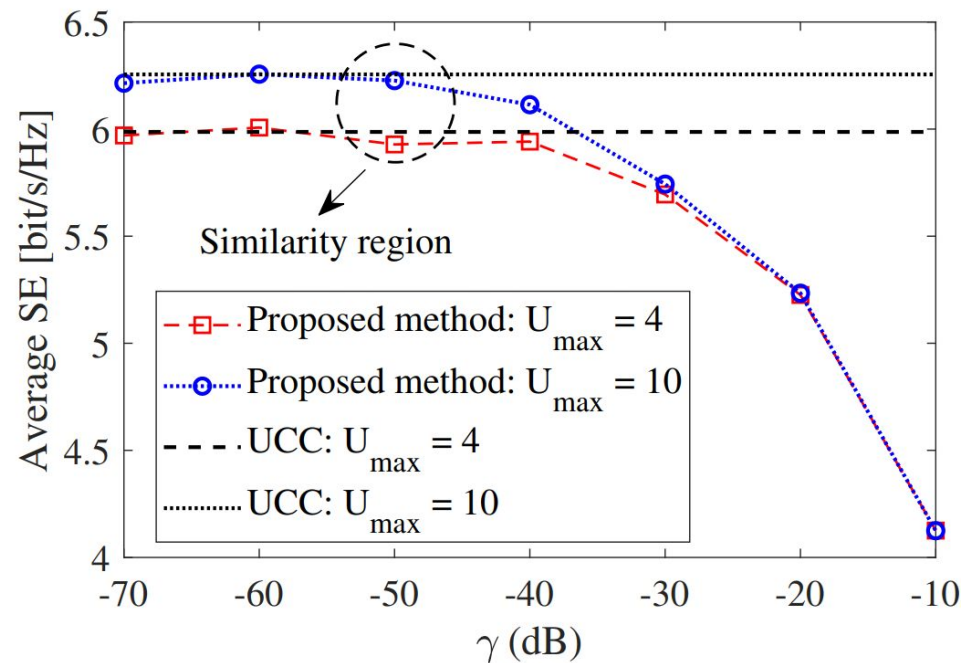
- Parameters setting: $L = 100$ APs, $K = 25$ UEs, $N = 1$
- $U_{\max}$ denotes the maximum number of UEs that each AP can serve



--- Canonical CF (NS)
 UCC (NS)
 -.-.- LSFB (NS)
 —■— Scalable CF
 -◇- Proposed method

A comparison, downlink (DL) spectral efficiency (SE)

- The matched decision can behave like other AP selection strategies while affording scalability and ensuring connection of the UE with the network



Time complexity

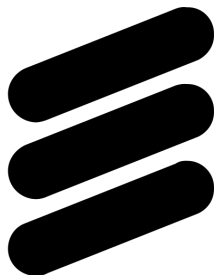
AP selection method	Complexity
UCC	$\mathcal{O}(LK \log K)$
LSFB	$\mathcal{O}(L \log L)$
Scalable CF	$\mathcal{O}(L\tau_p)$
Matched Decision	$\mathcal{O}(LU_{\max})$



Some researches regarding user-centric cell-free massive MIMO systems



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Processamento de Sinais



Reducing Inter-CPU Coordination in User-centric D-mMIMO

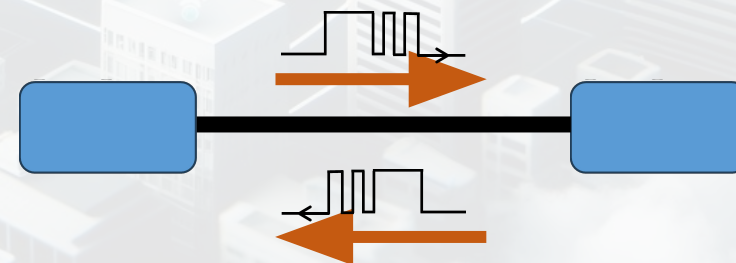
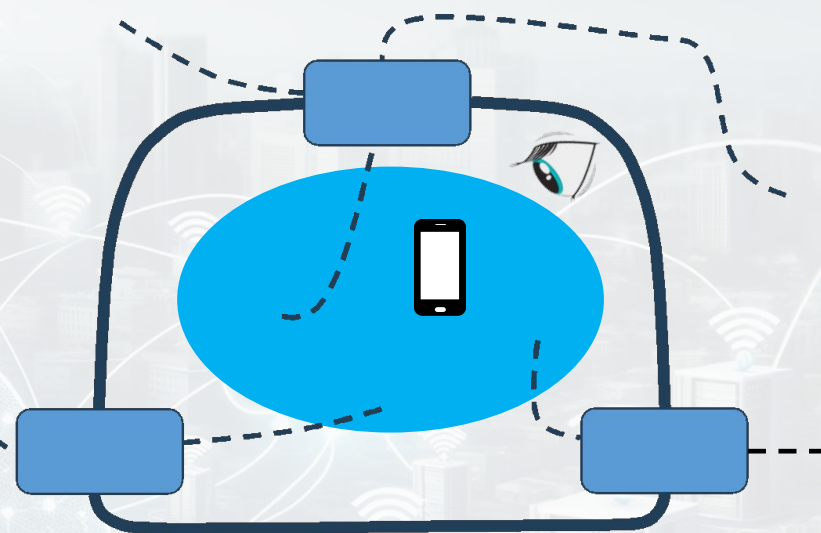


CPU

Problem

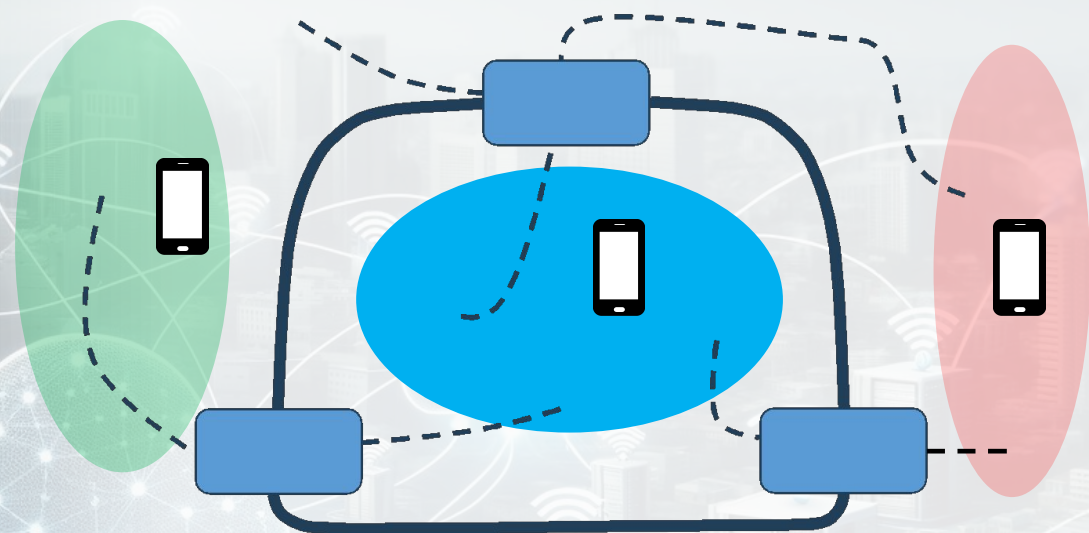
Backhaul signaling
to serve the user

RUs connected to
different CPUs

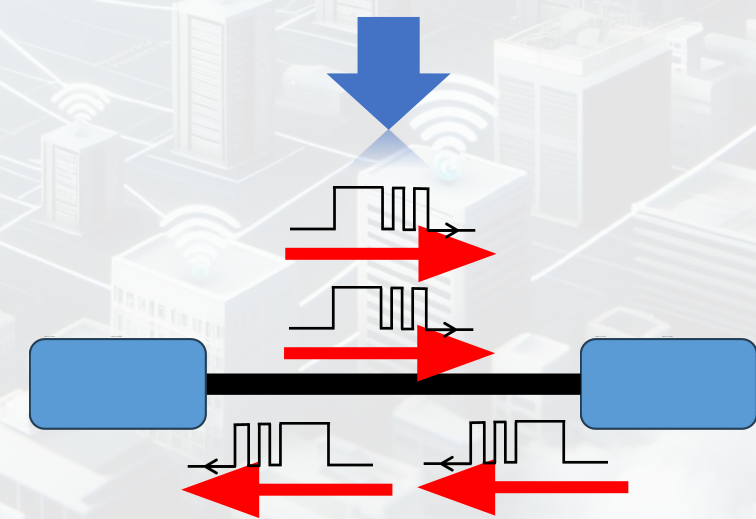


CPU

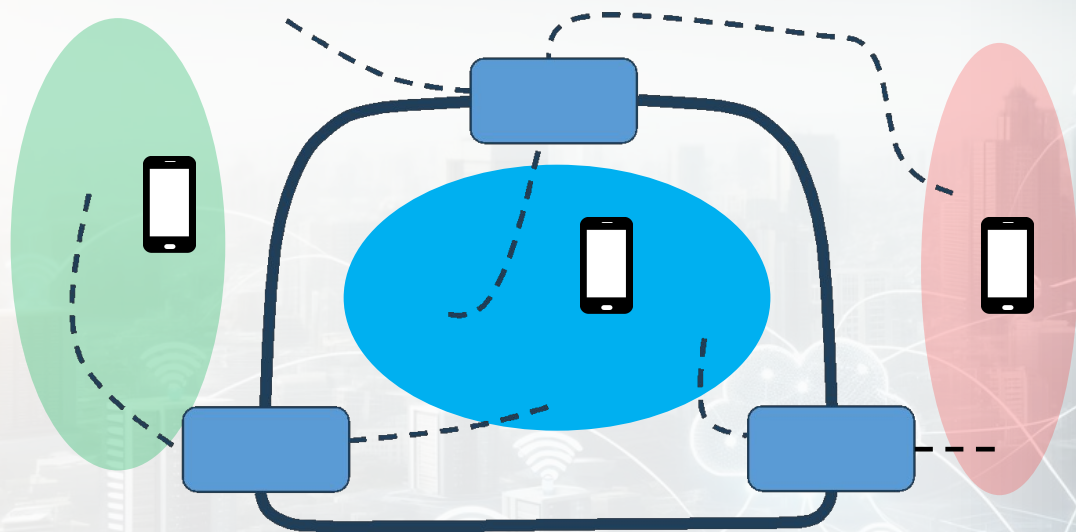
Problem



more users; more signaling



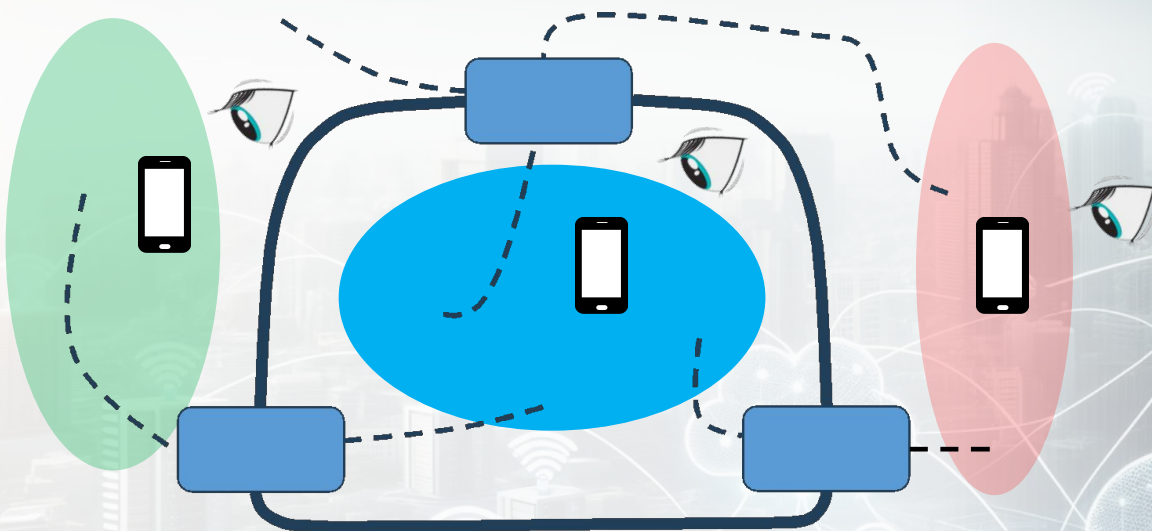
CPU



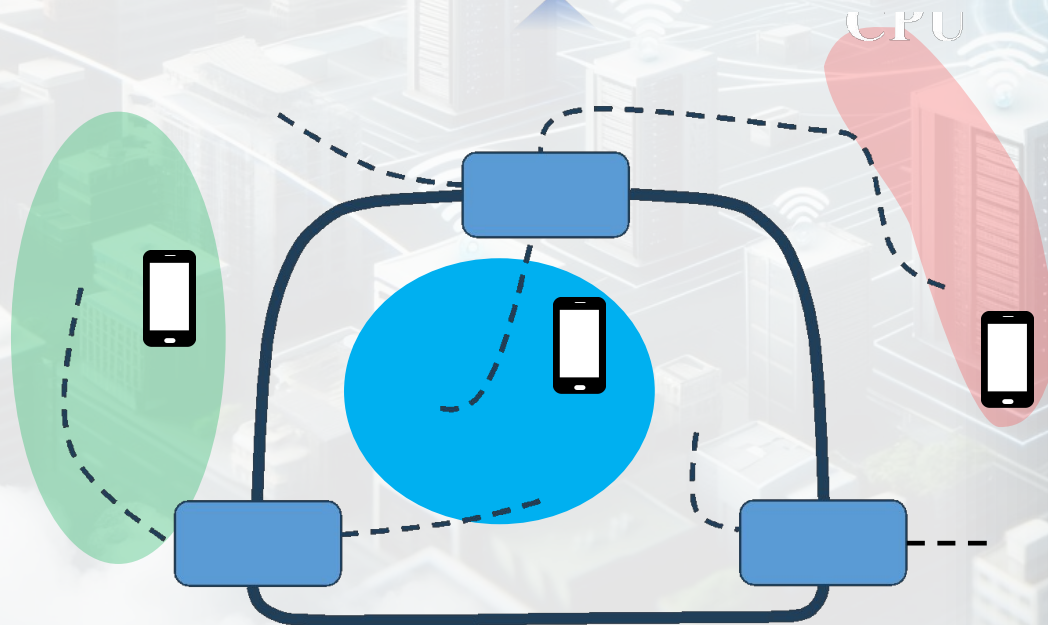
Decrease the number
of CPUs in RU clusters

Proposed
Solution

CPU



CPU

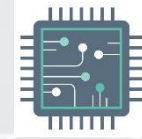


Proposed
Solution

Performance Gain

Benefits

Low complexity



80% of signaling reduction

2.5% of losses in spectral efficiency



Backhaul Traffic
(Gbps)



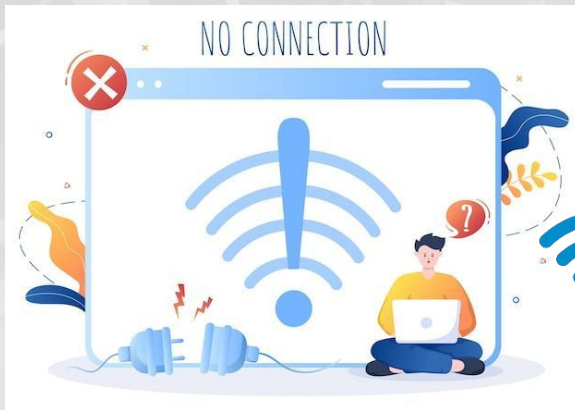
Spectral
Efficiency (bit/s/Hz)

User-centric D-mMIMO System Assisted by UAV Swarm





Natural disasters
disrupt power



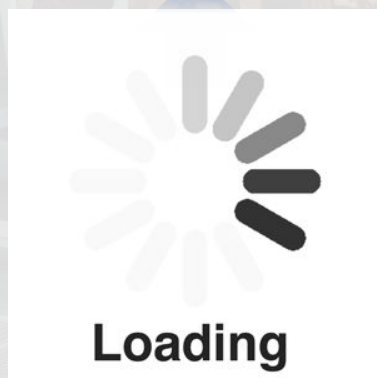
Terrestrial network
malfunction

Wi-Fi

Problem



Surge in user
density



Terrestrial network
congestion

Problem

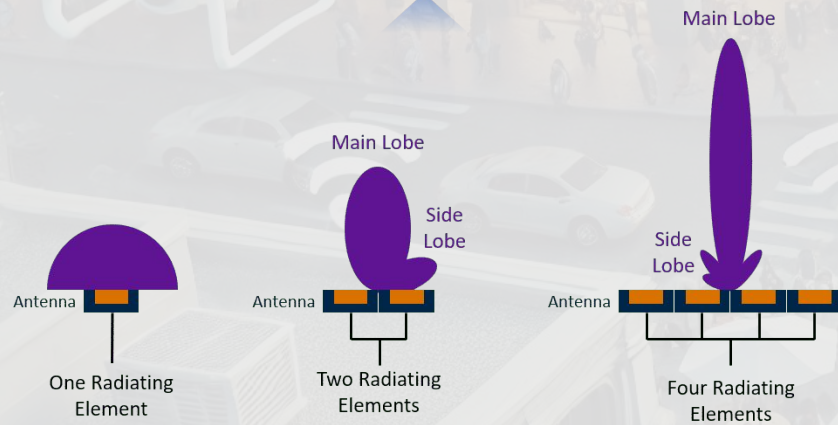


Research

Use of UAVs as TRPs



Cooperative UAV
swarm

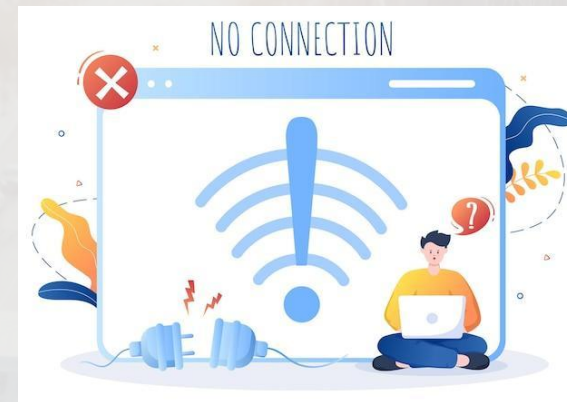


Leads to **array gain** in
D-mMIMO

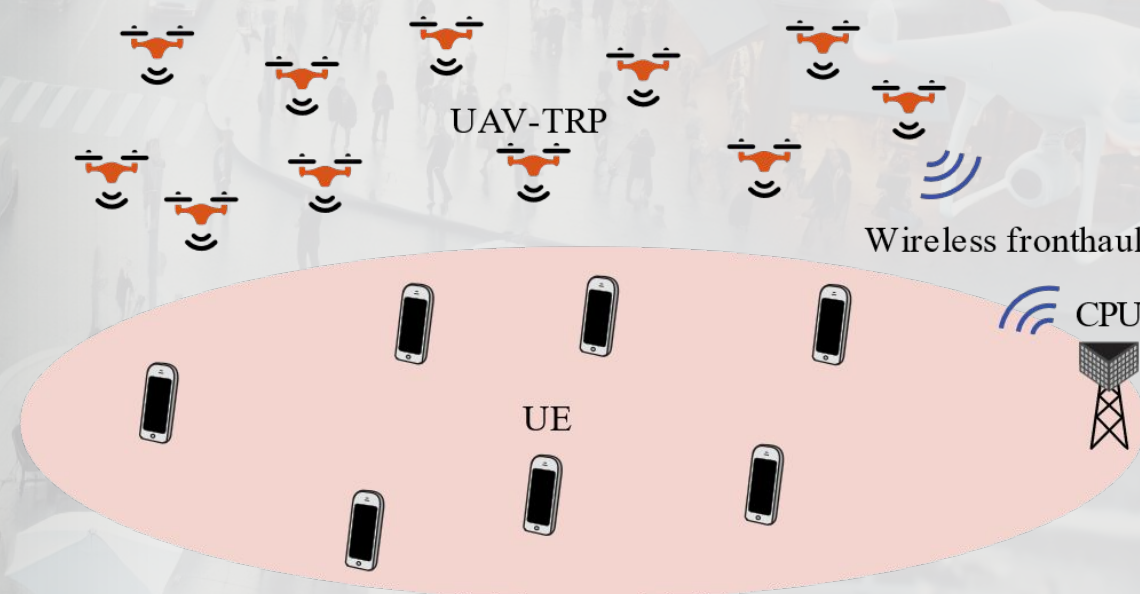
D-mMIMO Assisted by UAV Swarm

1

Malfunction



✓ Aerial network



Scenarios

2

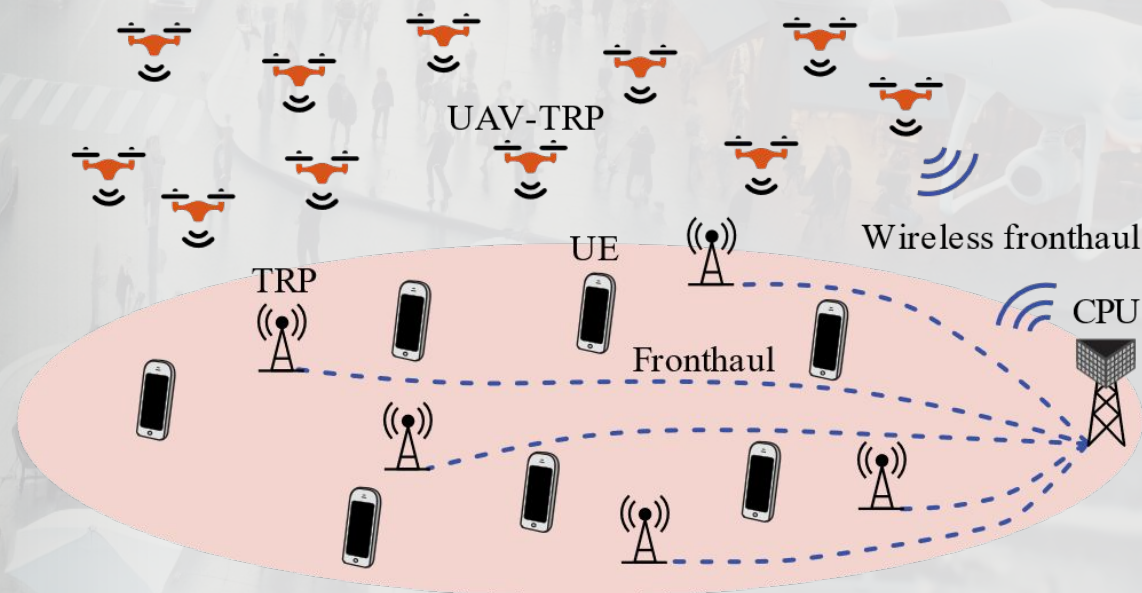
Congestion



Loading



✓ Aerial and terrestrial network



Scenarios

How to optimize UAV
positions?

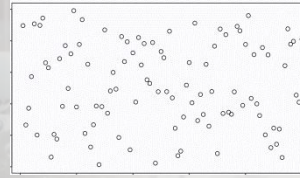
Wi-Fi

User Mobility

Proposed UAV Trajectory

1

Define initial
UAV positions



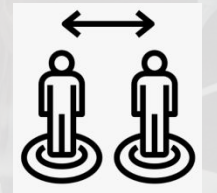
2

Compute rate
performance



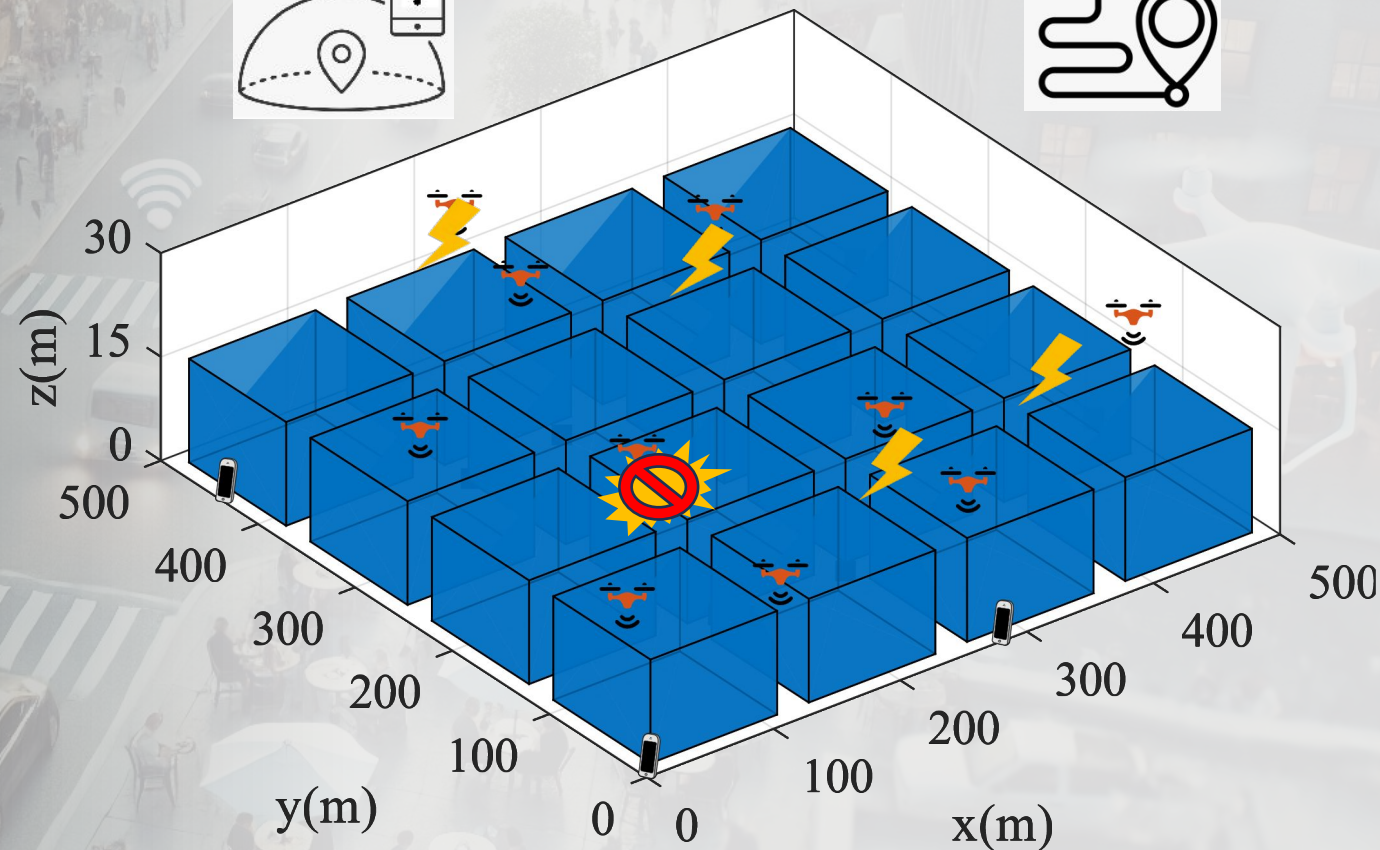
3

Compute coordinated
UAV trajectory



4

Update each UAV position



Benefits

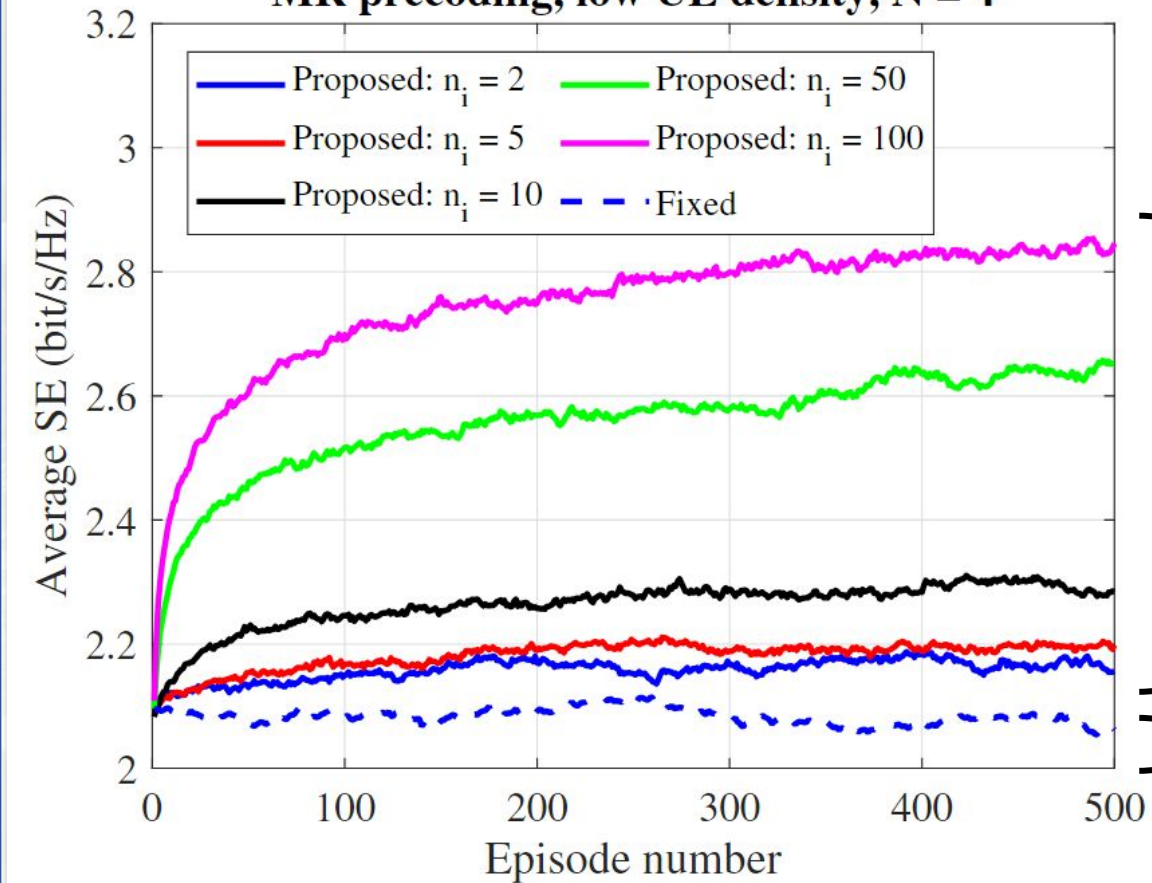
Low
complexity



Performance
improvement



MR precoding, low UE density, $N = 4$



Up to 38% data
rate increase

Performance Gain

Proposed

Baseline

Feasibility Analysis for User-centric D-mMIMO

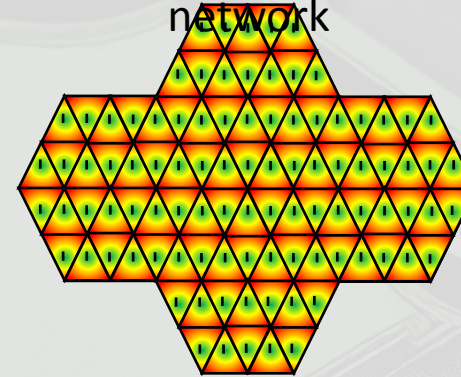


Problem

Spectral
efficiency

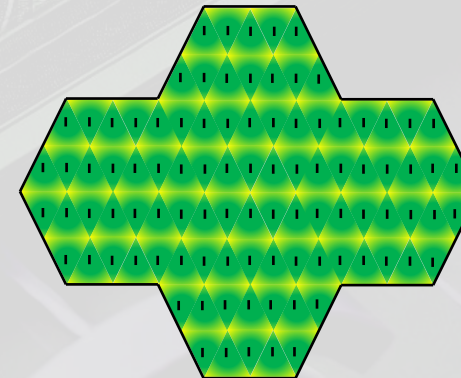


Small cell
network



D-mMIMO network

Spectral
efficiency

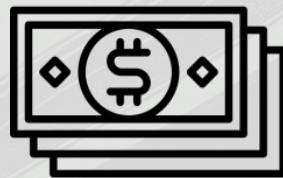
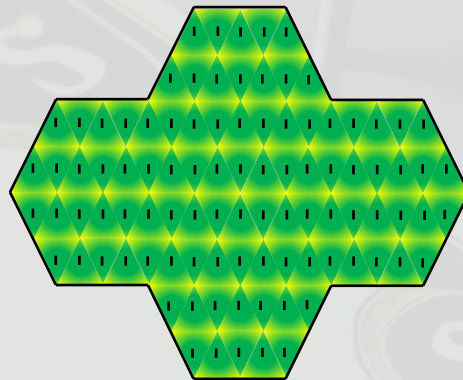


Problem

Spectral
efficiency



D-mMIMO network



?

Deployment configuration

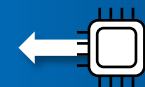


Y Y Y Y ?
□ □ □ □ ?

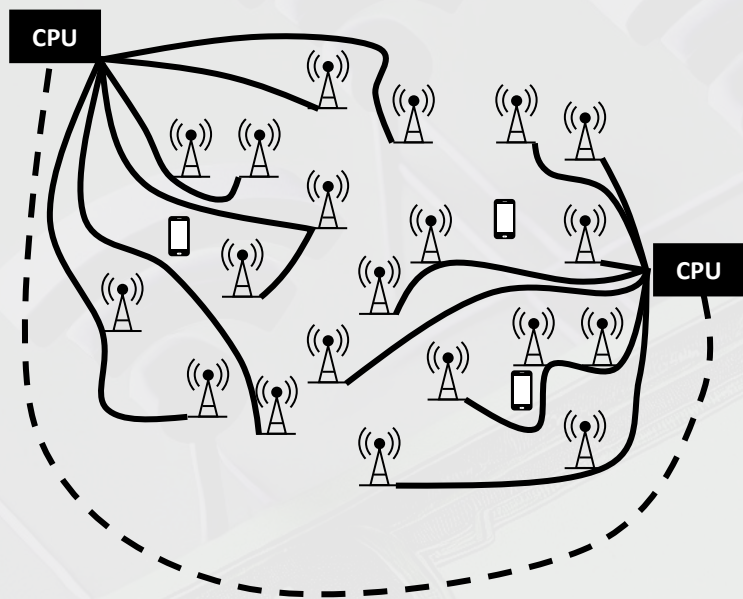


Processing implementation

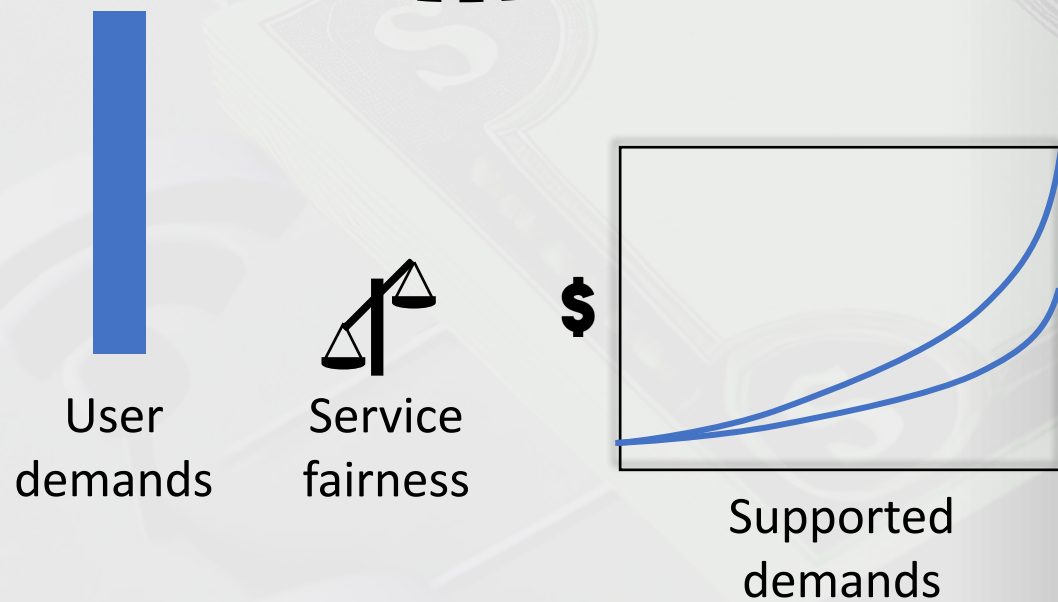
Centralized



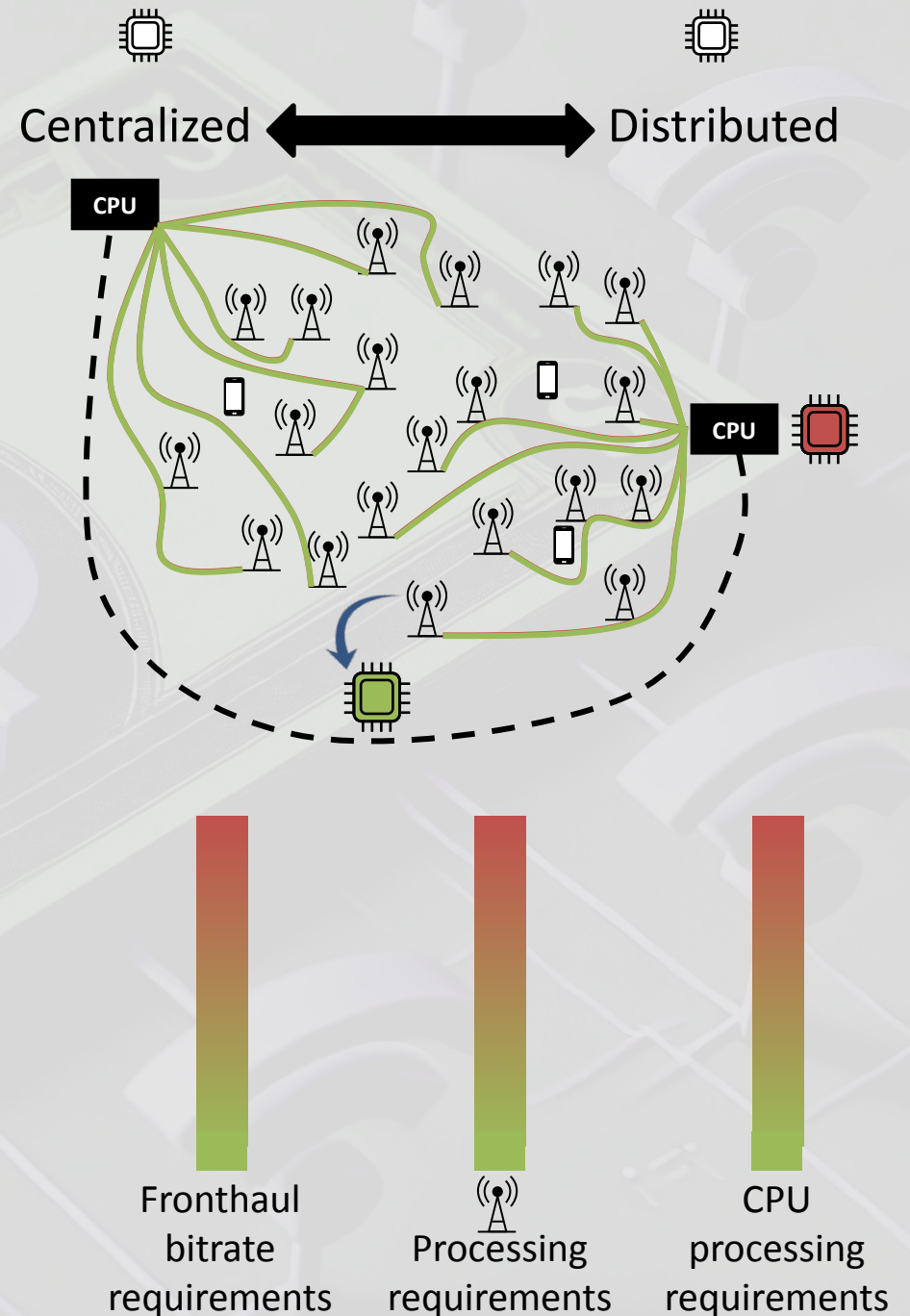
Distributed



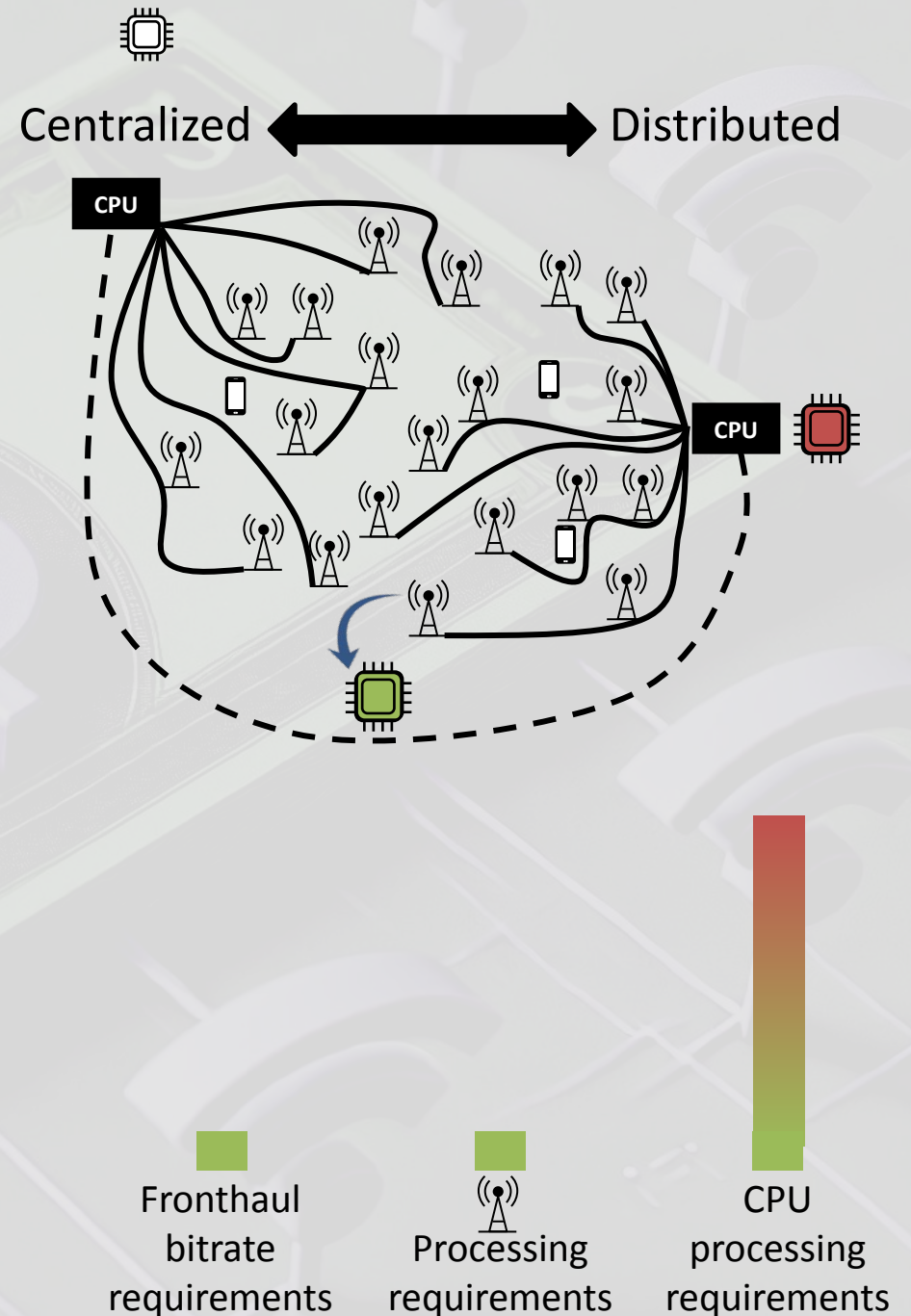
Network Dimensioning



Fronthaul and Processing Requirements

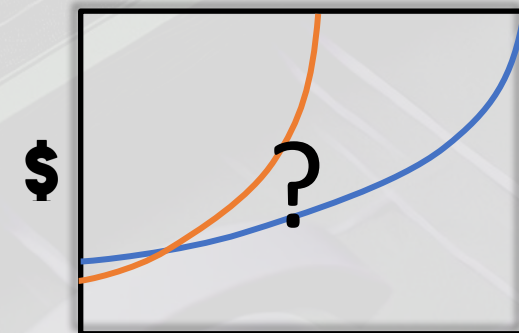
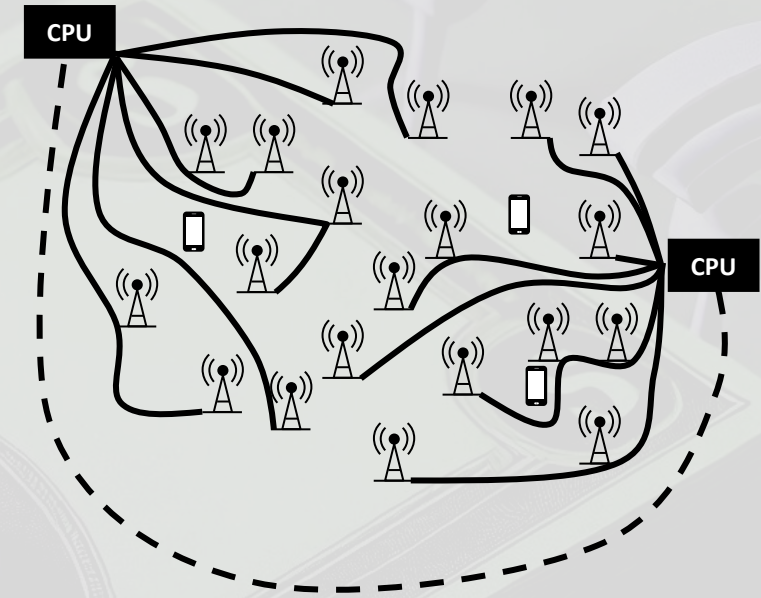


Fronthaul and Processing Requirements



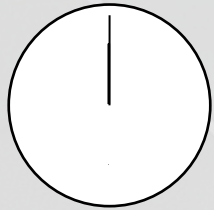
Fronthaul and Processing Requirements

Centralized $\longleftrightarrow$ Distributed



Supported demands

- Centralized
- Distributed

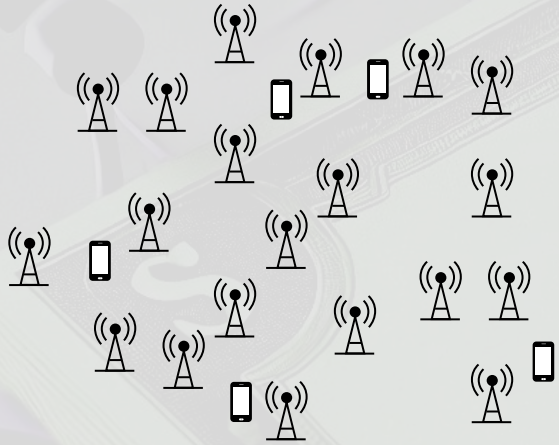
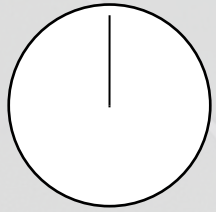


Operational
fluctuations



Processing and
fronthaul demands

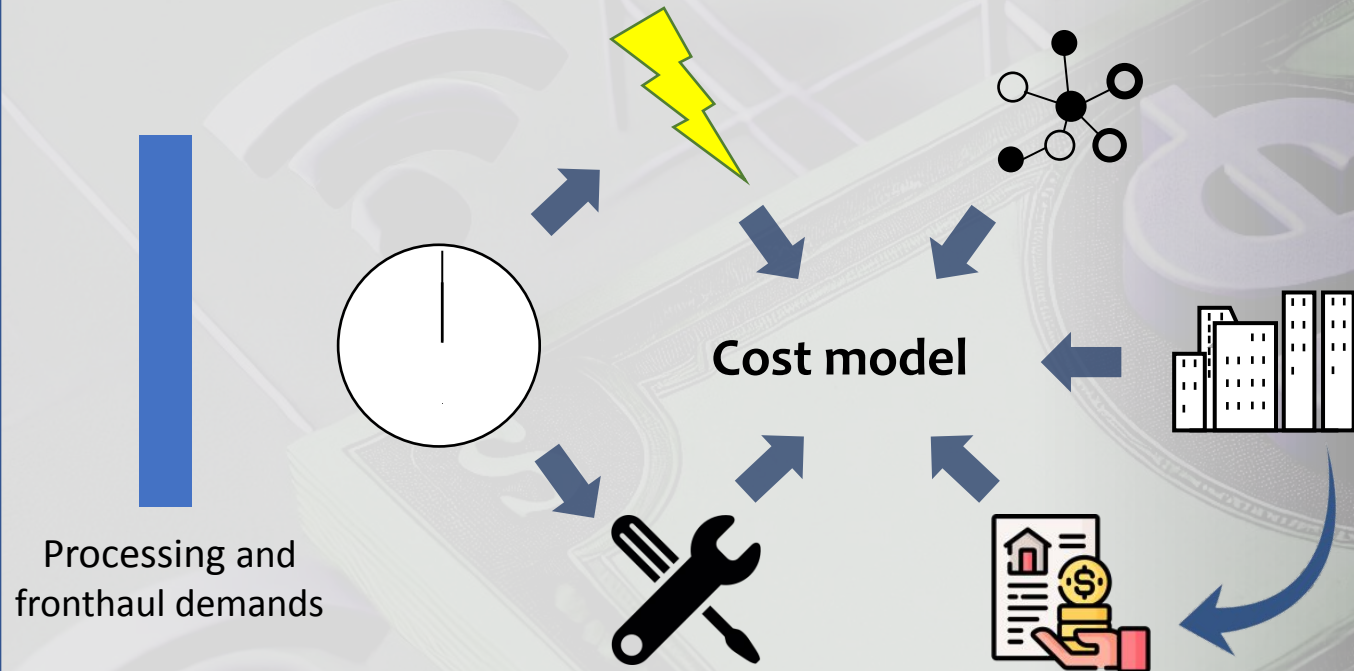
Cost Assessment



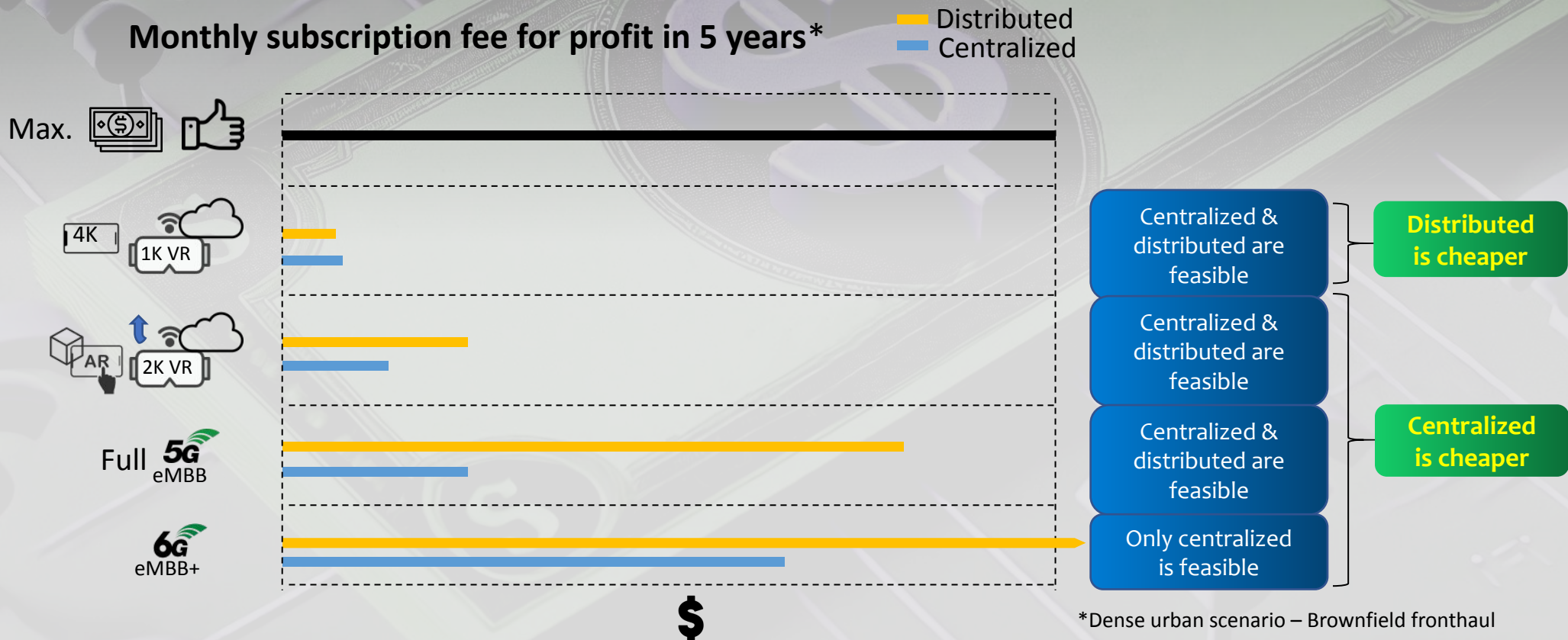
Processing and
fronthaul demands

Cost Assessment

Cost Assessment



Profitability Distributed vs. Centralized



Combating pilot Contamination Using NOMA-OMA Hybrid Operation



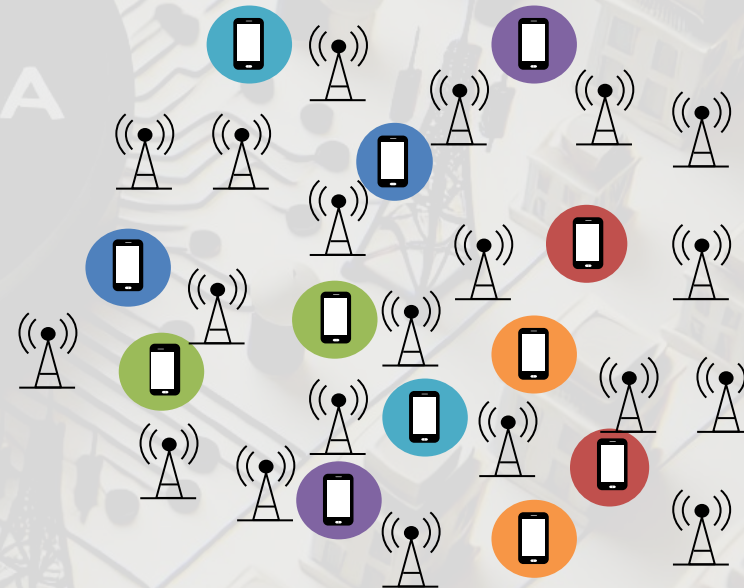
Problem

NOMA

OMA

Limited estimation resources

Pilots

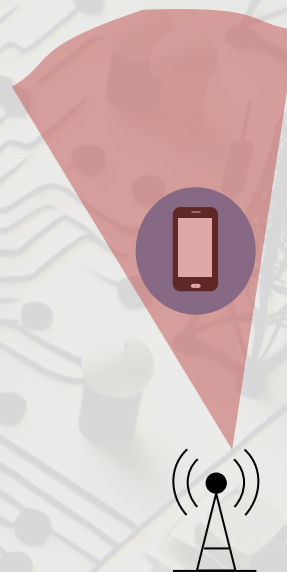


Problem

NOMA

OMA

Pilot reuse consequence:



Coherent interference
generation

Problem

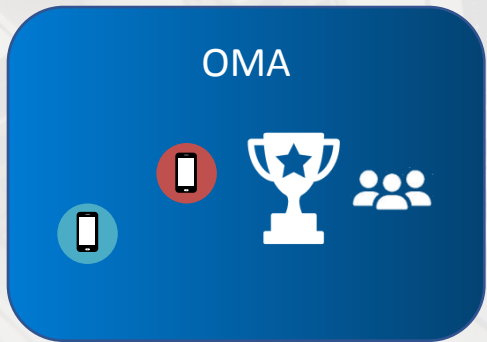
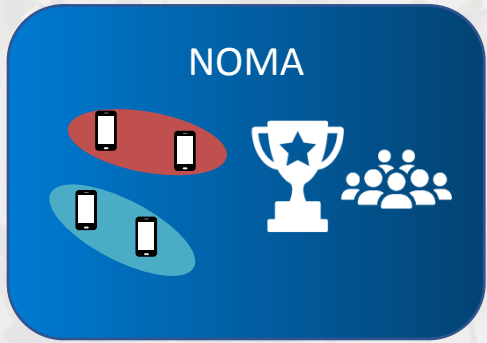
NOMA

OMA

NOMA ✂ Coherent interference



-  User 1
-  User 2
- 
-  User K

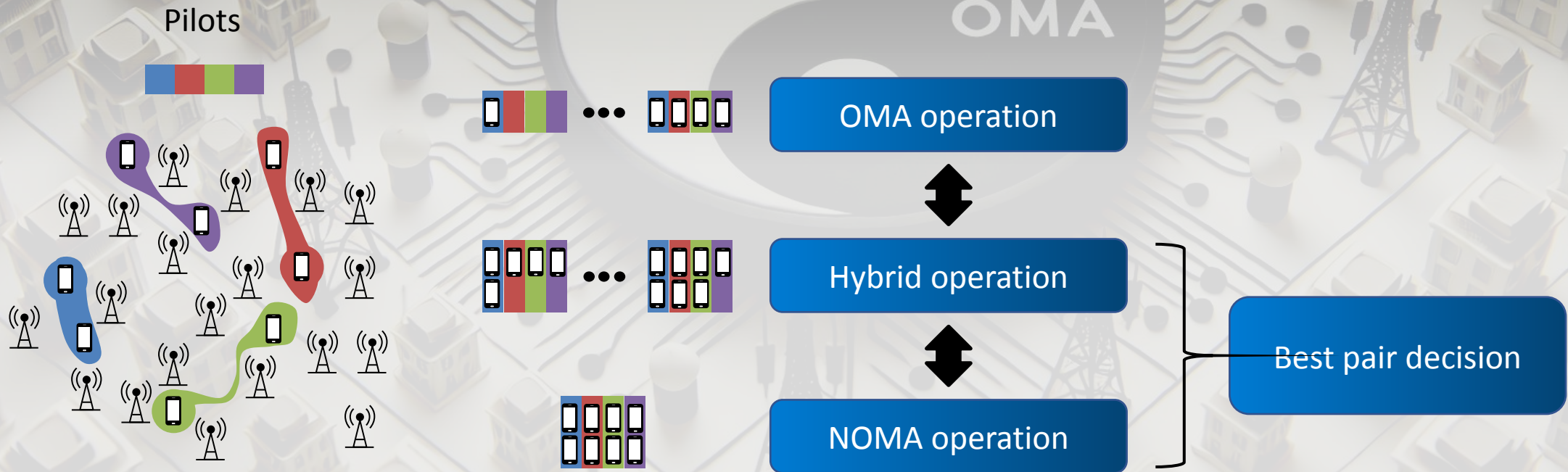


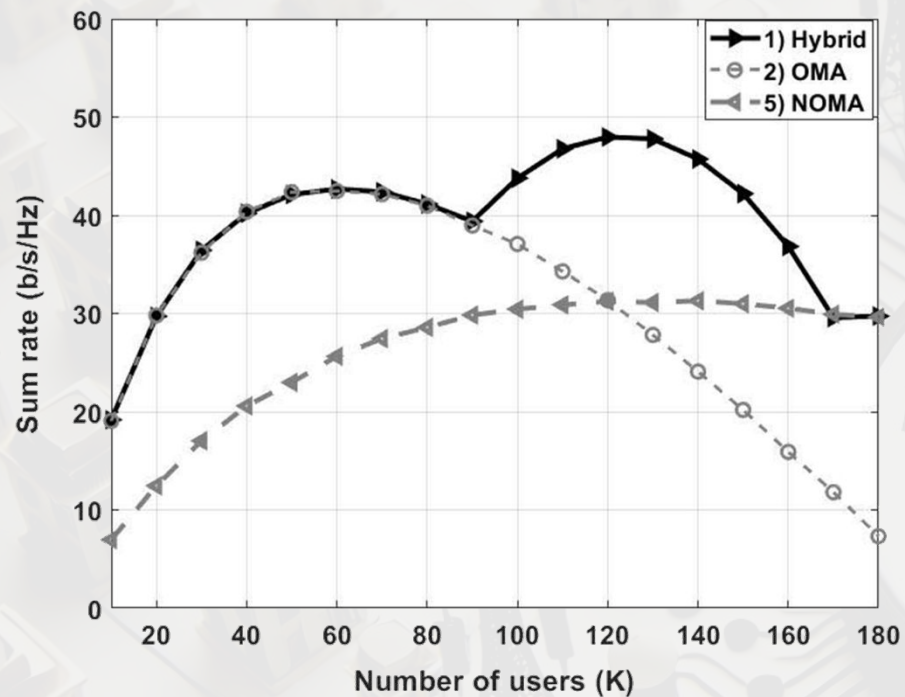
Dynamic
decision policy



Research

Decision Policy





Proposed

Performance Gains

Up to 53%
improvement
for NOMA

Up to 71%
improvement
for OMA